Decision making, politics and quality of life

How to solve problems without creating larger ones

<u>Target audience:</u> everyone interested,

no special knowledge necessary

Reading time: 1-3 hours

Page layout: allows easy reading

without scrolling,

even on

very small screens

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Decision making, politics and quality of life

How to solve problems without creating larger ones

Think of a problem. Global, regional or personal. Anything.

Now consider the following statement:

Without good decision making, this problem will not be solved well. Or not at all.

If you want to find out more, read on.

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Chapter 1

Introduction

A short one

Welcome to this book about

a train of thought

connecting local and global problems with decision making, quality of life, certain limitations of the human mind, political transparency and more.

If that sounds overwhelming, please have a closer look at the contents pages.

This is not an academic textbook, and you don't need to be an expert to understand it.

Reading time is about 1 hour for all chapters, and about 2 hours for all appendices.

Poor decision making has a serious negative impact on society and everyday life.

In my view, this fact is too often not recognized, or too easily accepted.

That is why I wrote this book (which I also want to use as the basis for a video talk series).

The chapters present the main train of thought, from initial problems to possible solutions.

Most chapters end with a summary page.

Material that is not essential for the main train of thought, but related and relevant, is in the appendices.

The unusual page layout serves two purposes:

- it allows easier reading also on a small screen
- it supports better focus on the text (in single page view)

Please don't let the resulting high page count put you off.

With a conventional layout, there would be just about 35 chapter pages and about 80 appendix pages.

Most examples in this book, and all persons or organizations appearing in them, are invented.

All other content is based on facts and/or my own personal views at the time of writing (2010-2013, with interruptions).

I have not received any payment or other benefits for this work.

Chapter 2

Problems. All sorts, all sizes.

Where do they come from?

The train of thought starts here.

Imagine you're in a spacecraft and you look down on Earth.

You see a planet that has plenty of beauty and resources: a really nice place to live.

And you already know that mankind has knowledge and impressive technology.

Having all that, why don't we all just live happy and fulfilled lives then?

Because there are problems.
Global, regional, organizational,
personal ones. Unwanted
situations or conditions. Not
sometimes desirable 'challenges',
just plain and definitely unwanted
problems.

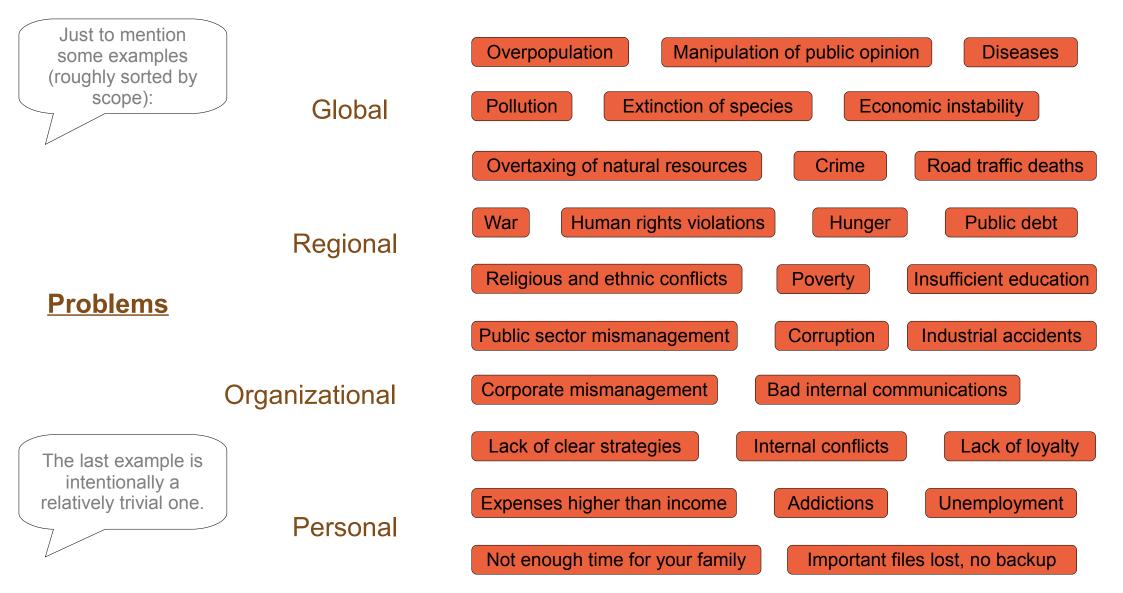


Fig. 2.1 : problem examples

You could easily get more examples from any news source.

Our quality of life would be much better without all these problems.

(For details, see appendix A, p. 169)

But where do they come from?

Well. There is a large variety of them, and many are clearly not related to each other.

However, almost all problems have one factor in common.

They are the result of bad decisions.

Please let that sink in for a moment.

Almost all problems are caused by bad decisions.

Bad decisions made by human beings, including you and me.

Let's have a look at two examples.

The first one is rather straightforward. The second one is much more complex.

Example 1: 'Important files lost, no backup'

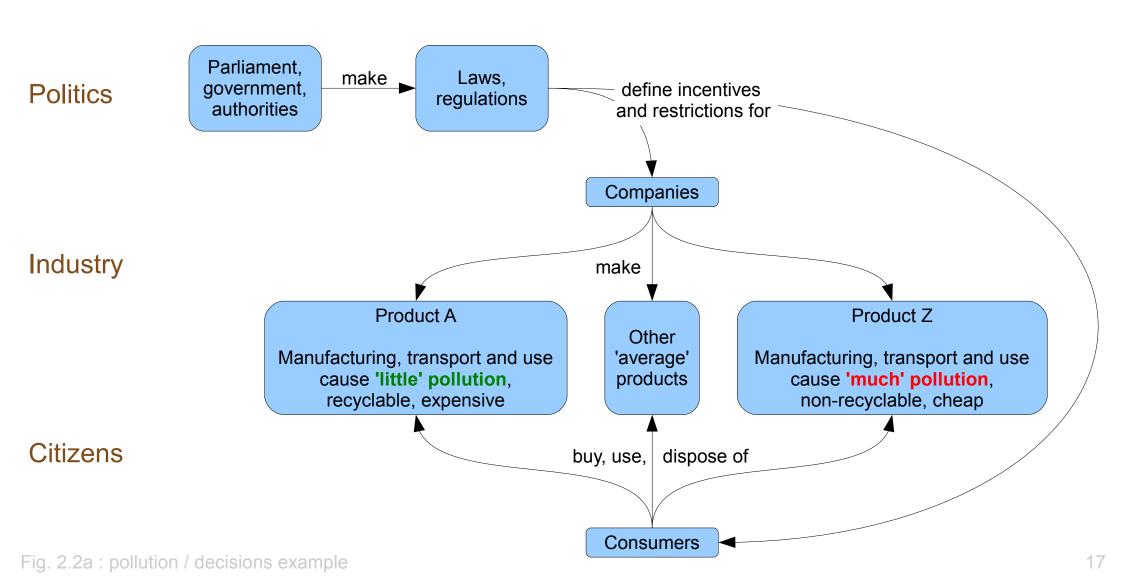
Every user of electronic devices should know that fatal errors sometimes occur. A user who does not back up important files has in fact made a decision.

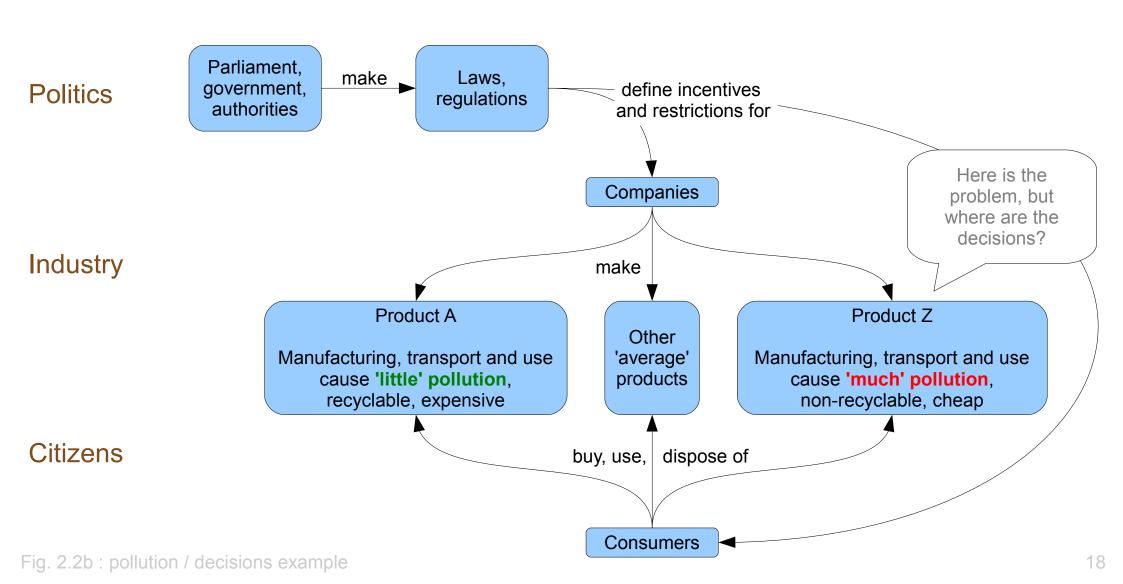
If an error later destroys the original files, this decision causes a problem.

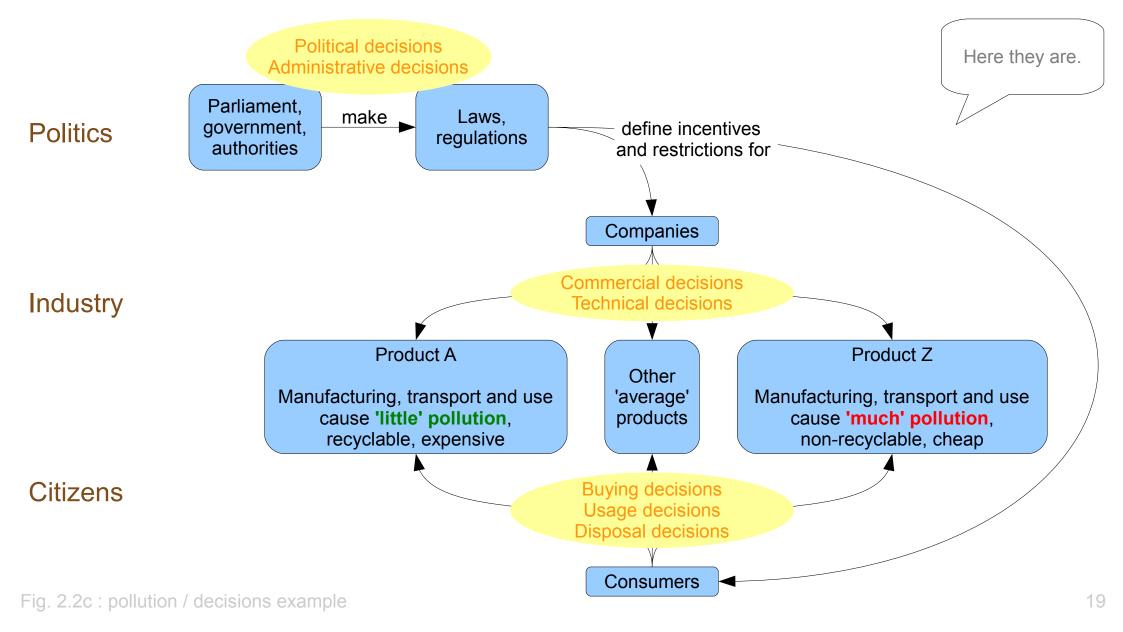
Example 2: 'Pollution'

Pollution (the release of unwanted substances into atmosphere, water or soil) occurs in many different contexts.

Let's have a look at one of them:
pollution caused by
manufacturing, transport, use and
disposal of consumer products.







These decisions together, some of them bad, result in this particular pollution problem.

With better decisions, the problem would be smaller.

With really good decisions, there would be no problem.

This leads to first coarse definitions of 'good' and 'bad' decisions:

Bad decisions cause problems.
Good decisions avoid or solve problems.

(I will discuss this further in chapter 3)

More than halfway through.

Chapter 2 progress

Intro done

Problems as a result of decisions done

Two examples done

First definitions done

Problems unrelated to decisions up next

Chapter 2 summary

Are there problems that are <u>not</u> caused by bad decisions?

In the domain of politics: no.

In the domain of industry: no.

In the domain of the individual: yes. Not many, though.

In politics, the political institutions combined have ultimate power, control and responsibility.

Hence, nobody could stop them from making good decisions.

But of course there are always excuses.

Such as the common 'the current situation does not permit' excuse. However, the current situation is mostly a result of past political decisions.

Also quite common is the 'these events were unforeseeable' excuse. But such events have usually been predicted before, and these predictions have been ignored or discarded.

In industry, the company managers have to live with the consequences of decisions made by others, such as political institutions, competitors, employees and customers.

Within these boundaries they also have many degrees of freedom to make good or bad decisions.

For individuals, the situation is again different. Unlike political institutions or companies, individuals are human beings.

Having human minds and bodies exposes us to problems such as illnesses.

These are **not** consequences of our own, or anyone else's, decisions.

However, a number of health problems, and almost all other individual problems, are also direct or indirect results of bad decisions.

Decisions made by ourselves, or by politics, or by industry.

What about natural disasters?

They cause problems, and surely nobody actually decides to have earthquakes, landslides, floods, hurricanes and so on?

Well. Let's have a look at the necessary ingredients for this problem.

Problem ingredient list

- 1. an area prone to natural disasters
- 2. a government that decides to let people inhabit this area
- 3. people who decide to stay there
- 4. a government that decides not to enforce safe building standards
- 5. people who **decide** to stay in unsafe buildings

4 out of 5 necessary ingredients depend on decisions.

Note that usually the government is in a better position to avoid this problem than individuals are.

Chapter 2 summary

Earth is a beautiful planet, and mankind has enough resources, knowledge and technology.

Do we all live happy and fulfilled lives then?
No. Why not?

Because there are problems. All sorts, all sizes. But almost all have one factor in common.

Almost all problems are caused by bad decisions.

In simple cases, this is obvious. In complex cases, it is not.

Chapter 3

What is a 'good' or 'bad' decision?

A definitions intermezzo

Chapter 2 introduced these definitions:

Bad decisions cause problems.

Good decisions avoid or solve problems.

Chapter 3 is for readers who think these definitions need refinement and more explanation.

Other readers could proceed directly to the chapter 3 summary (on p. 44).

This chapter is probably the one that is hardest to read in this book.

Chapter 3 progress

Intro done

Improved definition of bad decisions up next ... and of good decisions

'Good' or 'bad' ?
... viewpoints
... time frames
... value systems

Good political decisions

Types of decisions

Chapter 3 summary

In some situations bad decisions make problems possible, but do not cause them directly.

Example from chapter 2: the government decides not to enforce safe building standards. A foreseeable event (natural disaster) then triggers the problem (people killed by collapsing buildings).

Again other situations will always end in problems. In these cases, bad decisions lead to larger than necessary problems.

Example: imagine a burning house. Depending on the decisions the people inside and the fire fighters make, the outcome will be bad enough, or disastrous. A really good outcome is impossible.

With this in mind, a more accurate definition of bad decisions is:

Bad decisions, combined with foreseeable events, cause larger than necessary problems.

Alternatively:

Bad decisions make worse than necessary outcomes possible.

The corresponding definition of good decisions is:

Good decisions prevent problems from happening or from becoming larger than necessary.

Wherever circumstances allow, good decisions lead to situations that are better than they were before.

Chapter 3 progress

Intro done

Improved definition of bad decisions done ... and of good decisions done

'Good' or 'bad' ? up next
... viewpoints
... time frames
... value systems

Good political decisions

Types of decisions

Chapter 3 summary

Whether you regard something (plan, action, event, object or other) as 'good' or 'bad' depends on your viewpoint, time frame and values.

About the viewpoint: you will normally be inclined to regard something beneficial to yourself as 'good' in general, independent of what that something means to others.

Unless you are willing and able to adopt other viewpoints, your judgement will be very subjective, which makes it invalid for everyone else.

Example: Alice buys a box with old books cheaply from Bob. One book turns out to be worth a lot of money. From Bob's viewpoint, the transaction was 'bad'. From Alice's viewpoint, the very same transaction was 'good'.

About the time frame (or time horizon): you will often judge something differently depending on how far you are looking into the future.

Only a wide time frame allows you to see the whole picture.

Note that some people can't (young children for instance, but not only them), and some don't want to use a wide time frame.

Example:

With a narrow (short-term) time frame you would regard having a dentist repair small holes in your teeth as 'bad' because of immediate pain and expenses.

With a wide (long-term) time frame, you would regard the very same action as 'good' because it saves you from much more pain and expenses later on.

About values: a value is something you rather consistently consider to be important for your life, and worth striving for.

You may have hundreds of them, without being aware of them all.

Some you have chosen yourself, others depend on your upbringing and social environment.

Examples (no particular order):

- own well-being
- well-being of family and friends
- well-being of others
- peace
- living according to your spiritual faith
- preservation of nature
- honesty
- own social status
- fairness

Some values contradict each other, some are more important than others.

Their hierarchical order forms a value system.

Every time we judge something, we do that against our value system.

This evaluation process is often not a conscious one, hence not transparent.

On top of that, we are usually not honest about our values. We tend to deceive ourselves and others.

Example: very few people would say that their own personal well-being is their highest value. Yet there are many people acting this way.

Chapter 3 progress

More than halfway through.

Intro done

Improved definition of bad decisions done ... and of good decisions done

'Good' or 'bad' ? done
... viewpoints done
... time frames done
... value systems done

Good political decisions up next

Types of decisions

Chapter 3 summary

What does all this mean for political decisions? Or other ones which affect the general public?

Such decisions are 'good' if:

- all relevant viewpoints were considered
- the time frame includes future generations of citizens
- they score high on generally accepted values
- the decision process was transparent for the general public
- and, of course, they match the definition established earlier in this chapter

Chapter 3 progress

Intro done

Improved definition of bad decisions done ... and of good decisions done

'Good' or 'bad' ? done
... viewpoints done
... time frames done
... value systems done

Good political decisions done

Types of decisions up next

Chapter 3 summary

There are many different types of decisions (or choices).

What do they have in common?

The decision maker (e.g. an individual or a political institution) has at least two options available, actively or passively chooses one of them, and thus causes a particular outcome.

What sets them apart?

Decision types can differ in any of numerous aspects.

Some important aspects are:

- the number of people affected
- the seriousness of the outcome
- the reversibility of the outcome
- the awareness of the decision maker

'Big' political decisions usually affect many people, and have serious consequences for at least some of them.

Example: budget decisions.

'Small' personal everyday decisions affect perhaps only one person, and often have no serious consequences.

Example: the choice between a cup of tea or coffee.

In all cases the decision maker can be aware of his decision making act, or not.

This may sound surprising. Can you make decisions without being aware of this?

Yes. At any waking moment you have several options for what to do next. But very often the act of choosing an option is performed subconsciously, which means you are not aware of it.

You can however become aware of your decisions in two ways:

Either your subconscious mind finds no default option for the current situation, and hands control over to your conscious mind,

or you consciously decide to raise your level of awareness. Meaning basically that you pay more attention to what you are doing. Although they have no subconscious, decision makers such as political institutions can also have problems with insufficient awareness.

If so, they in fact make passive 'no action required' decisions without knowing it, instead of considering and choosing other (possibly better) options.

Considering all available options, however, is a very important part of good decision making.

Chapter 3 summary

Bad decisions, combined with foreseeable events, cause larger than necessary problems.

Good decisions prevent problems from happening or from becoming larger than necessary.

Wherever circumstances allow, good decisions lead to situations that are better than they were before.

What is 'good' or 'bad' depends on viewpoint, time frame and values.

Reluctance to face a situation, or to consider available options, also counts as decision.

Chapter 4

What happens when bad decisions are made frequently?

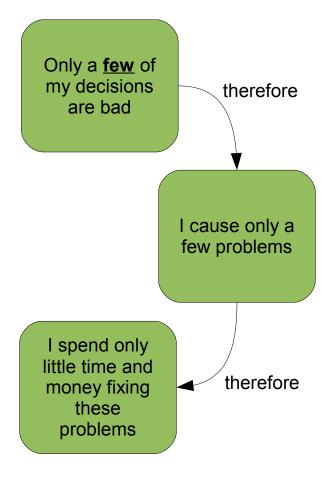
About circles and spirals

The next pages show a basic diagram which answers part of the chapter title question.

For this diagram I pretend that I am in a position where:

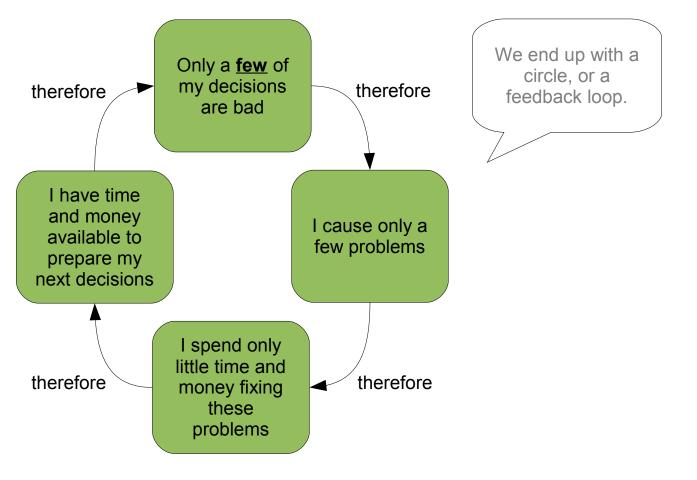
 my decision making does not lead to fundamental changes in my environment

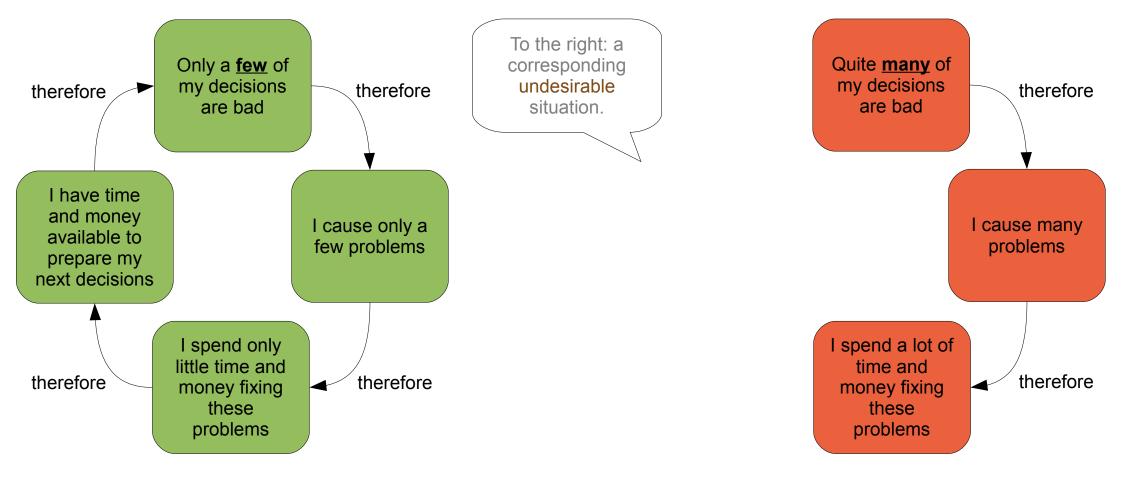
- I have to face the consequences of my own decisions

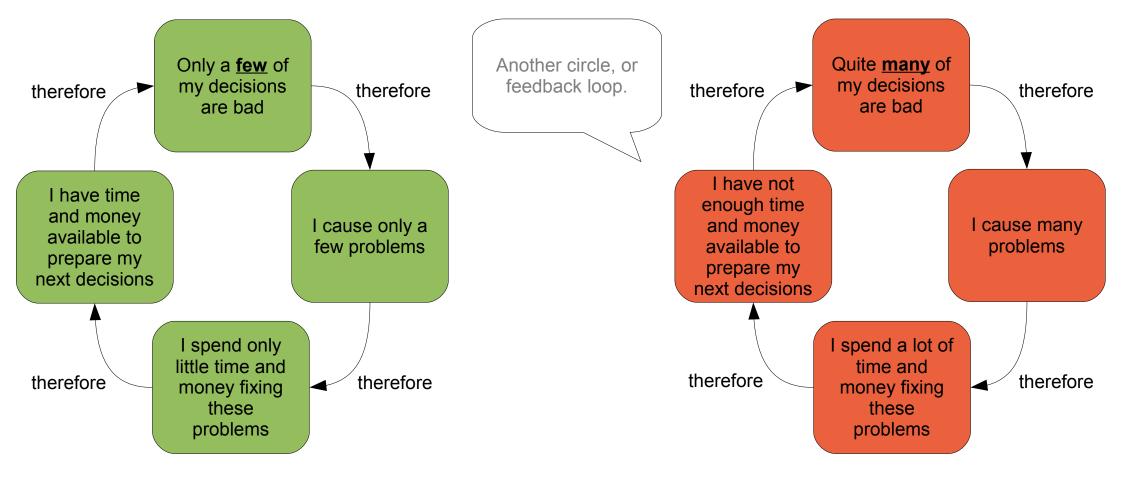


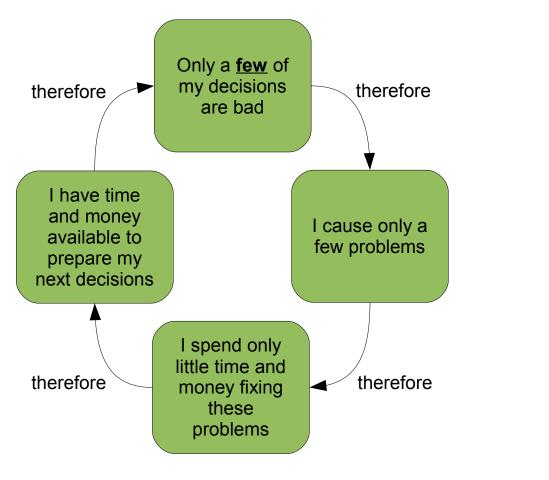
Let's start with a look at a desirable situation.

The ideal situation, where none of my decisions are bad, is not very realistic.

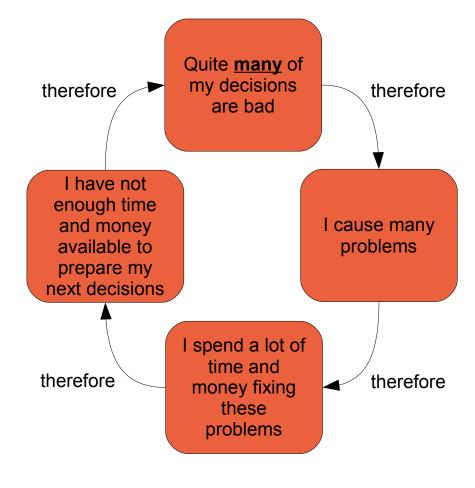


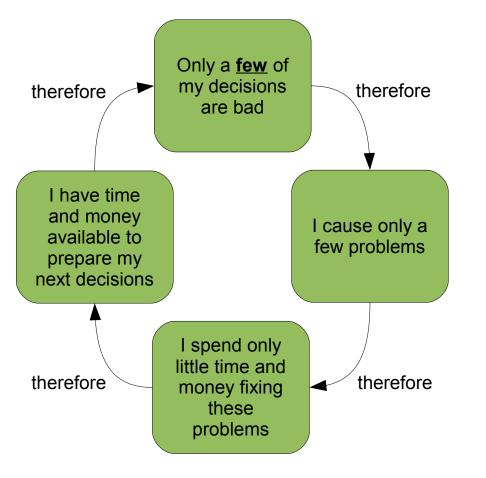




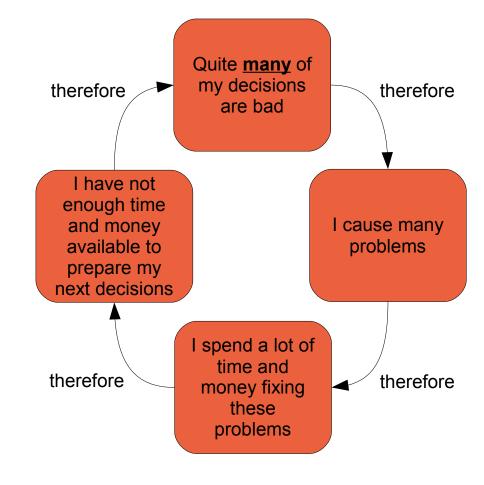




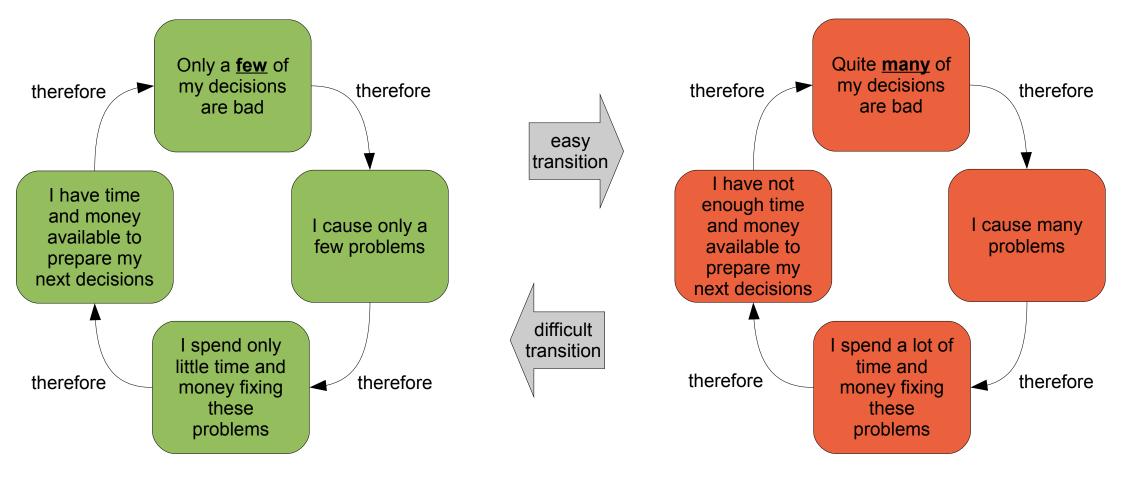




Desirable, but unstable situation: requires constant attention, effort and skill.



Undesirable, but often stable situation: requires nothing in particular.



Desirable, but unstable situation: requires constant attention, effort and skill.

Undesirable, but often stable situation: requires nothing in particular.

Staying in the green circle allows me to have my main focus on the future. I can spend a large part of my resources planning ahead.

Staying in the red circle forces me to have my main focus on the past. I must spend a large part of my resources dealing with my previous mistakes (while making new ones).

About halfway through.

Chapter 4 progress

Intro done

Decisions-consequences circles ... done
... and spirals up next
Used to it?

Chapter 4 summary

Instead of moving in one of those circles, I could find myself moving in a kind of spiral.

That could happen if my decisions gradually change my decision making environment (e.g. my access to resources).

Then the situation escalates to better or worse.

In case of a downward spiral, the situation becomes gradually worse. The problems become larger and larger, until finally a trigger event terminates or resets the situation.

Example: many companies that went out of business have had this experience (the trigger event often being a 'no more credit' notice from their bank)

Note that the trigger event often is incorrectly regarded as the actual cause for the bad ending.

And the ending of a downward spiral can be very bad indeed.

Examples: arms races, famines, infectious diseases

In such cases, bad decisions can kill millions of people.

Finally, something else quite tragic happens when bad decisions are made frequently:

We get used to it.

Over the years, problems and crises become a normal, accepted part of your life.

Unless you are still young.
Then you are probably inclined to ask questions and demand corrective actions.

And that is something we all should do. But before demanding anything from others, we should see what we can do ourselves.

The next chapters present some ideas.

Chapter 4 summary

A decision maker can afford only a few bad decisions before getting trapped in a vicious circle or a downward spiral.

Once there, the decision maker loses most of his forward planning and acting capacity because most resources are spent dealing with previous mistakes.

This applies to any decision maker, from single individual to global corporations or political institutions.

Don't get used to bad decisions and situations. Demand improvements, from yourself and others.

Chapter 5

How can bad decisions be avoided?

A short answer

The answer to this question is threefold.

(Covered in chapters 2-4)

2. Be aware of the factors that hinder good decision making.

(Covered in chapter 6)

3. Overcome the hindering factors by using appropriate techniques.

(Covered in chapters 7 and 9, and in appendices B, C, and E-G)

Chapter 6

Which factors hinder good decision making?

An overview

The human mind has extraordinary abilities. And many limitations.

Some we know and accept.

Example: divide 1232 by 56 in your head and say the result within 5 seconds.

Most likely your mind was not capable of doing this, but you are neither surprised nor embarrassed.

Other limitations we are not aware of, or find difficult to accept.

Example: having limited abilities in decision making would really hurt our pride (especially if decision making is our job). Wouldn't that mean we're stupid? No way. Hence there is nothing wrong with our decision making abilities.

Voilà. You just heard a human mind in denial mode.

This sort of denial may keep our self-confidence up, but doesn't bring us any nearer to good decision making.

While looking at the following diagram you might think: 'well, I have seen other people with these limitations'.

Hmm. You will get most out of this chapter if you sacrifice a little self-confidence and think one step further: 'also my mind has these limitations'.

(Mine certainly has)

Chapter 6 progress

Intro done

Overview diagram up next

Chapter 6 summary

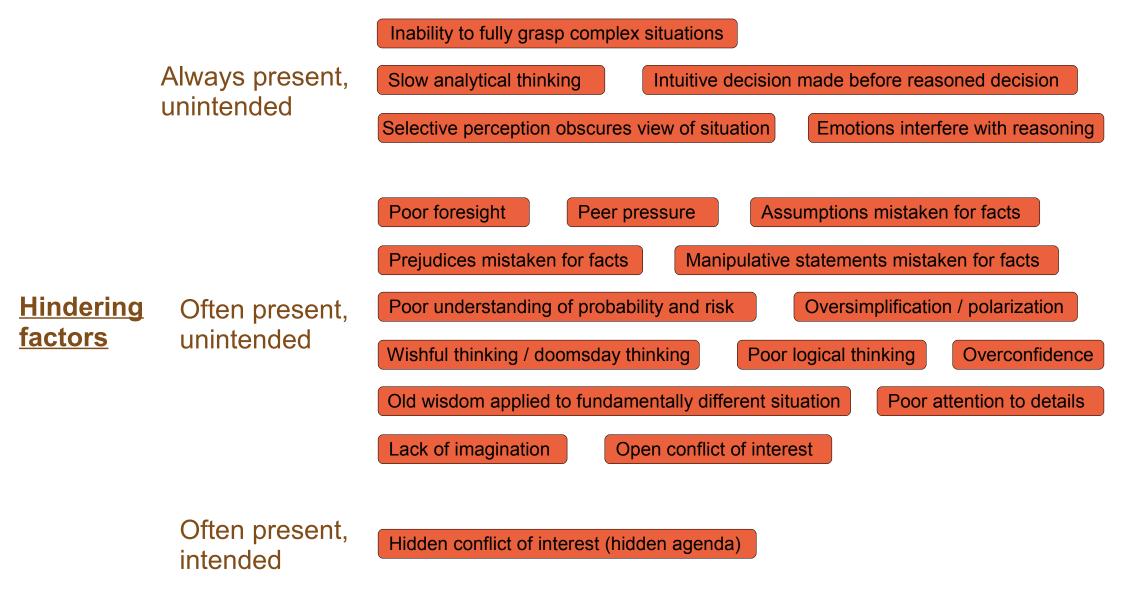


Fig. 6.1: factors hindering good decision making

20 different factors, and this is not a complete list.

Which ones actually are present in a given decision making situation will vary. But very likely there will be more than 10 of them.

If you want to know more:

Appendix D (p. 266) explains most factors mentioned in the diagram.

Chapter 6 summary

At least 20 different factors can hinder good decision making.

Most are rooted in human nature.

It is difficult and unpleasant to recognize such limiting factors in your own mind. Hence they are usually ignored or denied.

A single factor can, if undetected and unchecked, 'contaminate' a decision making situation.

And lead to a bad decision.

Chapter 7

How to overcome the factors that hinder good decision making

Approaches and methods



The good news is:

it is possible to do this.

The bad news is:

- these methods, like other tools,
 only work well if used skilfully
- otherwise they may turn into bureaucratic monsters, or create other new problems

Let's have a look at them.

Chapter 7 progress

Intro done

Methods and approaches (diagram series)

Overview diagram

Conclusions for individuals

Conclusions for politics

Chapter 7 summary

Slow analytical thinking

Intuitive decision made before reasoned decision

Selective perception obscures view of situation

Emotions interfere with reasoning

Poor foresight

Peer pressure

Assumptions mistaken for facts

Prejudices mistaken for facts

Manipulative statements mistaken for facts

Lined up left and right are the

factors that hinder good decision making

as introduced in chapter 6.

This sets the stage for the next diagrams.

Poor understanding of probability and risk

Oversimplification / polarization

Wishful thinking / doomsday thinking

Poor logical thinking

Overconfidence

Old wisdom applied to fundamentally different situation

Poor attention to details

Lack of imagination

Open conflict of interest

Slow analytical thinking

Intuitive decision made before reasoned decision

Selective perception obscures view of situation

Emotions interfere with reasoning

Poor foresight

Peer pressure

Assumptions mistaken for facts

Prejudices mistaken for facts

Manipulative statements mistaken for facts

Counteracting the hindering factors

with

Awareness

If you know what to expect, you can prepare for it.

Awareness requires education and training.

Poor understanding of probability and risk

Oversimplification / polarization

Wishful thinking / doomsday thinking

Poor logical thinking

Overconfidence

Old wisdom applied to fundamentally different situation

Poor attention to details

Lack of imagination

Open conflict of interest

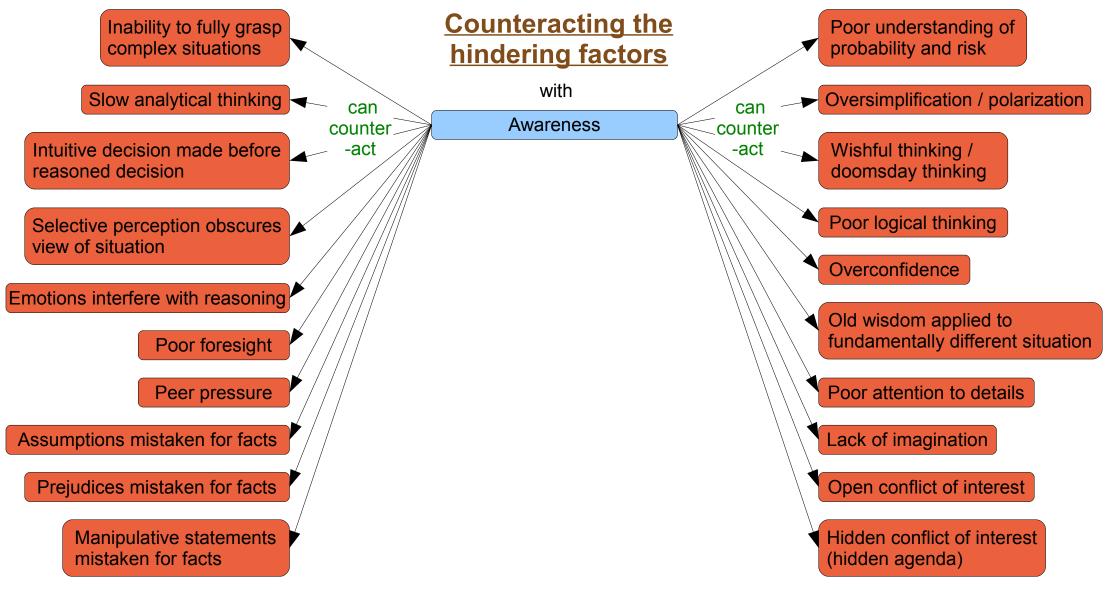


Fig. 7.2b: counteracting the hindering factors

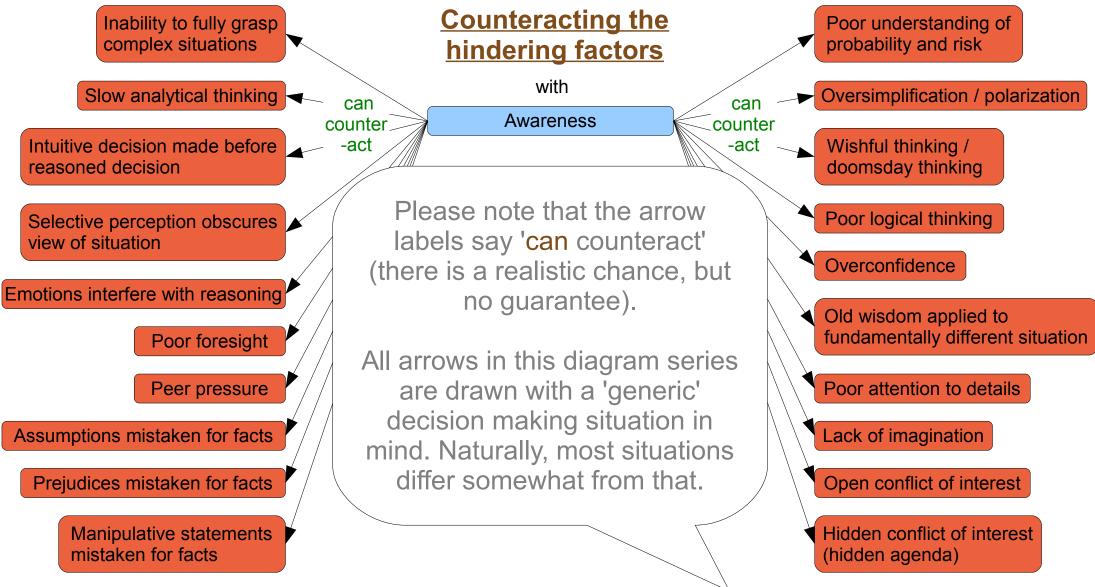


Fig. 7.2c: counteracting the hindering factors

Slow analytical thinking

Intuitive decision made before reasoned decision

Selective perception obscures view of situation

Emotions interfere with reasoning

Poor foresight

Peer pressure

Assumptions mistaken for facts

Prejudices mistaken for facts

Manipulative statements mistaken for facts

Counteracting the hindering factors

with

Awareness (of factors)

Allocation of time / money

Since we need time to think, let's make sure we have enough of it available.

If this time has a price tag, it should be compared to the potential costs of a rushed bad decision (see chapter 4).

Poor understanding of probability and risk

Oversimplification / polarization

Wishful thinking / doomsday thinking

Poor logical thinking

Overconfidence

Old wisdom applied to fundamentally different situation

Poor attention to details

Lack of imagination

Open conflict of interest

Counteracting the Inability to fully grasp complex situations hindering factors with Slow analytical thinking can Awareness (of factors) counter -act Allocation of time / money view of situation Poor foresight Peer pressure Assumptions mistaken for facts Prejudices mistaken for facts Manipulative statements mistaken for facts

Poor understanding of probability and risk

Oversimplification / polarization

Wishful thinking / doomsday thinking

Poor logical thinking

Overconfidence

Old wisdom applied to fundamentally different situation

Poor attention to details

Lack of imagination

Open conflict of interest

Slow analytical thinking

Intuitive decision made before reasoned decision

Selective perception obscures view of situation

Emotions interfere with reasoning

Poor foresight

Peer pressure

Assumptions mistaken for facts

Prejudices mistaken for facts

Manipulative statements mistaken for facts

Counteracting the hindering factors

with

Awareness (of factors)

Allocation of time / money

Teamwork / delegation

Someone who is qualified (and motivated) can do better what you can't do well.

Different team members will have different mental limitations. If their 'blind spots' don't overlap, the team can recognize personal biases and other traps.

Poor understanding of probability and risk

Oversimplification / polarization

Wishful thinking / doomsday thinking

Poor logical thinking

Overconfidence

Old wisdom applied to fundamentally different situation

Poor attention to details

Lack of imagination

Open conflict of interest

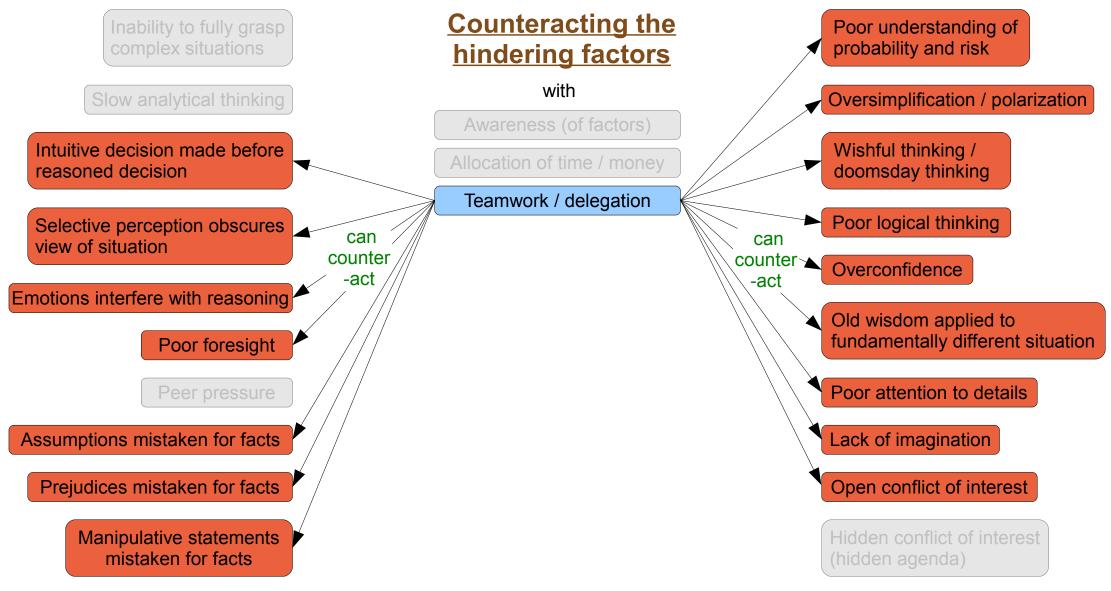


Fig. 7.4b: counteracting the hindering factors

Slow analytical thinking

Intuitive decision made before reasoned decision

Selective perception obscures view of situation

Emotions interfere with reasoning

Poor foresight

Peer pressure

Assumptions mistaken for facts

Prejudices mistaken for facts

Manipulative statements mistaken for facts

Counteracting the hindering factors

with

Awareness (of factors)

Allocation of time / money

Teamwork / delegation

Transparency

If others are allowed to watch your work in detail, you will work more carefully. And probably get useful feedback.

'Others' should include everyone affected by the decision.

Transparency requires documentation.

Poor understanding of probability and risk

Oversimplification / polarization

Wishful thinking / doomsday thinking

Poor logical thinking

Overconfidence

Old wisdom applied to fundamentally different situation

Poor attention to details

Lack of imagination

Open conflict of interest

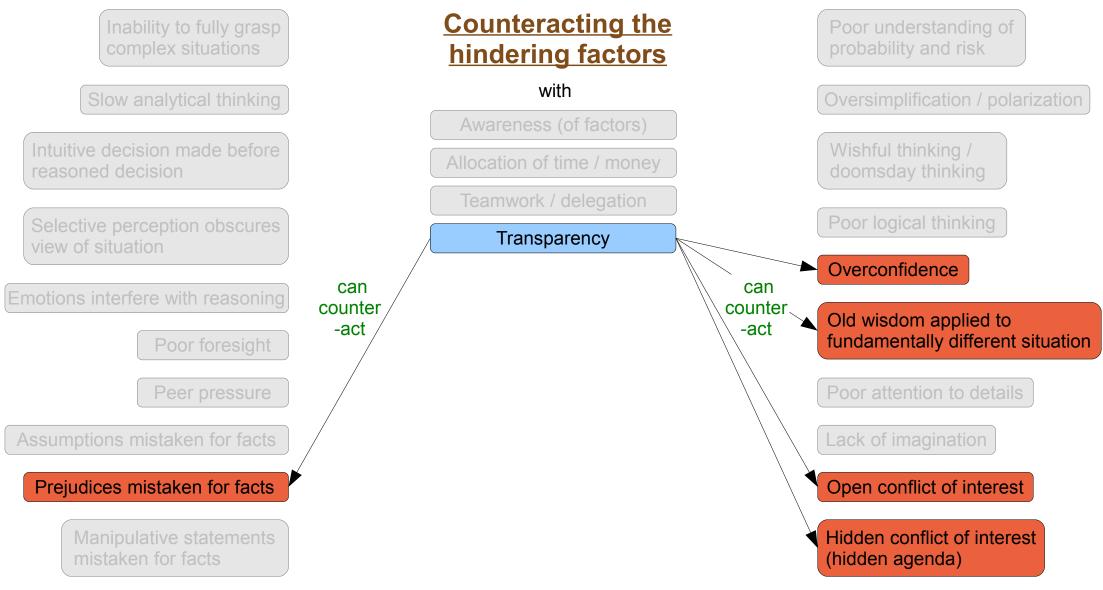


Fig. 7.5b: counteracting the hindering factors

Slow analytical thinking

Intuitive decision made before reasoned decision

Selective perception obscures view of situation

Emotions interfere with reasoning

Poor foresight

Peer pressure

Assumptions mistaken for facts

Prejudices mistaken for facts

Manipulative statements mistaken for facts

Counteracting the hindering factors

with

Awareness (of factors)

Allocation of time / money

Teamwork / delegation

Transparency

Situation analysis

Identify elements and structure of the situation, and break it down into understandable parts.

Poor understanding of probability and risk

Oversimplification / polarization

Wishful thinking / doomsday thinking

Poor logical thinking

Overconfidence

Old wisdom applied to fundamentally different situation

Poor attention to details

Lack of imagination

Open conflict of interest

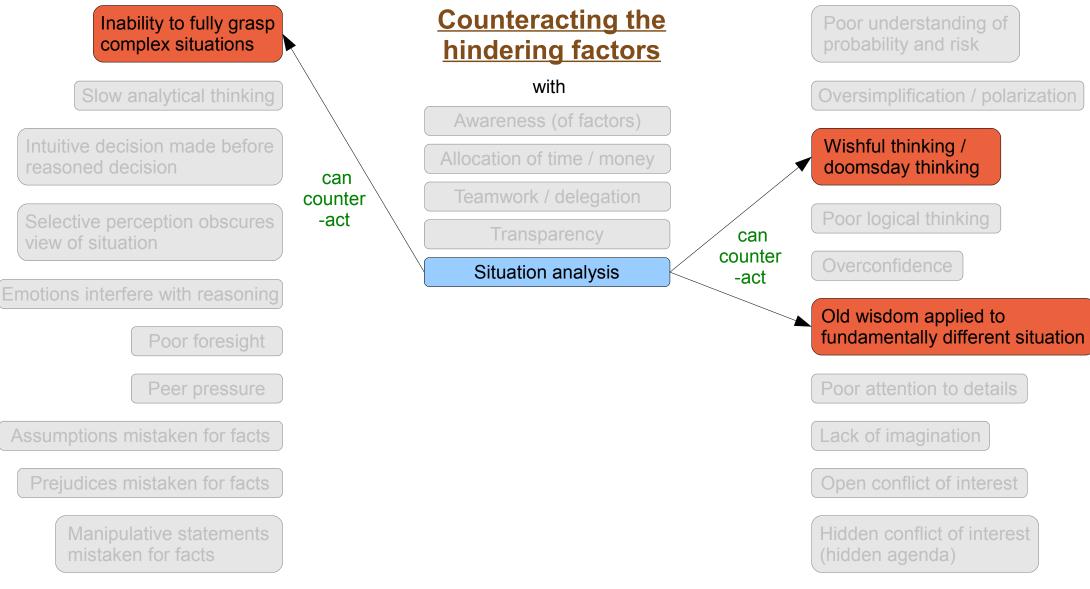


Fig. 7.6b: counteracting the hindering factors

Slow analytical thinking

Intuitive decision made before reasoned decision

Selective perception obscures view of situation

Emotions interfere with reasoning

Poor foresight

Peer pressure

Assumptions mistaken for facts

Prejudices mistaken for facts

Manipulative statements mistaken for facts

Counteracting the hindering factors

with

Awareness (of factors)

Allocation of time / money

Teamwork / delegation

Transparency

Situation analysis

Simplification / elimination

Poor understanding of probability and risk

Discard elements that are irrelevant (and only them) to:

- reduce complexity
- save time

If possible, eliminate decision options which definitely will not be chosen.

Note that 'discard' and 'eliminate' do not mean 'delete from record'.

Fig. 7.7a: counteracting the hindering factors

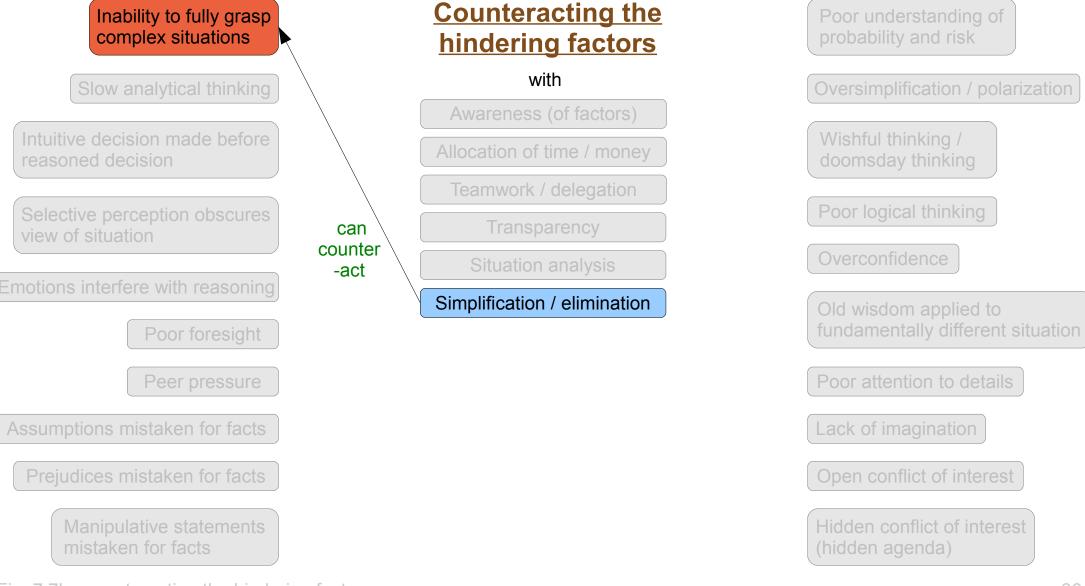


Fig. 7.7b: counteracting the hindering factors

Slow analytical thinking

Intuitive decision made before reasoned decision

Selective perception obscures view of situation

Emotions interfere with reasoning

Poor foresight

Peer pressure

Assumptions mistaken for facts

Prejudices mistaken for facts

Manipulative statements mistaken for facts

Counteracting the hindering factors

with

Awareness (of factors)

Allocation of time / money

Teamwork / delegation

Transparency

Situation analysis

Simplification / elimination

Fact check / collection

Poor understanding of probability and risk

Oversimplification / polarization

Establishing a collection of undisputed facts can be very useful. Especially when there are several parties involved in the decision making.

Often this fact collection, plus logical thinking, points towards an acceptable decision option, or rules out others.

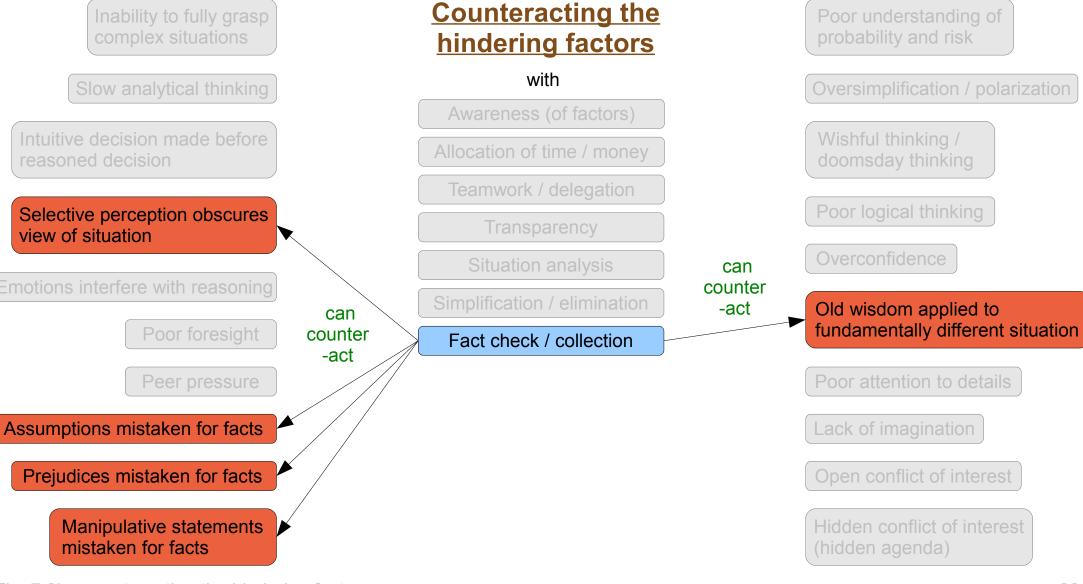


Fig. 7.8b: counteracting the hindering factors

Slow analytical thinking

Intuitive decision made before reasoned decision

Selective perception obscures view of situation

Emotions interfere with reasoning

Poor foresight

Peer pressure

Assumptions mistaken for facts

Prejudices mistaken for facts

Manipulative statements mistaken for facts

Counteracting the hindering factors

with

Awareness (of factors)

Allocation of time / money

Teamwork / delegation

Transparency

Situation analysis

Simplification / elimination

Fact check / collection

Visualization

Poor understanding of probability and risk

Oversimplification / polarization

Drawings, diagrams (perhaps animated) and tables can help with:

- understanding and
- communicating

information that is too complex for linear text representation.

(Can you imagine this diagram series as plain text?)

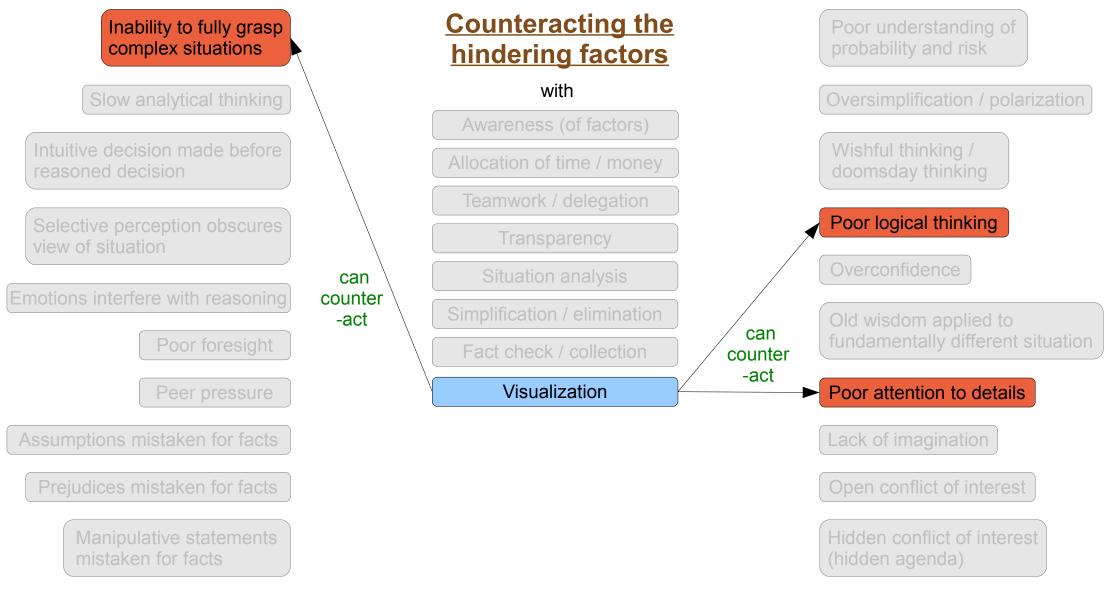


Fig. 7.9b: counteracting the hindering factors

Slow analytical thinking

Intuitive decision made before reasoned decision

Selective perception obscures view of situation

Emotions interfere with reasoning

Poor foresight

Peer pressure

Assumptions mistaken for facts

Prejudices mistaken for facts

Manipulative statements mistaken for facts

Counteracting the hindering factors

with

Awareness (of factors)

Allocation of time / money

Teamwork / delegation

Transparency

Situation analysis

Simplification / elimination

Fact check / collection

Visualization

Option finding / brainstorming

Poor understanding of probability and risk

Oversimplification / polarization

Considering all available options is an essential part of good decision making.

But before you can consider any options, you must find them first.

And don't stop searching too early. Promising options may hide outside the mainstream.

Fig. 7.10a: counteracting the hindering factors

Inability to fully grasp complex situations view of situation Poor foresight Peer pressure

Assumptions mistaken for facts Prejudices mistaken for facts Manipulative statements mistaken for facts

Counteracting the hindering factors

with

Awareness (of factors)

Allocation of time / money

Teamwork / delegation

Transparency

Fact check / collection

Option finding / brainstorming

Poor understanding of probability and risk

Wishful thinking / doomsday thinking

Poor logical thinking

can

counter -act

Old wisdom applied to fundamentally different situation

Poor attention to details

Lack of imagination

Open conflict of interest

Hidden conflict of interest

Fig. 7.10b: counteracting the hindering factors

Slow analytical thinking

Intuitive decision made before reasoned decision

Selective perception obscures view of situation

Emotions interfere with reasoning

Poor foresight

Peer pressure

Assumptions mistaken for facts

Prejudices mistaken for facts

Manipulative statements mistaken for facts

Counteracting the hindering factors

with

Awareness (of factors)

Allocation of time / money

Teamwork / delegation

Transparency

Situation analysis

Simplification / elimination

Fact check / collection

Visualization

Option finding / brainstorming

Hearings / 3rd party feedback

Asking others for their views on a particular subject can be very helpful. But only if you listen with an open mind.

Political decision processes often include hearings.

However, if a hearing lacks the 'listen with an open mind' bit, it slows down the decision process without contributing any value. Such hearings are often misused for media campaigns or other agendas.

Fig. 7.11a: counteracting the hindering factors

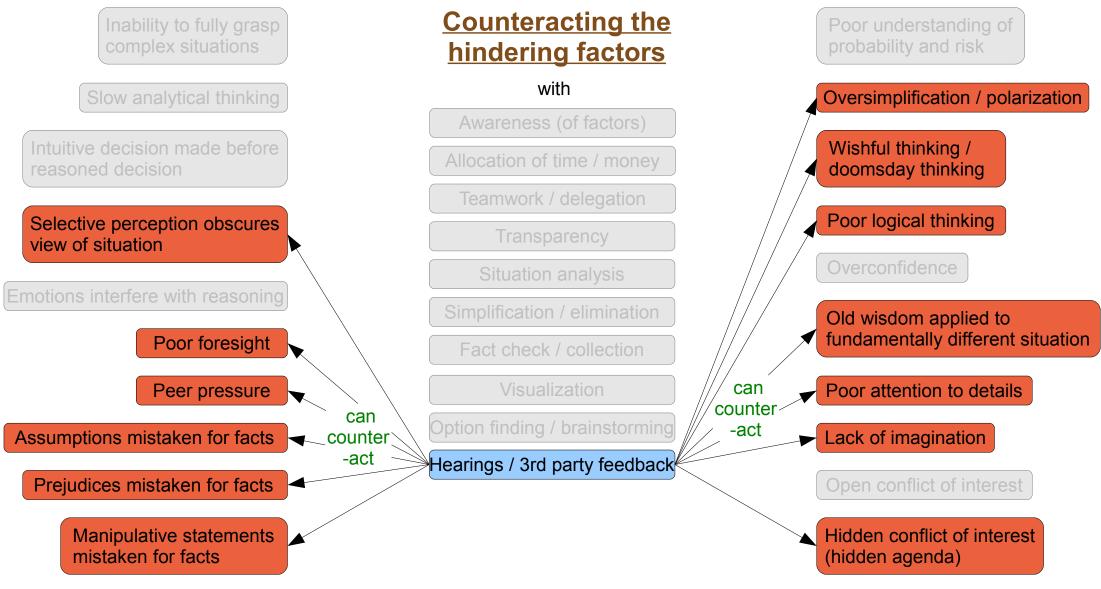


Fig. 7.11b: counteracting the hindering factors

Slow analytical thinking

Intuitive decision made before reasoned decision

Selective perception obscures view of situation

Emotions interfere with reasoning

Poor foresight

Peer pressure

Assumptions mistaken for facts

Prejudices mistaken for facts

Manipulative statements mistaken for facts

Counteracting the hindering factors

with

Awareness (of factors)

Allocation of time / money

Teamwork / delegation

Transparency

Situation analysis

Simplification / elimination

Fact check / collection

Visualization

Option finding / brainstorming

Hearings / 3rd party feedback

Prediction of consequences

Poor understanding of probability and risk

Imagine the possible consequences of each viable decision option. Step by step.

How will the 'opponents' react (if there are any)?

Such cases have similarities to a game of chess.

Being sloppy with predicting consequences very likely leads to bad decisions.

Fig. 7.12a: counteracting the hindering factors

Inability to fully grasp complex situations view of situation Poor foresight can counter Peer pressure -act Assumptions mistaken for facts Prejudices mistaken for facts Manipulative statements mistaken for facts

Counteracting the hindering factors

with

Awareness (of factors)

Allocation of time / money

Teamwork / delegation

Transparency

Situation analysis

Simplification / elimination

Fact check / collection

Visualization

Option finding / brainstorming

Hearings / 3rd party feedback

Prediction of consequences

Poor understanding of probability and risk

Oversimplification / polarization

Wishful thinking / doomsday thinking

Poor logical thinking

Overconfidence

Old wisdom applied to fundamentally different situation

Poor attention to details

Lack of imagination

Open conflict of interest

Slow analytical thinking

Intuitive decision made before reasoned decision

Selective perception obscures view of situation

Emotions interfere with reasoning

Poor foresight

Peer pressure

Assumptions mistaken for facts

Prejudices mistaken for facts

Manipulative statements mistaken for facts

Counteracting the hindering factors

with

Awareness (of factors)

Allocation of time / money

Teamwork / delegation

Transparency

Situation analysis

Simplification / elimination

Fact check / collection

Visualization

Option finding / brainstorming

Hearings / 3rd party feedback

Prediction of consequences

Risk assessment

- 1. Risks within the current situation should be assessed (to avoid immediate surprises).
- 2. Future risks connected with each viable decision option should be assessed.

Example: before you choose between an apparent 'beautiful' and an 'acceptable' option, you really need to know which one involves higher risks.

Counteracting the Inability to fully grasp Poor understanding of complex situations probability and risk **hindering factors** with Awareness (of factors) Wishful thinking / Allocation of time / money doomsday thinking Teamwork / delegation Poor logical thinking Transparency view of situation Overconfidence Old wisdom applied to fundamentally different situation Poor foresight Fact check / collection can can counter counter Peer pressure Poor attention to details -act -act Option finding / brainstorming Assumptions mistaken for facts Lack of imagination Hearings / 3rd party feedback Prejudices mistaken for facts Open conflict of interest Prediction of consequences Manipulative statements Hidden conflict of interest Risk assessment mistaken for facts

Fig. 7.13b: counteracting the hindering factors

Slow analytical thinking

Intuitive decision made before reasoned decision

Selective perception obscures view of situation

Emotions interfere with reasoning

Poor foresight

Peer pressure

Assumptions mistaken for facts

Prejudices mistaken for facts

Manipulative statements mistaken for facts

Fig. 7.14a: counteracting the hindering factors

Counteracting the hindering factors

with

Awareness (of factors)

Allocation of time / money

Teamwork / delegation

Transparency

Situation analysis

Simplification / elimination

Fact check / collection

Visualization

Option finding / brainstorming

Hearings / 3rd party feedback

Prediction of consequences

Risk assessment

Review / double check

Poor understanding of probability and risk

Oversimplification / polarization

Have someone else check your reasoning (at least) before committing to your chosen decision option.

This requires documentation.

The reviewer must be qualified, and there must be no conflict of interest.

Counteracting the Inability to fully grasp Poor understanding of complex situations probability and risk hindering factors with Awareness (of factors) Intuitive decision made before Wishful thinking / Allocation of time / money reasoned decision doomsday thinking Teamwork / delegation Poor logical thinking view of situation Overconfidence Emotions interfere with reasoning Old wisdom applied to fundamentally different situation Poor foresight Fact check / collection Peer pressure Poor attention to details Option finding / brainstorming can Lack of imagination Assumptions mistaken for facts counter Hearings / 3rd party feedback -act can Prejudices mistaken for facts Open conflict of interest counter Prediction of consequences -act Manipulative statements Hidden conflict of interest Risk assessment mistaken for facts Review / double check Fig. 7.14b: counteracting the hindering factors

100

Actually more than halfway through.

Chapter 7 progress

Intro done

Methods and approaches (diagram series)

Overview diagram

Conclusions for individuals

Conclusions for politics

done up next

Chapter 7 summary

Let's merge the previous diagrams into a single one.

This gives us the overview diagram on the next page.

Yes, it does look overloaded, but it shows something very important: we can actually overcome all hindering factors.

Is it necessary and practical to use all these methods every time?

No. More about that shortly.

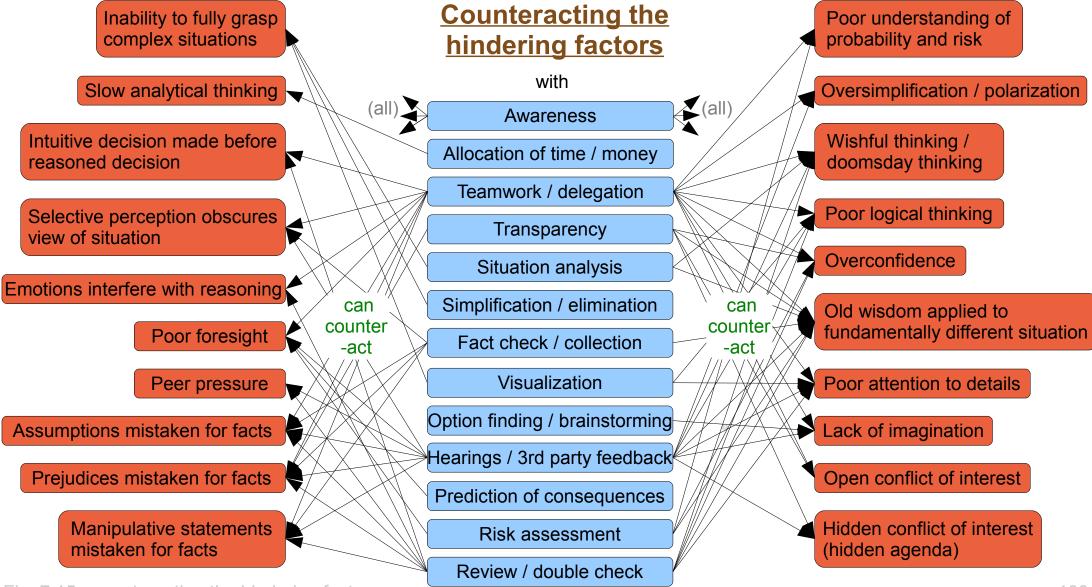


Fig. 7.15: counteracting the hindering factors

Chapter 7 progress

Intro done

Methods and approaches (diagram series) done
Overview diagram done
Conclusions for individuals
Conclusions for politics

Chapter 7 summary

So, is all this information just boxes and arrows in abstract diagrams, far from real life?

Or can you use it for making better decisions for yourself?

If so, how?

And is it worth the effort?

Well, you can use information from the diagrams to improve your personal decision making.

But like learning other skills, it requires effort and in the beginning you can't expect brilliant results.

First you need to identify which hindering factors are most relevant for you. That depends on your personal strengths and weaknesses.

Then you pick methods that can counteract these factors, and that suit you and the kind of decision you want to make.

Then you use these methods.

That's it.

In practice, you would work your way through a process similar to the minimal one on the next page (but tailored to your own needs.)

Personal decision 'survival kit'

(for medium complex situations)

Awareness	1-3 min.	'I realize my mind has limitations. I need to work around them.'
Situation analysis	3-15 min.	'What am I facing here? (Would it help to make a sketch on paper?)'
Option finding / brainstorming	3-15 min.	'I write down all decision options I can think of.'
Visualization	3-15 min.	'I make a table where I list my options, with their pluses and minuses'.
Prediction of consequences	3-15 min.	'What will happen if I go for my favourite option?'
Review / double check	5-30 min.	'I ask a smart friend for feedback, or double check myself tomorrow.'

Is it worth the effort? Compared to decisions based on impulse, your output rate of bad decisions will be far lower. Which means you will cause fewer problems.

Whenever this requires less effort than dealing with caused problems, the answer is yes.

(If in doubt, consider also the red and green circles from chapter 4)

Note that 'personal' decisions are only truly personal if nobody else has to live with their consequences.

Otherwise they are partly made on behalf of others, and the decision maker should also consider their interests.

That leads us into the domain of politics.

Chapter 7 progress

Intro done

Methods and approaches (diagram series) done

Overview diagram done

Conclusions for individuals done

Conclusions for politics up next

Chapter 7 summary

The main task of a political institution (e.g. a town council, parliament or government) is to make decisions on behalf of others - the people they serve and have power over. Us.

We can rightfully expect that our institutions perform this task consistently well.

If you need something to be done consistently well, you need safeguards which prevent (inevitable) human errors from causing major problems.

The 'blue box' methods in the overview diagram (fig. 7.15, p. 103) can serve as such safeguards, if integrated into the decision process.

Some political decision makers may oppose such safeguards as too restrictive for their liking (unless these can easily be outmaneuvered or disabled).

If you look at the way political decisions are often made, you can understand why.

Example:

Favouring special interests over public interests, manipulative statements and poor foresight are much harder to get away with

if there are safeguards such as

Transparency

Fact check / collection

Prediction of consequences

in place (and functioning).

To get the best possible political decisions, we must ensure that all 'red box' hindering factors are counteracted by suitable 'blue box' methods.

Ideally, politicians, media and the general public would cooperate competently to achieve this.

Realistically, we would need to establish strict quality standards for political decision processes.

And means for ensuring compliance.

How this could be done efficiently, and without taking legitimate power from the decision maker, is described in appendix E (p. 294).

Chapter 7 summary

A decision maker can overcome hindering factors by using counteracting methods/approaches, and thus achieve good decision quality.

This applies to any decision maker, from a single individual to global corporations or political institutions.

Many decision makers do not use counteracting methods systematically. Hence their decision quality is rather variable.

In the domain of politics, 'rather variable' decision quality is not good enough (just look at the results).

Establishing strict quality standards for political decision processes could reduce the number of bad decisions and caused problems.

Chapter 8

Decision making theory vs. real life

Why available tools are not used

Few of the methods listed in chapter 7 (e.g. hearings, risk assessments) are systematically used in decision processes.

Most are not.

And there is no widespread and systematic use of other tools either.

Quite strange, considering that:

- advice on decision making is available for everyone who has access to a library or the internet

 using tools to accomplish something difficult is normal human behaviour Why is all this available knowledge so seldom applied to real life situations?

There are at least 9 reasons.

1. The decision maker feels comfortable with his abilities, and does not seek more knowledge.

2. After a bad decision, the decision maker draws only superficial conclusions (e.g. 'I had a bad day', 'they gave me wrong information'), instead of looking for ways to improve the decision process.

3. Decision process quality itself is intangible and hard to focus on. Neither its presence nor its absence can be pictured in a news article or on TV.

4. Many texts about these subjects are written by experts for experts. Difficult language and sheer volume can make the contents of such texts practically inaccessible for everyone else.

5. Even clearly understandable and well-founded advice can be impractical to follow in some real life situations.

6. Following a specific decision making procedure easily feels like a loss of freedom. And that doesn't feel good.

7. Many leaders may fear that using decision making tools could make them look weak (because they 'need help') or otherwise reduce their authority.

8. There is no widespread culture of using decision making tools. It is not something 'normal' everyone has learned at school.

9. A decision maker with a hidden agenda has absolutely no interest in following a well-designed decision making procedure (but cannot say that in public).

Proper use of decision making tools would almost certainly interfere with the hidden agenda.

Chapter 8 summary

Decision making tools (methods) are available for everyone who has access to a library or the internet.

But only few decision makers use them.

There are at least 9 reasons for this.

In some cases, the decision maker lacks awareness or gives other matters priority over decision quality.

In other cases, social norms or communication problems prevent the use of such tools.

And some tools are simply impractical.

Chapter 9

An appeal: how you can contribute

Better decisions, less problems

Since you are reading this, I assume that your interest in Perhaps there is a specific problem solving goes beyond problem you like to see solved? pure theory.

Before moving on, we need to distinguish between two types of problems:

A) the ones you could solve yourself without (much) outside assistance

B) the ones you can't possibly solve on your own (e.g. global problems)

Regarding type A problems, please read the 'Conclusions for individuals' section (p. 104) in chapter 7.

However, looking at the diagram (from chapter 2) on the next page, we see that most problems there are of the B type.

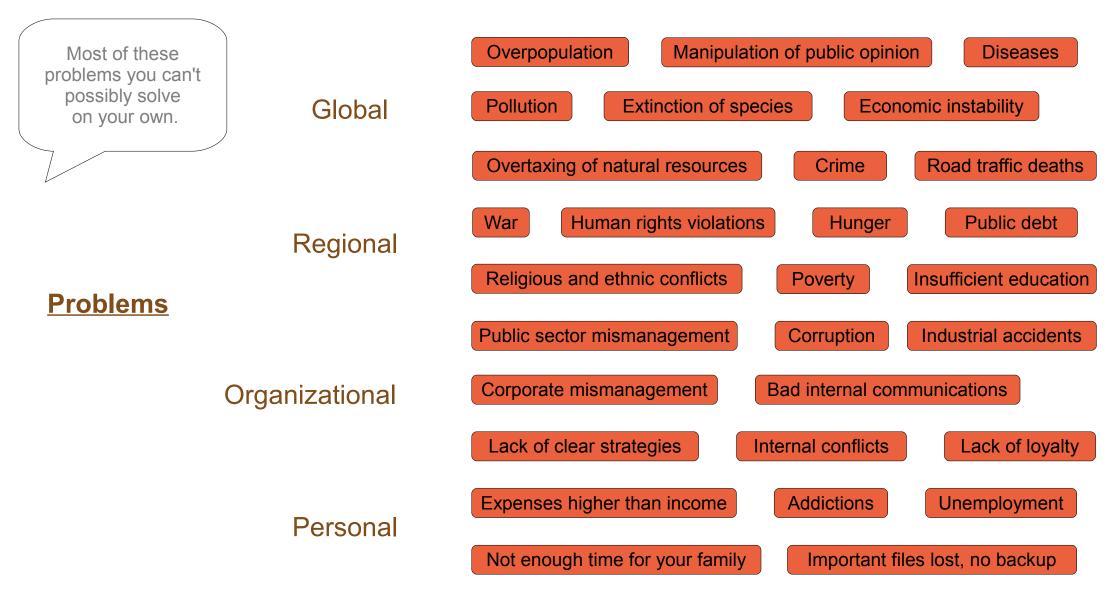


Fig. 2.1 : problem examples

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Now what?

Is this the time to give up on the global and regional problems?

No.

It's true, you can't expect to solve any of those problems by next week. Even if you would team up with 'a million' other people.

But this is completely different if you look 5, 10 or 20 years ahead.

Relatively small improvements in your own decision making skills can make a lot of difference over time.

And if you share your skills and knowledge with others, and they do the same again, the difference could be big enough to change the world.

This is not a fantasy.

The spreading of skills, knowledge and ideas has worked before. In fact, it is changing the world all the time.

Chapter 9 progress

```
What you can do if you are ... a teacher ... a student ... a parent ... a politician / public servant ... a journalist ... a 'normal citizen'

Chapter 9 summary
```

If you are a teacher, you could:

- let your class discuss the concepts described in this book (or just a particular chapter, appendix, or diagram)
- do practical decision making exercises with your students.
 Show the difficulties and how to overcome them

- let them compare alternative options visually, by making suitable diagrams (see appendices F, p. 356, and G, p. 441, for suggestions)
- teach your students how to recognize and resist manipulation attempts (especially by mass media)
 - encourage critical and logical thinking in general

If you are a student, you could:

- ask your teacher to consider the suggestions on the previous page
 - discuss 'problems, decision making and quality of life' subjects with your friends (see also appendix A, p. 148)

- when possible, choose such subjects in your assignments
- ask questions and demand transparency when confronted with decisions affecting yourself
 - where appropriate, try to establish a decision making procedure in your class (for common decisions)

If you are a parent, you could:

- talk about the 'bad decisions cause problems, good decisions avoid/solve problems' concept with your child (use real life examples)
- compare your options visually (draw diagrams) before making important decisions in your family (see appendices F, p. 356, and G, p. 441, for suggestions)

- teach your child how to recognize and resist manipulation attempts. For instance, read news articles together and discuss the views they convey (biased? how? why?)
 - encourage logical and far-sighted thinking
 (e.g. by playing games such as chess with your child)

More than halfway through.

Chapter 9 progress

Intro done

What you can do if you are ... a teacher done

... a student done

... a parent done blic servant up next

... a politician / public servant

... a journalist

... a 'normal citizen'

Chapter 9 summary

If you are a politician, or a public servant, you could:

- support transparent decision making (by words and action).
 Start by setting a good example in your own office
- put 'establish quality standards for decision making' very high up on your to-do list (see appendix E, p. 294)

- support decision making education in schools (again, by words and action)
- train yourself to be realistic about what your mind can or cannot do. Avoid 'denial mode' (see chapter 6, p. 62)
- flag any conflict of interest that might interfere with your foremost duty: to serve the public

If you are a journalist, you could:

- point out shortcomings,
 achievements and possible
 improvements when writing about
 political decision processes
 - point out 'pros and cons' and possible consequences when writing about political decision options

- use diagrams to make complex situations more transparent (see appendices F, p. 356, and G, p. 441, for suggestions)
- clearly separate and label facts and opinions (yours or others) in all your articles
- refrain from using rhetoric tricks and all other manipulation methods. Let your audience do their own thinking

If you are a 'normal citizen', you could:

- follow any mentioned suggestions which suit you

for instance, from the student page:

 discuss 'problems, decision making and quality of life' subjects with your friends (see also appendix A, p. 148)

- support politicians who (by words and action) work for more transparency and better quality standards for decision making
- avoid consuming, and paying for, media content which is biased and manipulative. Don't finance people who want to brainwash you

Chapter 9 progress

Intro done

What you can do if you are ... a teacher done

... a student done

... a parent done

... a politician / public servant done

... a journalist done

... a 'normal citizen' done

Chapter 9 summary up next

Chapter 9 summary

If you want to live in a world with less problems, consider contributing towards this goal.

You can do this by improving your decision making skills, by making better decisions yourself and by convincing others to do the same.

You don't have to aim for perfection. Aim for doing better than average.

Over time, a large number of people doing better than average will cause the average to move.

Closer to the goal.

Teachers, politicians and journalists can influence large audiences by setting good examples, and by putting decision quality on their agenda.

Chapter 10

Conclusion

A short one

Quality of life is better if there are fewer problems around.

Some problems are even a threat to survival.

2.

Almost all problems are caused by bad decisions.

No problem will be solved well, if at all, without good decision making.

Therefore we must ensure that our decisions are good, or at least mediocre, but definitely not bad.

(What is 'good' or 'bad' depends on viewpoint, time frame and values.)

For private decisions, this is best done by knowing one's own mental limitations, and how to work around them.

There are proven methods for that.

For decisions affecting the public, this is best done by establishing and enforcing quality standards for decision making.

Where they exist, they must be improved, mostly towards better transparency and efficiency.

If we want these changes to happen soon, personal effort and strong public pressure are required.

6.

It's up to us, the generations currently responsible for this planet.

Many of today's political decision makers will oppose such changes, which would expose flaws in their work.

Educating the next generations about all this is absolutely essential.

The mechanics of decision making must become common knowledge.

8.

If we succeed, see point 1.

If we fail: also see point 1.

... same text, but on a single page.

Conclusion overview

- 1. Quality of life is better if there are fewer problems around. Some problems are even a threat to survival.
- Almost all problems are caused by bad decisions.
 No problem will be solved well, if at all, without good decision making.
- 3. Therefore we must ensure that our decisions are good, or at least mediocre, but definitely not bad.

 (What is 'good' or 'bad' depends on viewpoint, time frame and values.)
- 4. For private decisions, this is best done by knowing one's own mental limitations, and how to work around them. There are proven methods for that.
 - For decisions affecting the public, this is best done by establishing and enforcing quality standards for decision making. Where they exist, they must be improved, mostly towards better transparency and efficiency.
- 5. Many of today's political decision makers will oppose such changes, which would expose flaws in their work.
- 6. If we want these changes to happen soon, personal effort and strong public pressure are required.

 It's up to us, the generations currently responsible for this planet.
- 7. Educating the next generations about all this is absolutely essential. The mechanics of decision making must become common knowledge.
- 8. If we succeed, see point 1. If we fail, also see point 1.

30 second summary

Problems can make us unhappy (or kill us).

Almost all problems are caused by bad decisions.

Hence we must avoid bad decisions.

This is not easy, because many factors hinder good decision making.

But it is possible to identify and to overcome these hindering factors.

If we do this systematically, we will have fewer problems.

Our quality of life would then be better.

Good decision making requires specific education.

Contents

done with these chapters

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Appendix A

Quality of life as a decision criterion

The most important one?

An important part of decision making is the evaluation of available options.

Decision makers who work systematically therefore compile a list of criteria, and then evaluate the option/criterion combinations. Examples of often used criteria are: total costs, expected gain, implementation time, feasibility.

Quality of life is seldom found on a criteria list.

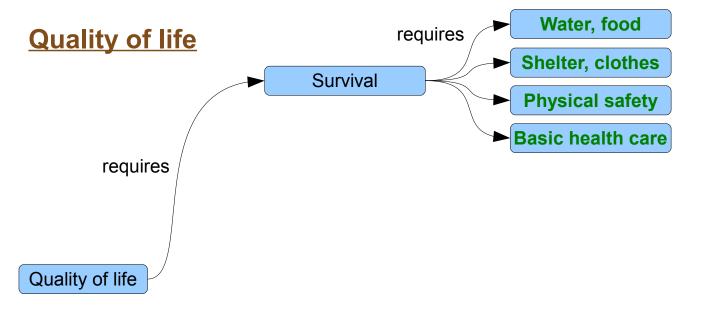
This gives the impression that it is not important or relevant.

Let's have a closer look.

Appendix A progress

Intro done

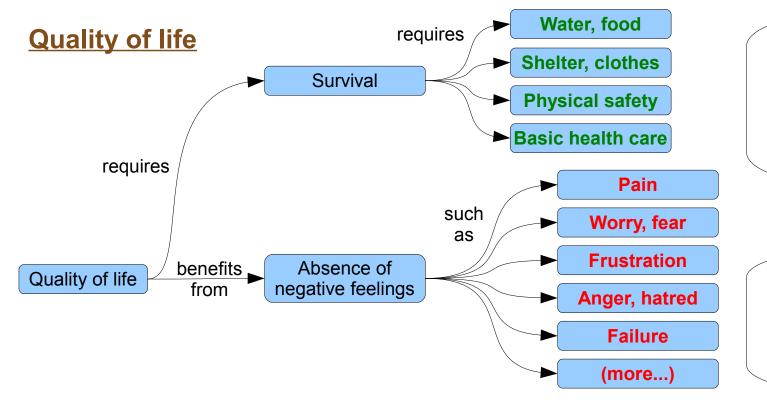
Quality of life ...
... definition up next
... yours and others
... as a decision criterion
... and problems



Quality of life depends on 3 factors.

The most essential one is survival.

Fig. A.1a: quality of life

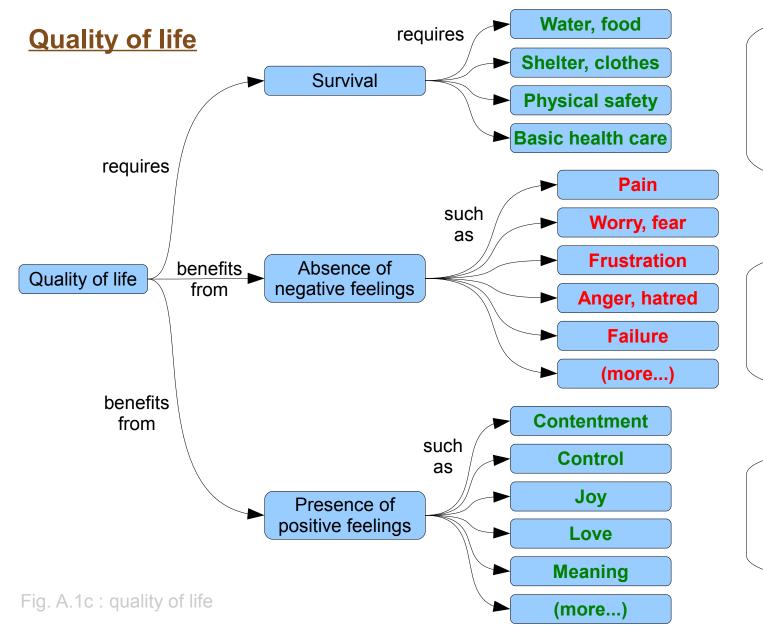


Quality of life depends on 3 factors.

The most essential one is survival.

The second factor is the absence of negative feelings.

Fig. A.1b : quality of life



Quality of life depends on 3 factors.

The most essential one is survival.

The second factor is the absence of negative feelings.

The third factor is the presence of positive feelings.

Quality of life can be positive ('I enjoy life'), neutral or negative ('I suffer in life').

Using a high/low scale is also common.

Quality of life is mostly subjective by its nature. However, some parts of it can be measured, compared and even predicted by outside observers.

Quality of life can change from minute to minute.
But usually one refers to its average over days or weeks.

There is a continuous range between the positive and negative extremes.

Quality of life is similar in meaning to well-being.

Positive quality of life is similar in meaning to happiness.

Periods with negative quality of life are sometimes in retrospect regarded as valuable (character building, or otherwise necessary) for one's life.

Such a view can be either well-founded, or rather be the result of psychological self defence (the mind creates a more pleasant past for itself).

Both positive and negative feelings can be caused by events that happened in the past, are happening in the present, or are expected to (possibly) happen in the future.

Therefore personal experiences and expectations can have a strong influence on quality of life.

Example:

Even if your present circumstances are just perfect, your quality of life could be negative if you spent last year in a war zone, or if you expect to lose your job next year.

Appendix A progress

Intro done

Quality of life ...
... definition done
... yours and others up next
... as a decision criterion

... and problems

157

Apart from outer circumstances, your quality of life also depends on your personality.

Hence people with different personalities can easily experience widely different qualities of life under the same outer circumstances.

Some people have a personal bias towards negative feelings, which is sometimes a survival advantage.

Examples: fear can help us to avoid danger, worries can motivate us to prepare for future emergencies.

Other people have a personal bias towards positive feelings. They are the happier ones.

Appendix A progress

Intro done

Quality of life ...

... definition

... yours and others done

... as a decision criterion

... and problems

done

up next

We need to distinguish between two types of decisions:

A) personal decisions, which affect yourself and perhaps a few others

B) larger-scale decisions, political or not, which affect many people

In your personal decision making, your quality of life should always be high on your criteria list.

Whether it should top the list depends on your value system (see chapter 3, p. 35).

Specifically, it depends on how important ethical behaviour (or simply consideration for others) is for you.

Selfish individuals will put their own quality of life above everything else.

However, from a civic point of view, it is much better if ethical behaviour outranks your own quality of life on your criteria list.

In other words: pursue your happiness, but don't make other people pay for it.

Also in larger-scale decision making quality of life should always be considered.

In the case of political decisions, everyone affected by them can rightfully demand just that.

Compared to personal decisions, at least two aspects are different:

- 1. the decision maker needs to somehow foresee other people's quality of life
- 2. there can be very many other people affected

The second aspect can be addressed by considering groups rather than individuals.

This reduces the number of considerations from 'unmanageable high' to typically 5 to 10.

A very low number of considered groups could indicate that minorities are being ignored.

Examples of such groups:

people who commute by car,
people who commute by public
transport, people who are
exposed to road traffic noise,
and
people who will live in this region
in 50 years

But what about the first aspect?

Attempting to foresee someone else's quality of life is difficult, and the results would often be wrong.

It is much easier to foresee how someone else's quality of life would change under certain conditions. Example: it's hard to tell exactly how happy the residents of a suburb will be next week. But if the authorities now announce plans for a nearby chemical factory, it's fair to assume that they will be less happy than otherwise.

The bottom line is, the decision maker's criteria list should include (typically 3 to 8) criteria such as these examples:

- impact on quality of life for group A (people who commute by car)
- impact on quality of life for group B (people who commute by public transport)

Whether quality of life criteria get higher or lower priority than other criteria (e.g. costs, profit) depends on the decision maker's value system.

Some decision makers do not care much about other groups' quality of life. Consequently, they prefer not to have those criteria on their lists.

Note:

For more information about value systems, see chapter 3 (p. 35).

A few pages later (p. 38), you can find some requirements for 'good' political decisions.

Note:

Appendix E (p. 294) outlines a quality standard for larger-scale decision making processes.

Appendix F (p. 356) shows how to maintain an overview over hundreds of option/criterion/view combinations.

The following applies to both personal and large-scale decision making.

There is another reason why quality of life is seldom found on a criteria list (if there is any):

It is easily confused with other criteria such as income, wealth, employment, comfort, status, power and growth.

Any of these criteria can contribute to better quality of life, up to a certain point.

Beyond that point,
a 'more is better' strategy can cause
so serious unwanted side effects
that quality of life
actually becomes worse.

(Unwanted side effects are discussed in appendix C, p. 217)

Sometimes it is smart to have selected criteria more than once on the list, with each instance referring to a different time frame (see chapter 3, p. 34).

Examples:

monthly operating costs (this year), monthly operating costs (in 3 years), short-term impact on quality of life, long-term impact on quality of life Decision makers tend to focus much more on short-term effects than on long-term effects.

But these may differ a lot from each other. In such cases, poor foresight will very likely cause problems later on.

Appendix A progress

Intro done

Quality of life ...

... definition done

... yours and others done

... as a decision criterion done

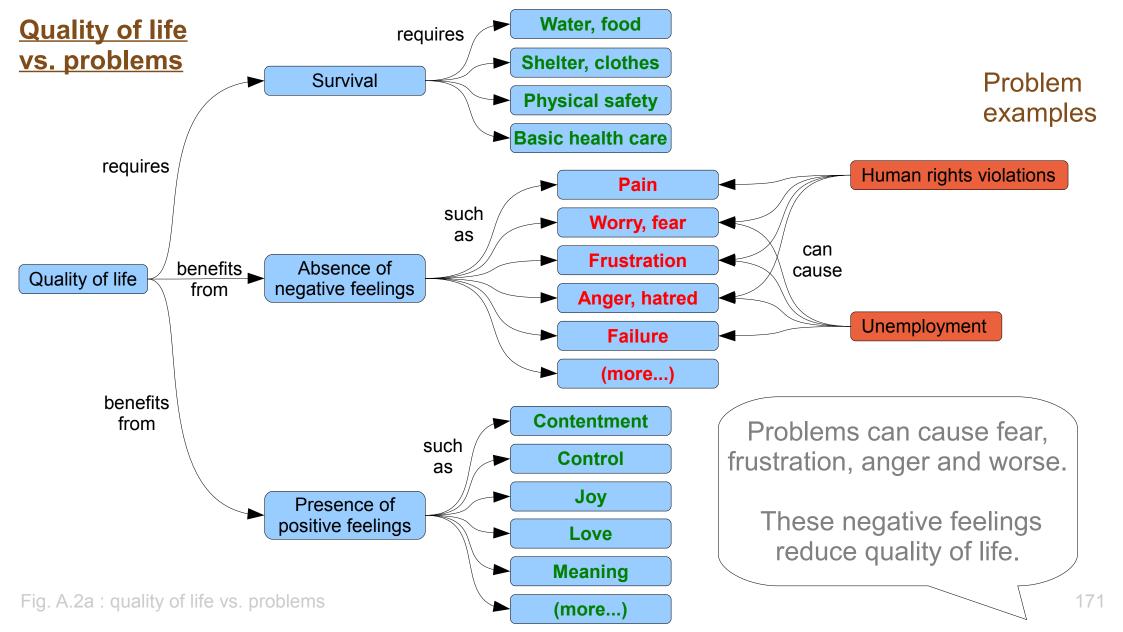
... and problems up next

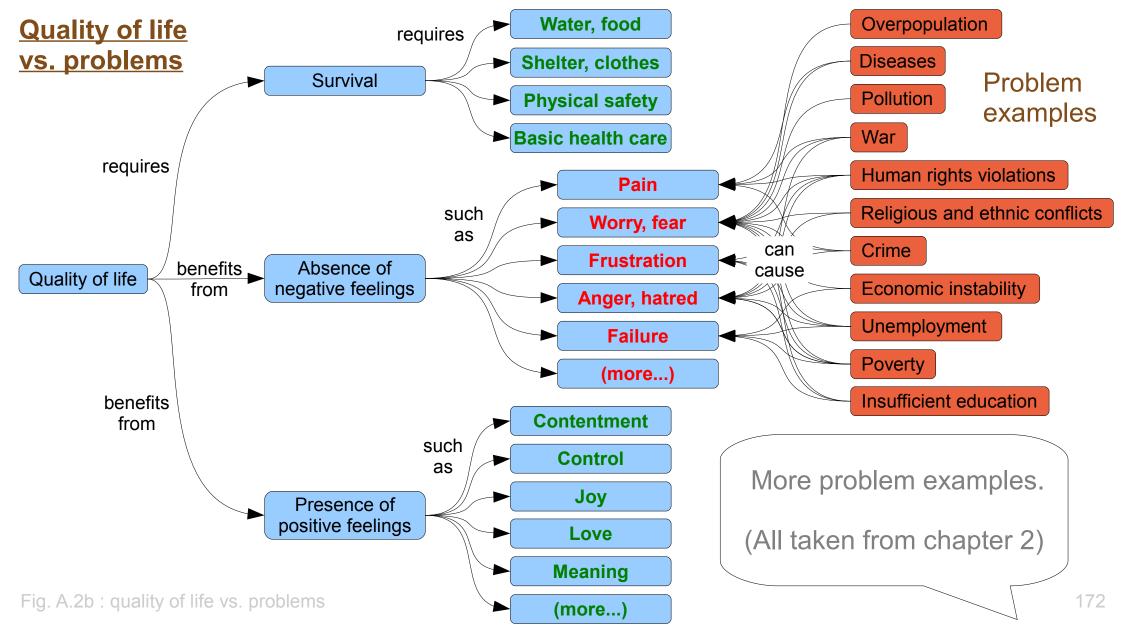
Quality of life is better if there are fewer problems around.

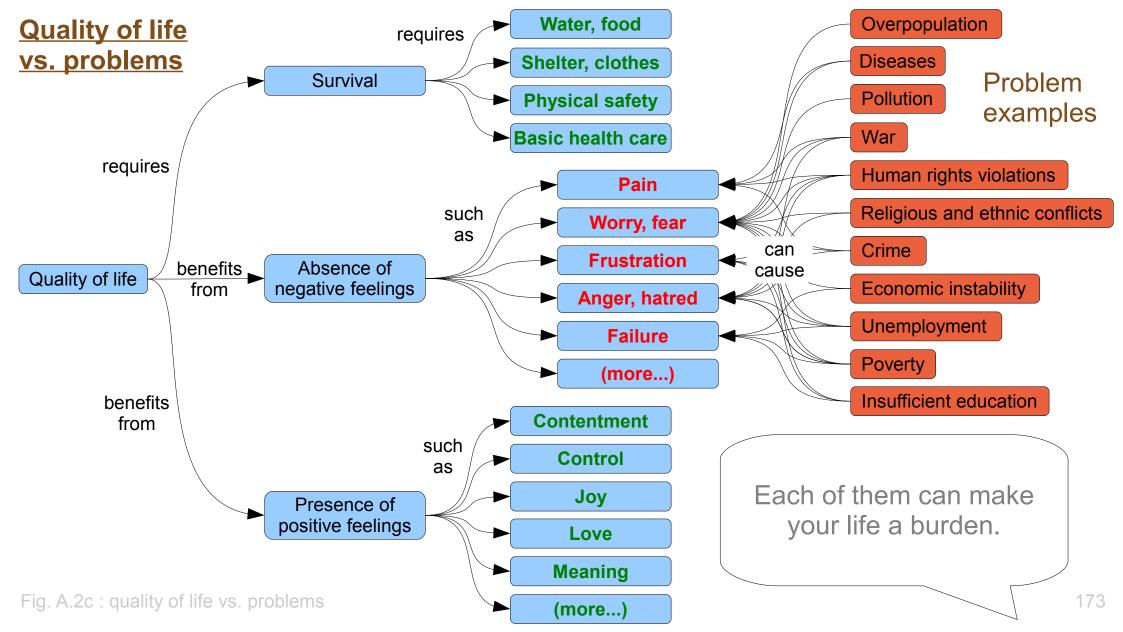
Some problems are even a threat to survival.

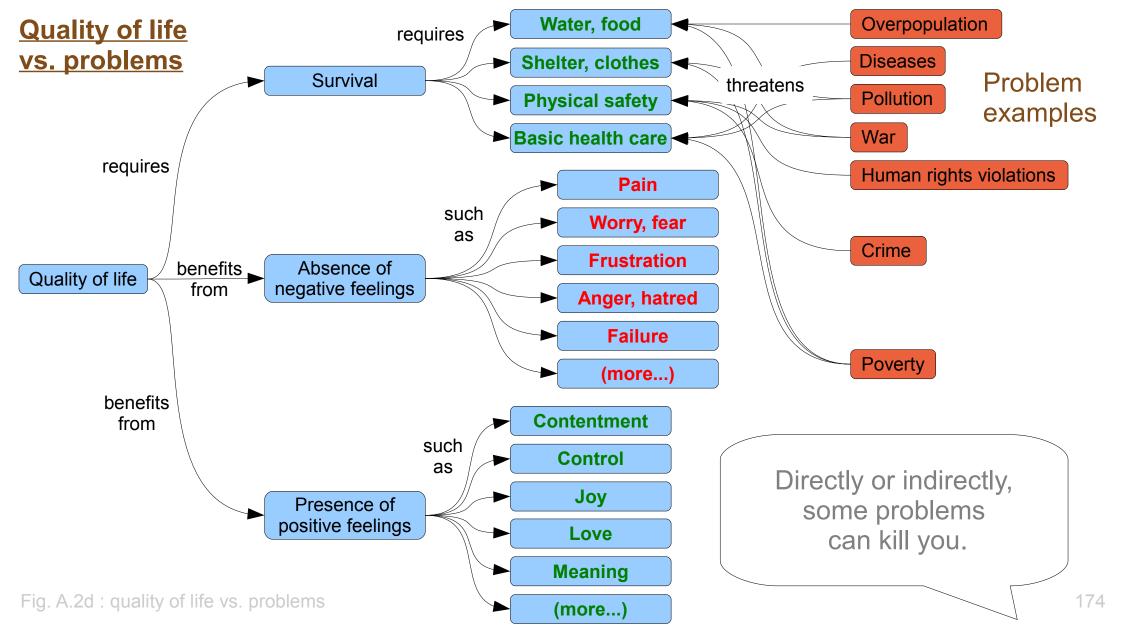
(See chapter 2, p. 11)

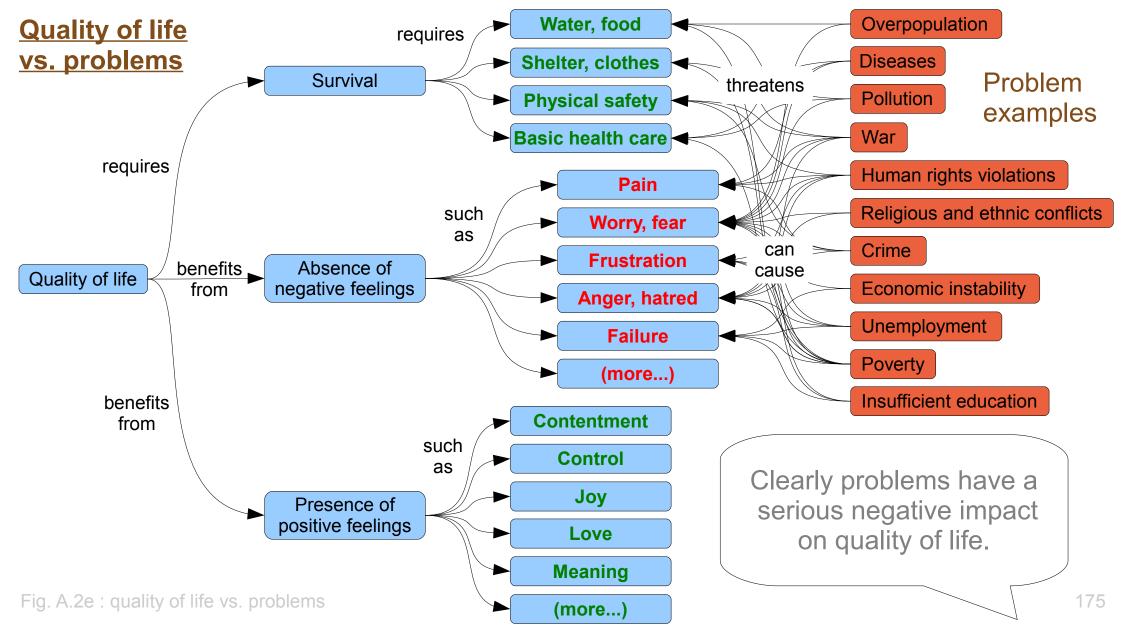
The diagram on the next page shows some examples.

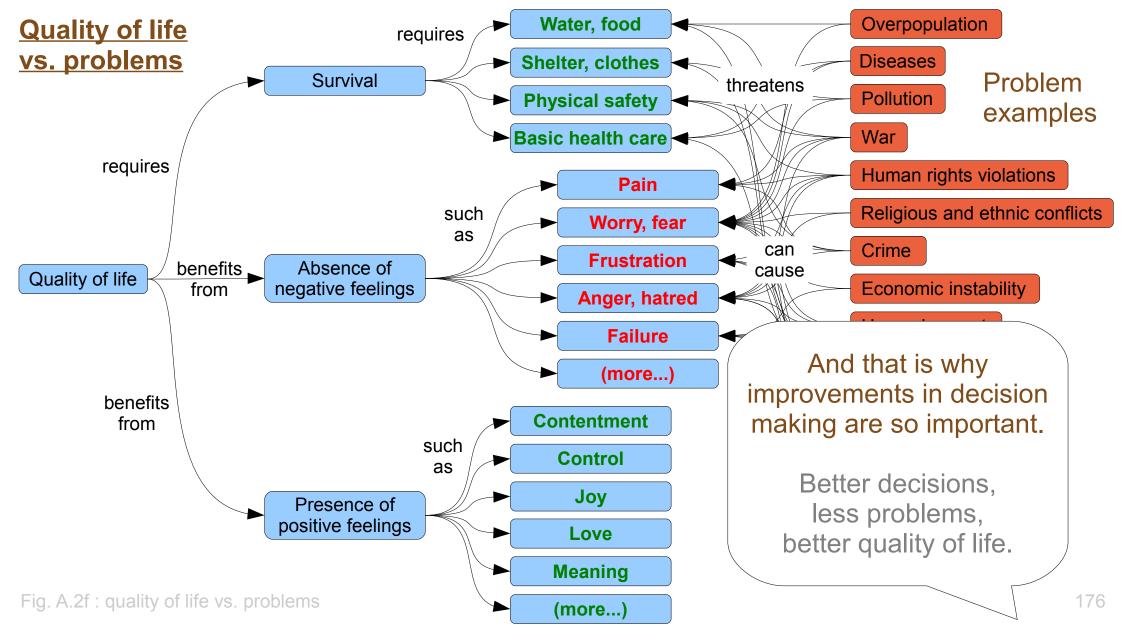












Appendix B

Is there a 'mother of all problems'?

How to solve interconnected problems

The answer is probably no.

There is no 'mother' problem, or root cause, from which <u>all</u> other problems originate.

Even suspects like the dark side of human nature, poverty or overpopulation cannot be blamed for everything.

But:

Problems are often connected with other ones. Sometimes they form hierarchical structures, with a 'mother' problem as common origin.

So there are not one, but many 'mother' problems.

Let's see what that means for problem solving.

Appendix B progress

Intro done

Starting point

up next

Method A: introduction / example

Method B: introduction / example

Appendix B conclusion

Imagine a scenario where you're facing not one, but several problems.

Theoretically, if you had unlimited resources available, you could fully engage in solving all those problems simultaneously.

In practice, your resources are always limited. You could spread out your efforts, or you could prioritize.

Which approach is better depends on if and how the problems are connected with each other.

Hence these questions should be answered before choosing an approach.

A good starting point is to make an overview diagram which shows all problems in the scenario.

On the next page, we revisit a chapter 2 diagram which provides us with problem examples.

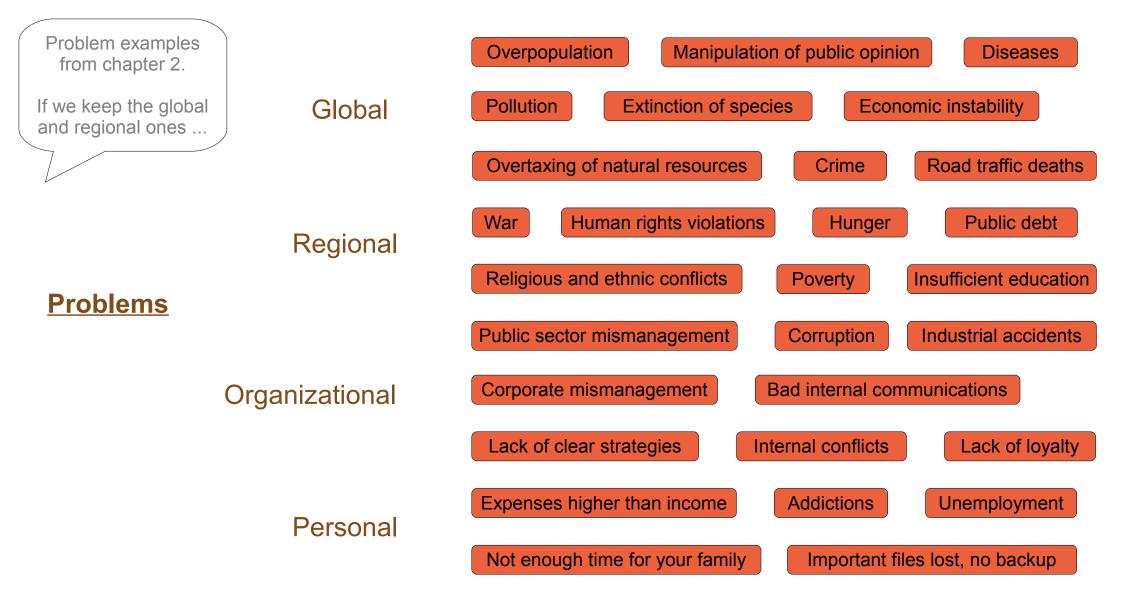


Fig. 2.1: problem examples

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Problem overview (example)

Overpopulation

Manipulation of public opinion

Diseases

... we get this diagram (still under construction).

Pollution

Extinction of species

Economic instability

Overtaxing of natural resources

Crime

Road traffic deaths

War

Human rights violations

Hunger

Public debt

Religious and ethnic conflicts

Poverty

Insufficient education

Public sector mismanagement

Corruption

Industrial accidents

Problem overview (example)

Overpopulation

Manipulation of public opinion

Diseases

Pollution

Extinction of species

Economic instability

Now it's time to look for connections between these problems.

This can be done in at least two ways.

Overtaxing of natural resources

Crime

Road traffic deaths

War

Human rights violations

Hunger

Public debt

Religious and ethnic conflicts

Poverty

Insufficient education

Public sector mismanagement

Corruption

Industrial accidents

Method A

Imagine that one particular problem gets solved perfectly. Which other problems would disappear or decrease?

Draw connection arrows in the diagram. State your reasons.

Do this with all problems in the scenario.

Method B

Change your perspective.
Imagine that you need to maintain (not solve) a particular problem.
Make a list of its 'success factors'.

Are there 'success factors' which depend on other problems?

Draw arrows accordingly.

Do this with all problems in the scenario.

Appendix B progress

Intro done

done

up next

Starting point

Method A: introduction / example

Method B: introduction / example

Appendix B conclusion

Note:

Please understand that this and the next section introduce method A and B, using well-known problems as examples.

A fully detailed analysis is beyond the scope of this text.

Overpopulation

Manipulation of public opinion

Diseases

Let's use method A on the overpopulation problem.

Please imagine a global population of about 500 million people. That would certainly not be an overpopulation.

Which other problems would disappear or decrease?

Pollution

Extinction of species

Economic instability

Overtaxing of natural resources

Crime

Road traffic deaths

War

Human rights violations

Hunger

Public debt

Religious and ethnic conflicts

Poverty

Insufficient education

Public sector mismanagement

Corruption

Industrial accidents

The pollution problem would decrease nearly proportionally, and almost disappear.

Assuming a per person unchanged consumption pattern, the global consumption of 'everything' (energy, products, services) would drop very significantly, and pollution with it.

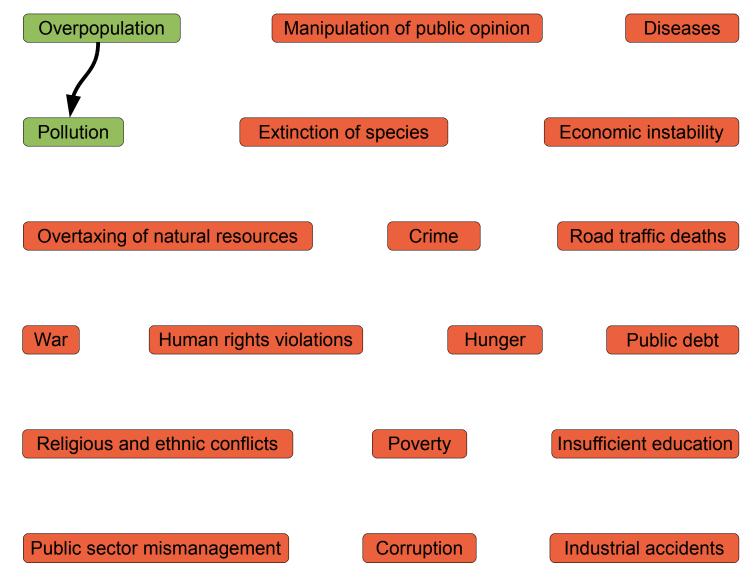


Fig. B.2b: interconnected problems (method A example)

The extinction of species problem would decrease, but not disappear.

A smaller human population would interfere far less with the habitats of endangered species.

Less pollution would also help a lot.

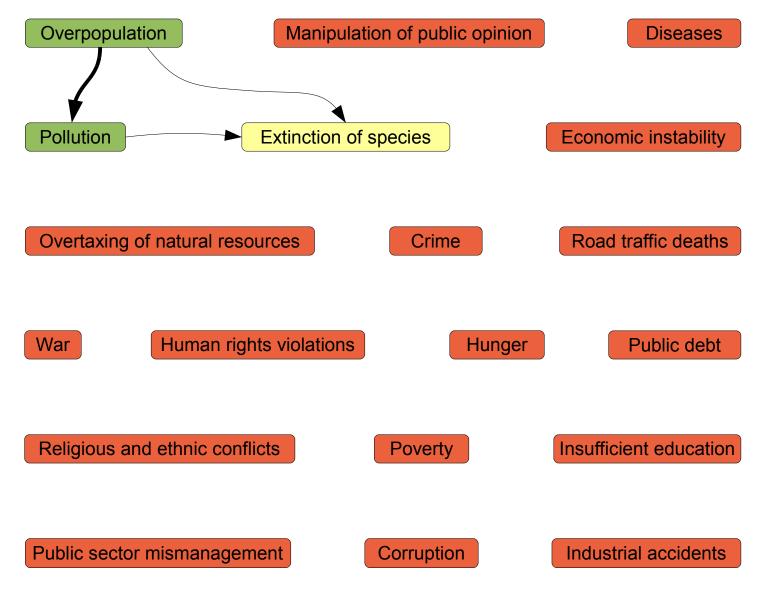


Fig. B.2c: interconnected problems (method A example)

The extinction of species problem would decrease, but not disappear.

A smaller human population would interfere far less with the habitats of endangered species.

Less pollution would also help a lot.

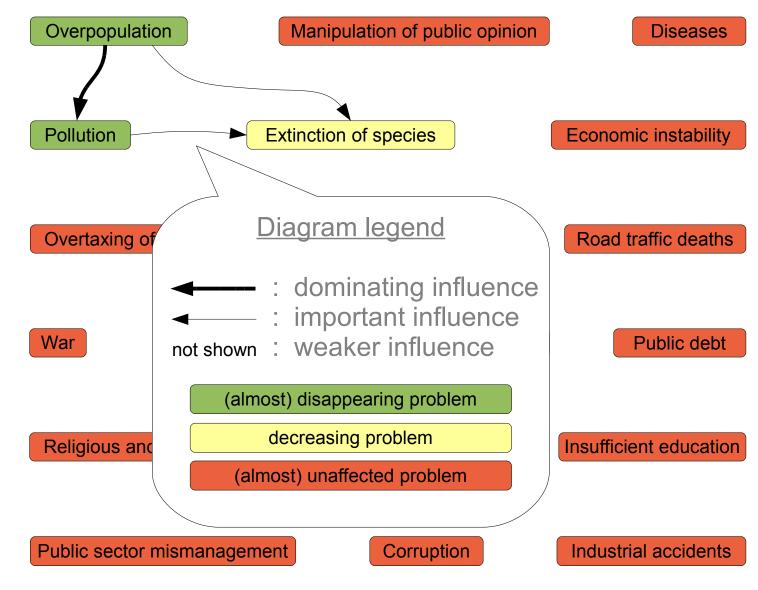


Fig. B.2d: interconnected problems (method A example)

The diseases problem would decrease, but not disappear.

Contagious diseases spread slower and are easier to control in less densely populated areas.

Diseases caused by pollution would decrease very significantly.

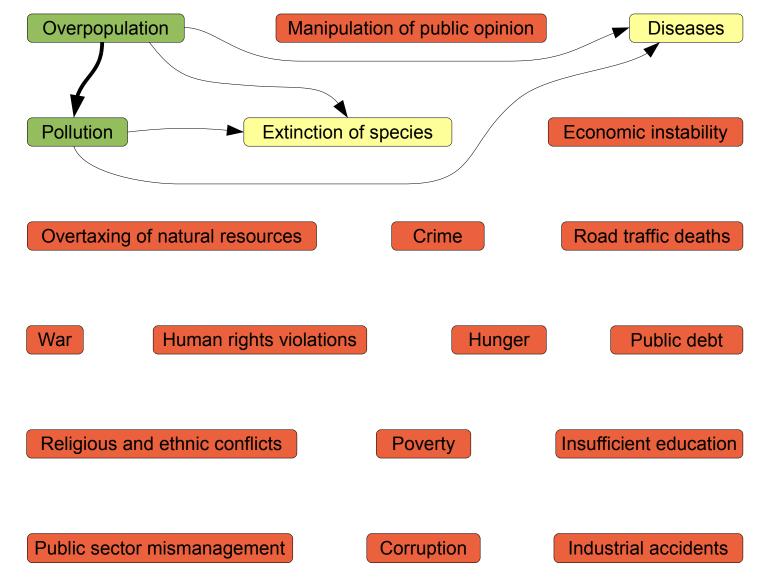


Fig. B.2e: interconnected problems (method A example)

The overtaxing of natural resources problem would almost disappear.

The demand for food and energy would drop to sustainable levels.

Overfishing and deforestation would stop.

Neither fossil nor nuclear fuels would be required as energy source.

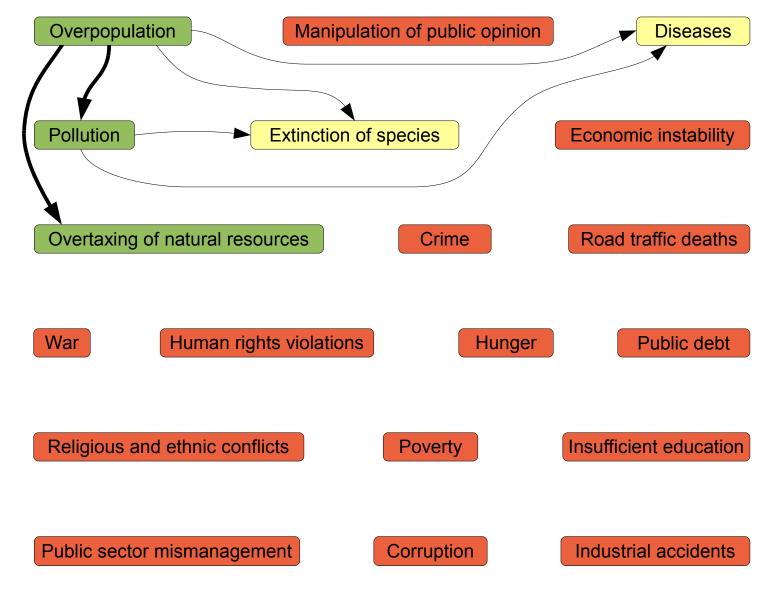


Fig. B.2f: interconnected problems (method A example)

The war problem would decrease, but not disappear.

Fighting for resources would happen less often if these resources were less scarce.

Along with war, human rights violations would decrease.

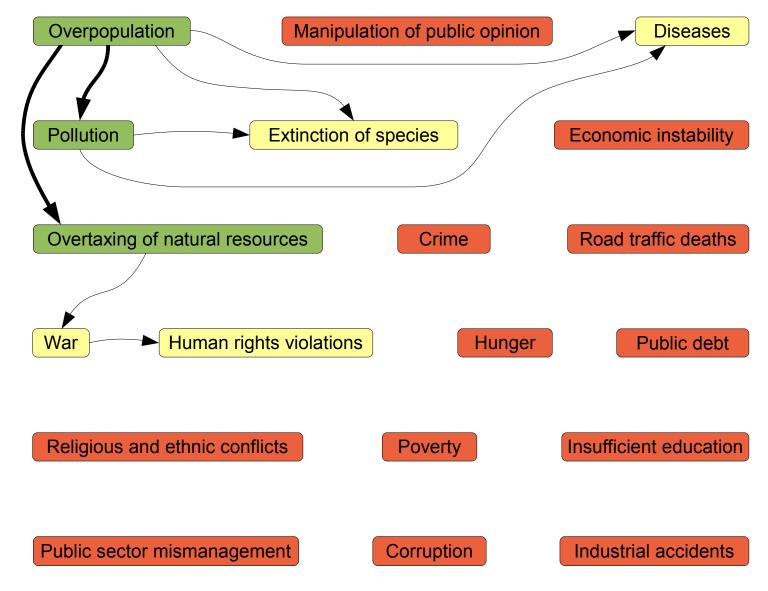


Fig. B.2g: interconnected problems (method A example)

The hunger problem would decrease, but not disappear.

Food production depends on natural resources, which could easily satisfy the now smaller demand.

Along with hunger, hunger-related diseases would decrease.

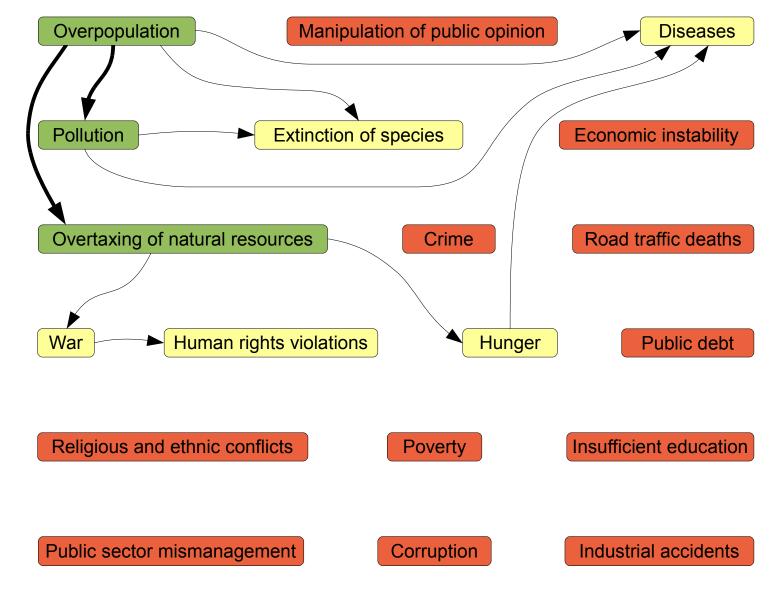


Fig. B.2h: interconnected problems (method A example)

The poverty problem would decrease, but not disappear.

People who have access to enough natural resources (also other than those used for food production) are usually not poor.

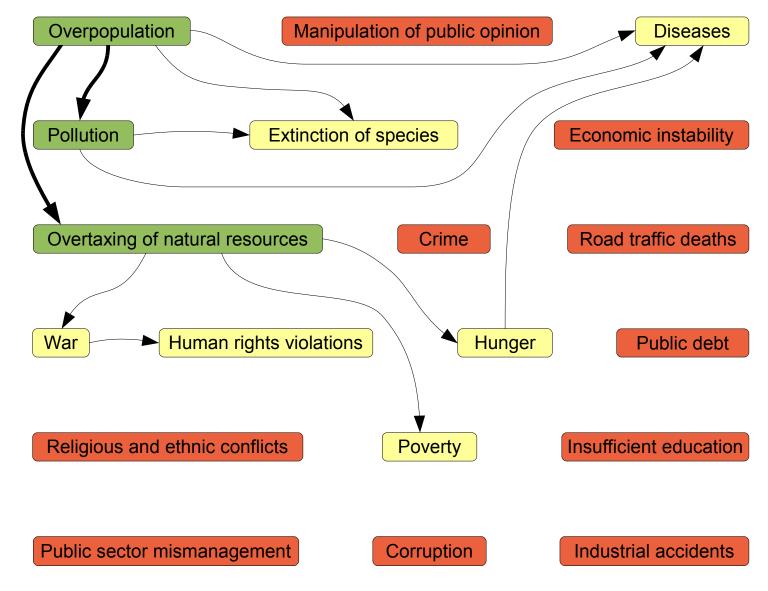


Fig. B.2i: interconnected problems (method A example)

Along with poverty, hunger and insufficient education would decrease.

There would be more money available for food, and for better education systems.

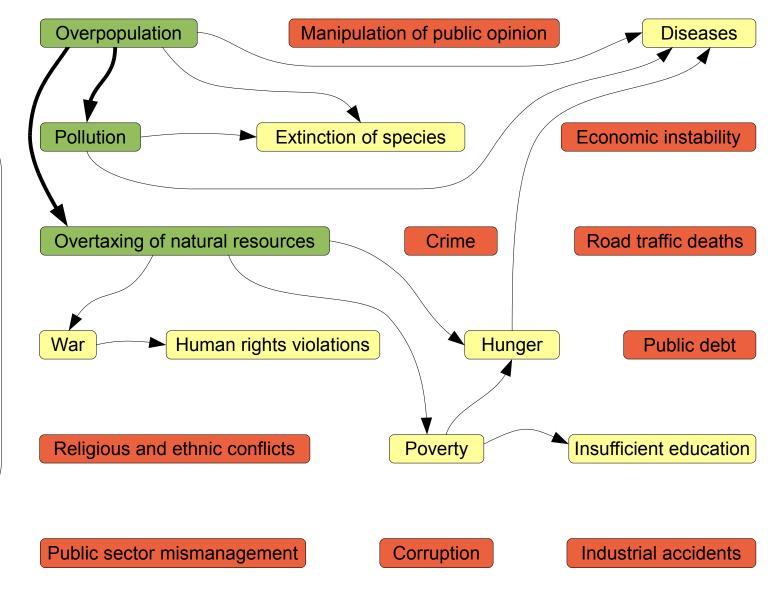


Fig. B.2j: interconnected problems (method A example)

Insufficient education
means lack of skills and/or
knowledge, and that
has a negative influence
on the poverty and
overpopulation problems.

Now there are two connection loops in this diagram. Such loops make problem solving more difficult.

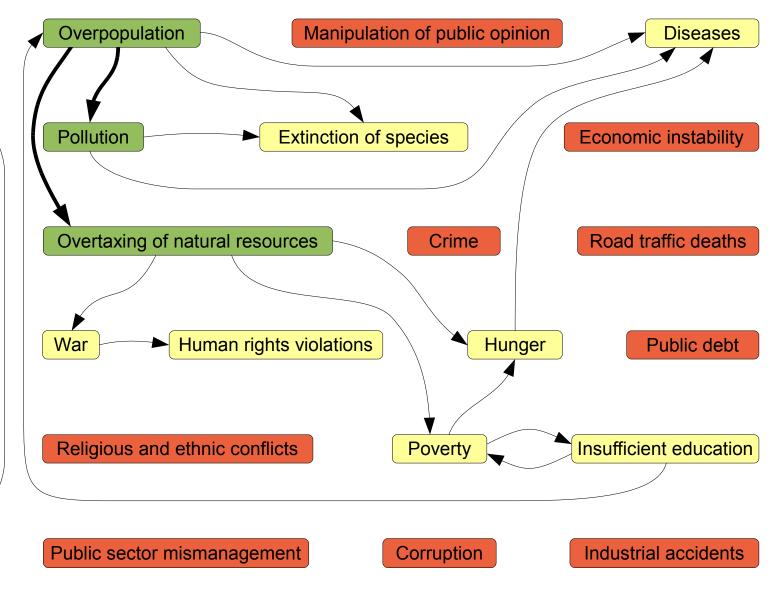


Fig. B.2k: interconnected problems (method A example)

There are no strong connections between overpopulation and the remaining 'red box' problems.

Of course, all absolute numbers would drop, but numbers relative to the population count would not change much.

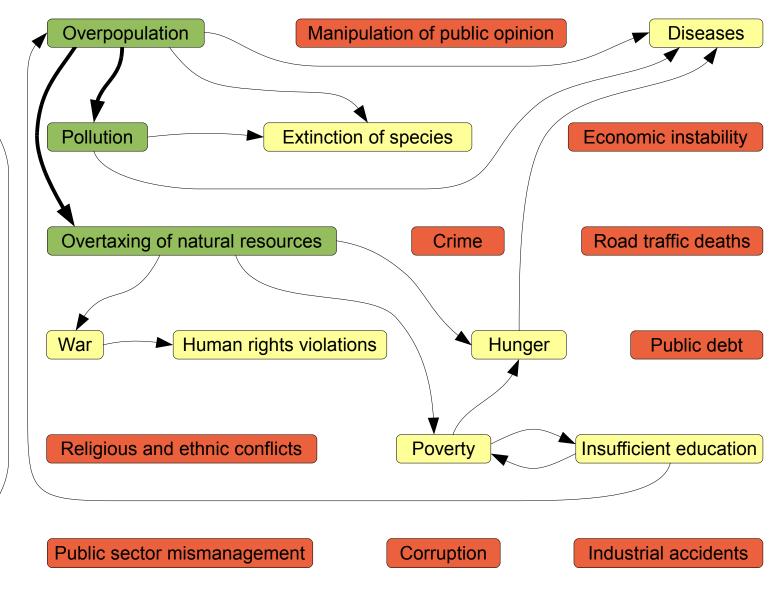


Fig. B.2I: interconnected problems (method A example)

To see all connections we would have to apply method A in turn to all other problems.

But our example ends here.

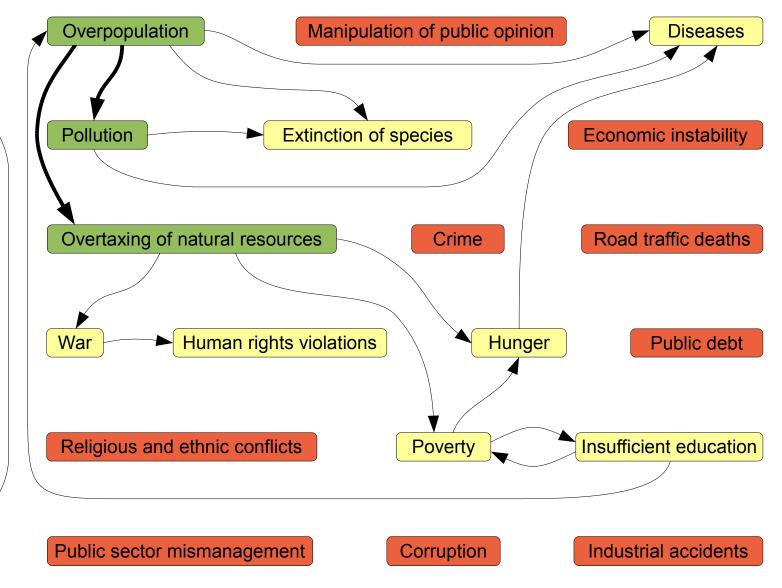


Fig. B.2m: interconnected problems (method A example)

In the example shown, overpopulation appears as the origin of other problems, and solving it as a very efficient course of action.

It's a kind of 'buy one, get 2 for free, and 7 for half price' offer.

But it would be hard to achieve that without also addressing the insufficient education problem.

Ironically, solving the overpopulation problem does not require large funds or advanced technology at all. Just long-term thinking (beyond the economics of temporary demographic changes), and political will.

Appendix B progress

Intro done

Starting point done

Method A: introduction / example done

Method B: introduction / example up next

Appendix B conclusion

Interconnected problems (method B example)

Overpopulation

Manipulation of public opinion

Diseases

Let's use method B on the extinction of species problem.

We change our perspective, and imagine that we need to maintain (not solve) this problem.

First we make a list of its 'success factors'.

Pollution

Extinction of species

Economic instability

Overtaxing of natural resources

Crime

Road traffic deaths

War

Human rights violations

Hunger

Public debt

Religious and ethnic conflicts

Poverty

Insufficient education

Public sector mismanagement

Corruption

ndustrial accidents

Interconnected problems (method B example)

Overpopulation

Manipulation of public opinion

Diseases

Pollution

Extinction of species

ons

Economic instability

'Success factors'

Insufficient food/water supply

Food/water contamination

Excessive hunting / fishing / harvesting

Introduction of new predators

Introduction of new diseases

Other interference with the species' habitat

Overtaxing of natural resources

To the left:

a list of 'success factors' causing or contributing to the extinction of species problem.

Public sector mismanagement

Crime

Road traffic deaths

At least one factor must be present to maintain the problem.

Corruption

ndustrial accidents

Interconnected problems (method B example) Pollution Extinct Success factors' Insufficient food/water supply Food/water contamination Overpopulation Material Pollution Extinct Overtaxing of natural resources

Manipulation of public opinion

Following the causal chain backwards one more step, we check which other problems (if any) could cause these factors.

rime Road traffic deaths

Hunger Public debt

Poverty Insufficient education

Public sector mismanagement

Corruption

ndustrial accidents

Excessive hunting / fishing / harvesting

Introduction of new predators

Introduction of new diseases

Other interference with the species' habitat

Interconnected problems Manipulation of public opinion (method B example) Pollution Extinction of species Economic instability 'Success factors' Insufficient food/water supply Overtaxing of natural resources Road traffic deaths Food/water contamination Excessive hunting / fishing / harvesting I ike this Hunger Public debt Introduction of new predators can cause, or Introduction of new diseases contribute to Other interference Insufficient education Poverty with the species' habitat

Public sector mismanagement

Fig. B.3d: interconnected problems (method B example)

Interconnected problems (method B example)

Overpopulation

Manipulation of public opinion

Diseases

'Success factors'

Insufficient food/water supply

Food/water contamination

Excessive hunting / fishing / harvesting

Introduction of new predators

Introduction of new diseases

Other interference with the species' habitat

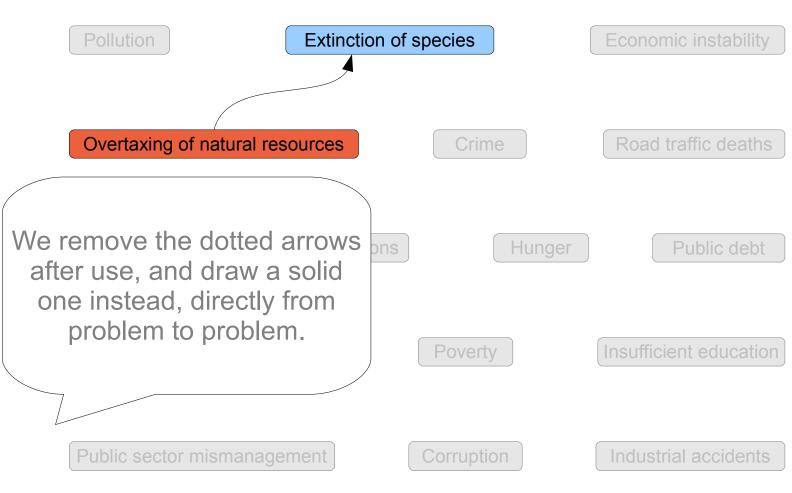


Fig. B.3e: interconnected problems (method B example)

Interconnected problems Manipulation of public opinion (method B example) Pollution Extinction of species Economic instability 'Success factors' Insufficient food/water supply Overtaxing of natural resources Road traffic deaths Food/water contamination Excessive hunting / fishing / harvesting Human rights violations We repeat that Introduction of new predators with the next factor. Introduction of new diseases Other interference with the species' habitat

Public sector mismanagement

Fig. B.3f: interconnected problems (method B example)

Interconnected problems (method B example)

Overpopulation

Manipulation of public opinion

Diseases

'Success factors'

Insufficient food/water supply

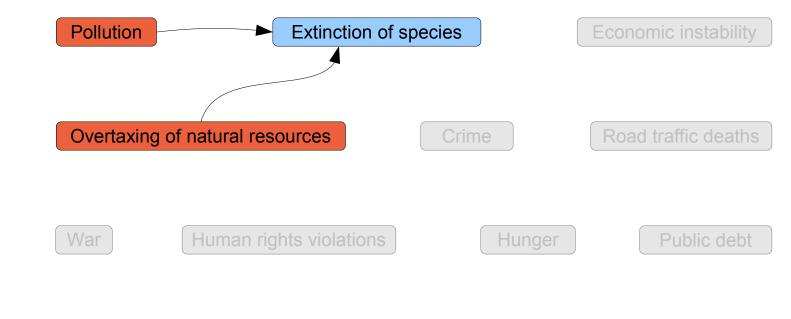
Food/water contamination

Excessive hunting / fishing / harvesting

Introduction of new predators

Introduction of new diseases

Other interference with the species' habitat



Public sector mismanagement

Corruption

Poverty

Industrial accidents

Insufficient education

Interconnected problems (method B example)

'Success factors'

Insufficient food/water supply

Food/water contamination

Excessive hunting / fishing / harvesting

Introduction of new predators

Introduction of new diseases

Other interference with the species' habitat

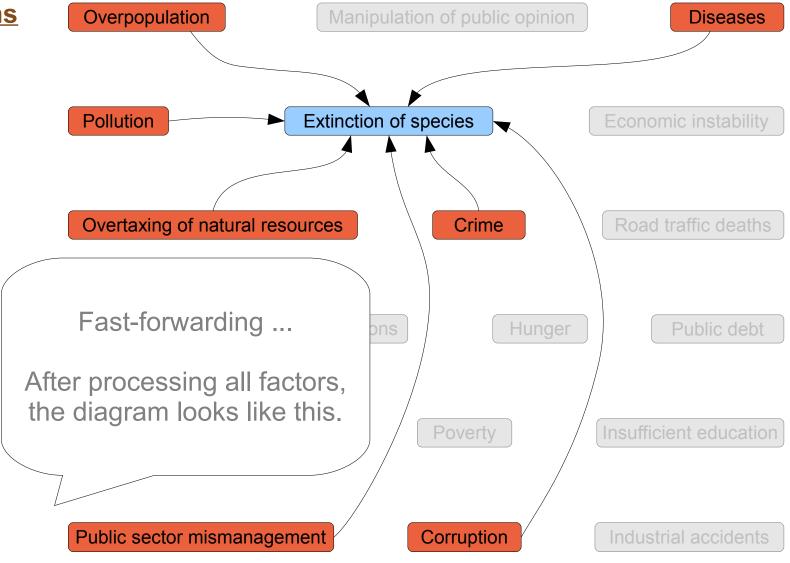


Fig. B.3h: interconnected problems (method B example)

Interconnected problems (method B example) Pollution 'Success factors' Insufficient food/water supply Food/water contamination Overpopulation Overpopulation Overpopulation Overpopulation

Excessive hunting / fishing / harvesting

Introduction of new predators

Introduction of new diseases

Other interference with the species' habitat

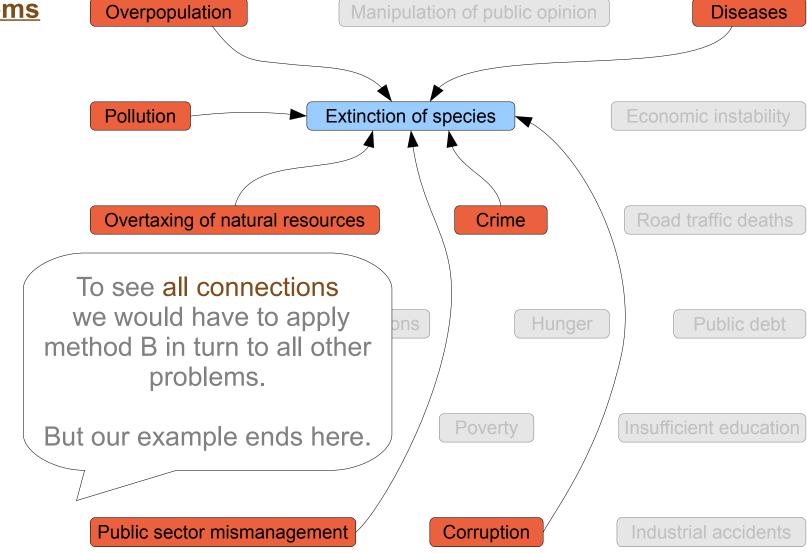


Fig. B.3i: interconnected problems (method B example)

Some connections are easier to spot with method B (as shown), some with method A.

One method can be used to verify results obtained with the other one.

If possible, a second person (or team) should do that.

Appendix B progress

Intro done

Starting point done

Method A: introduction / example done

Method B: introduction / example done

Appendix B conclusion up next

Both methods can reveal which problems are origins of other problems, and which ones are rather their symptoms.

Once these dependencies are clear, you (as the problem solver) can spend your always limited resources where they give the best result.

As a general rule, this means:

- 1. Problems that pose an immediate threat to survival must be addressed first, even if the problem is only a symptom.
- 2. Next, the origin problem(s) must be solved.
- 3. Only if any resources are left after completing step 1 and 2, other symptom problems can be addressed.

Step 2 and 3 often require long-term thinking (in politics: beyond election periods).

Making bad decisions here can very easily lead to a negative circle or spiral, as described in chapter 4 (p. 45).

Regarding unwanted side effects of problem solving, see appendix C (next page).

Appendix C

Do not create larger problems while solving the original one

Where is the grass greener?

Certainly no one wants to create larger problems (at least not for oneself).

And that almost all problems result from bad decision making has already been stated before.

So what is this appendix about?

Many problems are unintentionally created while attempting to solve others.

Therefore it seems worthwhile to look more closely, and from a somewhat different angle, at how this can happen.

(Following a proven strategy:

- 1. learn about possible mistakes,
- 2. avoid them)

Appendix C progress

Intro done

Basic concepts up next

Unwanted consequences ... for you ... for others

Appendix C conclusion

Basic concept #1:

By solving problems, we create new situations.

In general, problem solving runs like this:

- 1. We look at a situation, notice a problem, and
 - 2. try to create a new situation that no longer contains this problem.

During this process, we usually focus much more on solving the original problem than on avoiding new ones.

Basic concept #2:

All situations have their advantages and disadvantages.

If a situation appears to have only good or bad sides, we probably haven't looked hard enough.

Basic concept #3:

Advantages and disadvantages are subjective perceptions.

Advantages and disadvantages are often confused with facts.

Whenever you consider a fact as good for you, you mentally apply an 'advantage' tag to it. Which is your interpretation of this fact.

But what is good or bad depends on your viewpoint, time frame and values (see chapter 3, p. 32).

So your personal advantage could be someone else's disadvantage, or even problem.

Or you might perceive a fact as an advantage today, and as an disadvantage in the future. But for now, we lock our perspective to just one viewpoint, time frame and value system (yours, for instance).

Note also that we only discuss situations where you (not someone else) act as primary problem solver.

The next pages show a diagram series about these concepts.

old situation



The old (or current) situation has a number of advantages and disadvantages.

Only 2 of each are drawn here.

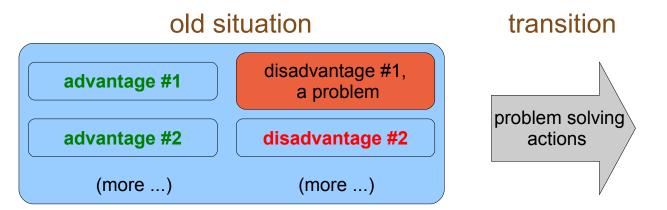
old situation



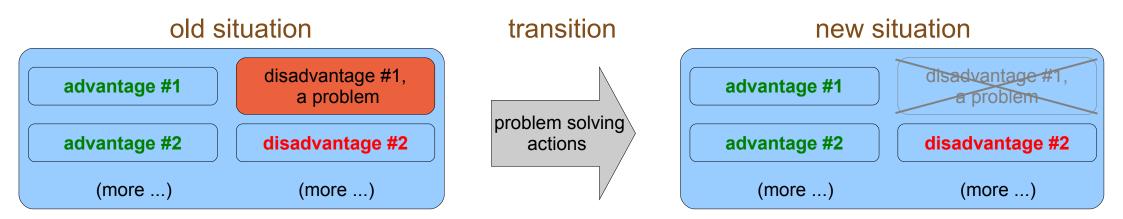
Now one disadvantage becomes so serious that you consider it a problem.

This means that you apply a more negative 'tag' to the underlying fact.

This can happen even if the fact itself has not changed at all.

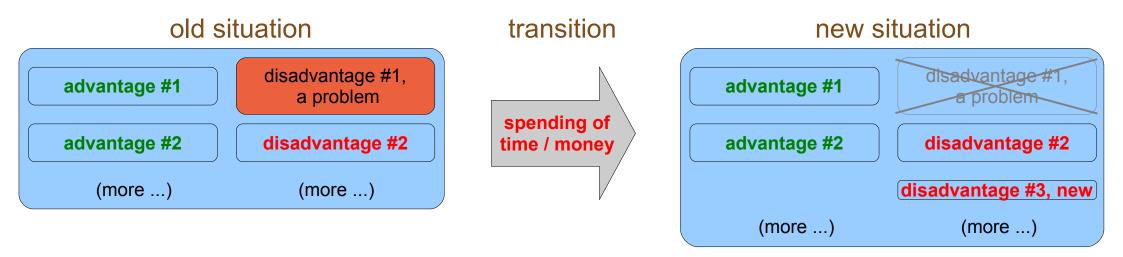


Either way, the perception of a problem triggers your problem solving planning and actions.



Your successful actions lead to a new situation.

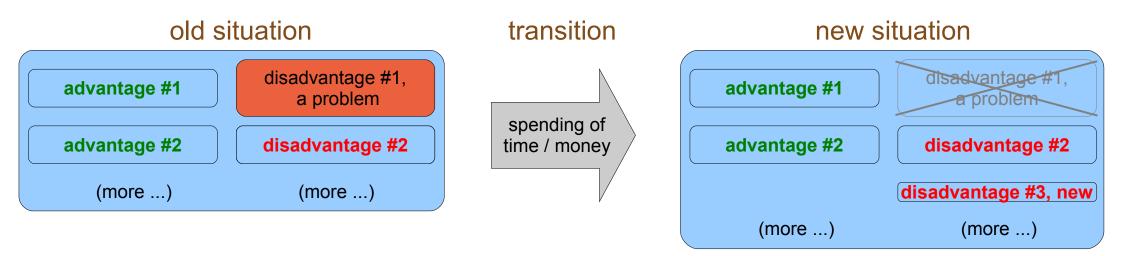
The problem is gone.



But let's not forget that you spent time and/or money to get there.

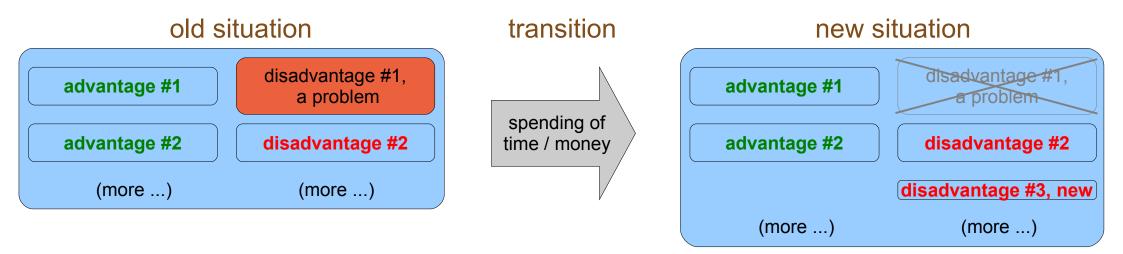
Spent resources are no longer available for other purposes, and that is a new disadvantage.

Fig. C.1e: changing situations



In this case however, the new disadvantage is small compared to the eliminated problem, and no other disadvantages were introduced.

Perfect.



Perfect problem solving in a nutshell:

The problem is solved without side effects other than spending a minimum of resources.

Fig. C.1g: changing situations

There are only two scenarios where perfect solutions are common:

1. The problem affects only one person, and can be solved within minutes

Example: Alice's feet feel cold.

She owns warm socks and puts them on.

2. The problem can be solved by restoring a previous situation without much effort

Example: a building loses electrical power after overloading the main fuse. Some devices are turned off and the fuse is reset.

You will hardly ever see more complex problems solved without (unwanted) side effects.

Appendix C progress

Intro done

Basic concepts done

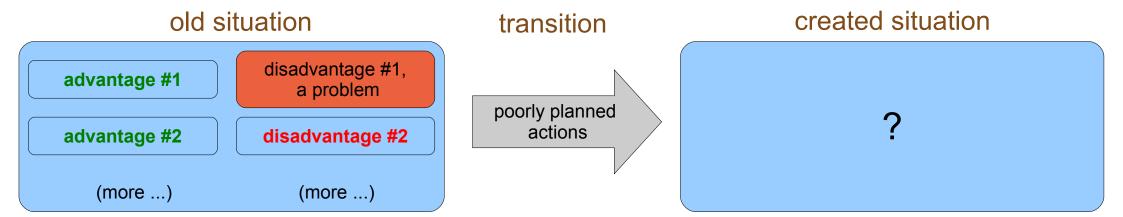
Unwanted consequences ...

... for you up next

... for others

Appendix C conclusion

Poor planning



Poor planning

If you start your problem solving attempt without thinking ahead, you lack a defined target situation.

The situation you create will very probably contain some surprises.

One could be that the original problem is still unsolved.

Or perhaps the new situation is otherwise worse than the old one.

Poor execution

old situation

advantage #1

advantage #1

advantage #2

disadvantage #1,
a problem

disadvantage #2

(more ...)

transition

planned actions

target situation

advantage #1

advantage #2

disadvantage #1,
a problem

disadvantage #2

disadvantage #2

disadvantage #3, new

(more ...)

Poor execution

Let's say you have a good plan, and a well-defined target situation you want to arrive at.

But ...

Fig. C.3a: poor execution

Poor execution

old situation

advantage #1

advantage #1

advantage #2

disadvantage #1,
a problem

disadvantage #2

(more ...)

But if your actions deviate from your plan, you will probably create an unintended/ unforeseen situation instead.

Which again could be worse than the old situation.

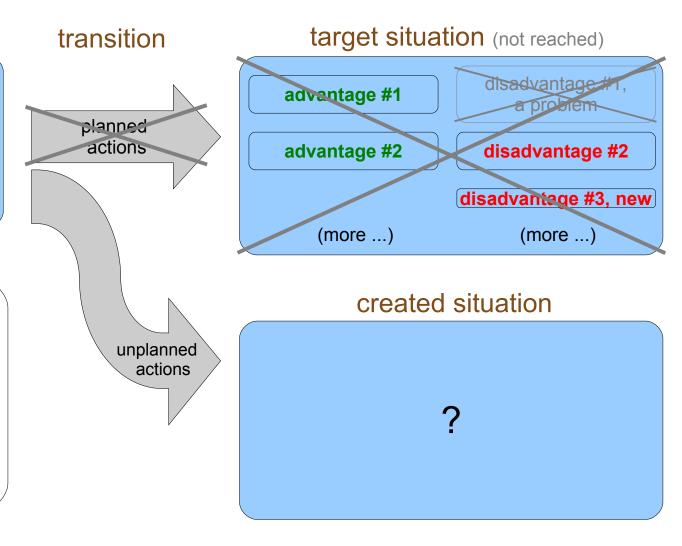


Fig. C.3b: poor execution

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The following pages show more unwanted consequences.

These are much more likely to occur if your planning or execution was poor in general.

You can prevent this by using project management and decision making methods.

Awareness, good communication and common sense always help, and may suffice in simple everyday situations.

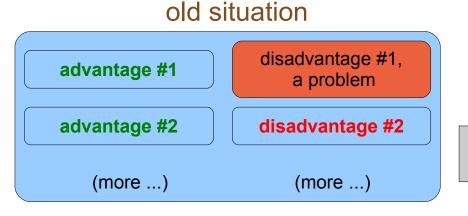
If you don't want to see more diagrams, or you want to save 5 minutes reading time, you can proceed directly to p. 250 for a single page overview.

Unexpected loss of advantage

problem

solving

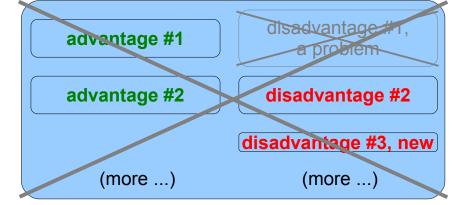
actions



Unexpected loss of advantage

An advantage was unintentionally eliminated along with the problem.

target situation (not reached)



created situation

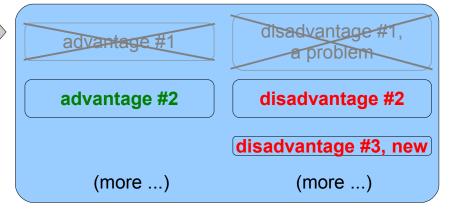


Fig. C.4a: lost advantage

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Unexpected loss of advantage

acquisition

of competitor

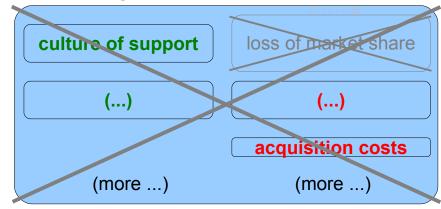
old situation



Example:

A large company loses market share to a small company. The large company buys the small one. The previous culture of mutual support between employees disappears during the reorganization.

target situation (not reached)



created situation

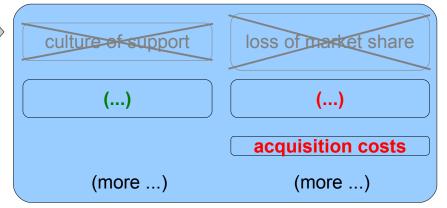


Fig. C.4b: lost advantage

238

<u>Underestimated value of sacrificed advantage</u>

problem

solving

actions

old situation

advantage #1

advantage #1

advantage #2

disadvantage #1,
a problem

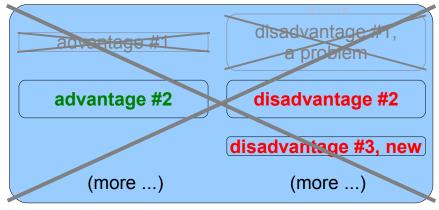
disadvantage #2

(more ...)

<u>Underestimated value of</u> <u>sacrificed advantage</u>

An advantage was intentionally sacrificed (to solve the problem), but its value was higher than thought. Hindsight.

target situation (not reached)



created situation

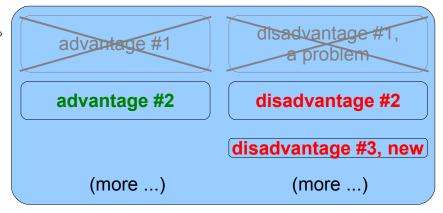


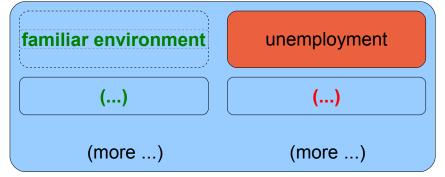
Fig. C.5a: sacrificed advantage

Underestimated value of sacrificed advantage

moving

to find work

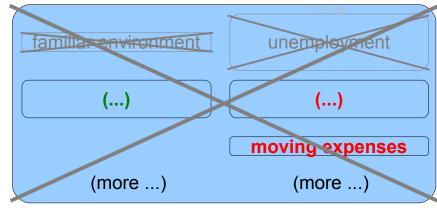
old situation



Example:

Bob is unemployed and can't find work near his home. He moves to a far away city where he finds a job. Then Bob realizes that his familiar environment meant much more to him than he was aware of.

target situation (not reached)



created situation

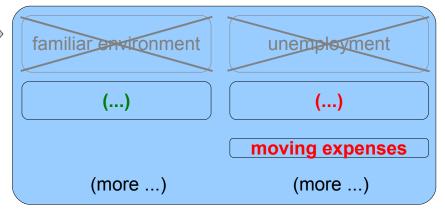


Fig. C.5b: sacrificed advantage

Overestimated value of new advantage

problem

solving

actions

old situation

advantage #1

advantage #2

disadvantage #1,
a problem

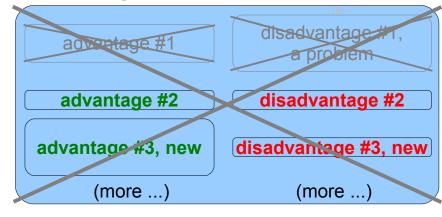
disadvantage #2

(more ...)

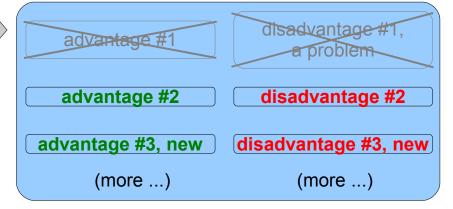
Overestimated value of new advantage

A planned advantage was created, but it is smaller than expected.

target situation (not reached)



created situation

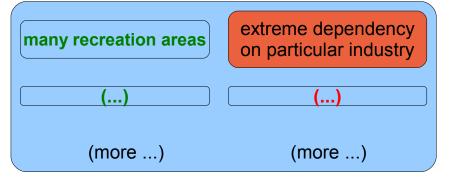


Overestimated value of new advantage

area

conversion

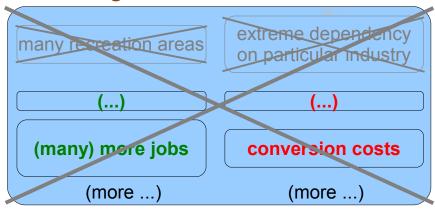
old situation



Example:

A mining town wants to become less dependent on the mining industry. Some recreation areas are converted into commercial areas and sold to other businesses. New jobs are created, but far fewer than planned.

target situation (not reached)



created situation

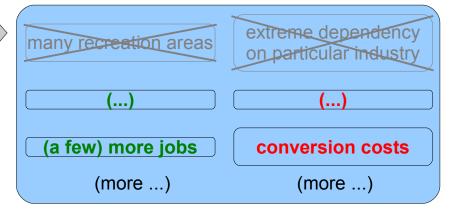


Fig. C.6b: overestimated advantage

Failed creation of new advantage

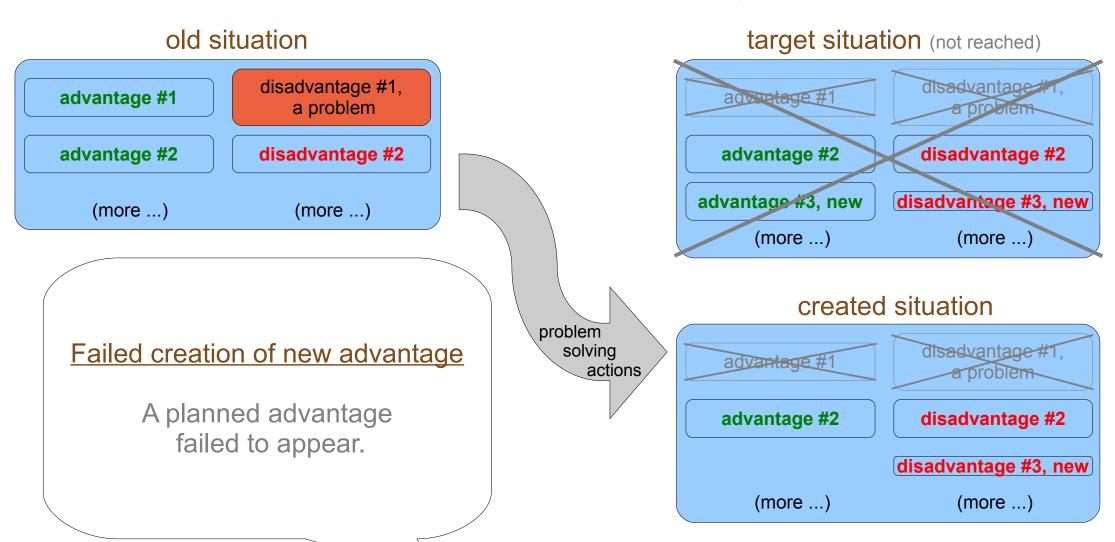


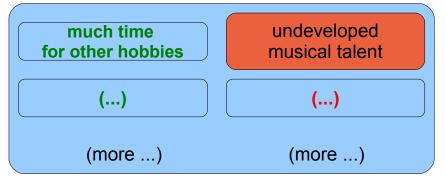
Fig. C.7a: advantage not created

Failed creation of new advantage

tuition and

practice

old situation

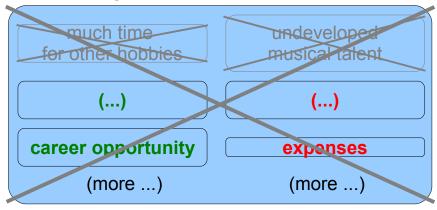


Example:

Alice has musical talent, but for a long time had no opportunity to learn an instrument. Now she takes lessons and has reached one of her goals in life.

Alice also hoped to become a professional musician, but that doesn't come true.

target situation (not reached)



created situation

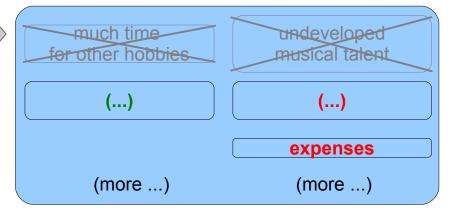


Fig. C.7b: advantage not created

Unexpected new disadvantage

problem

solving

actions

old situation

advantage #1

advantage #2

disadvantage #1,
a problem

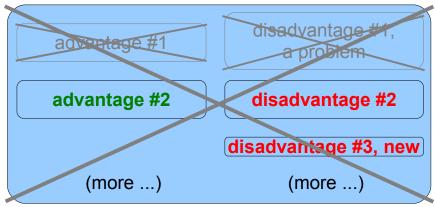
disadvantage #2

(more ...)

Unexpected new disadvantage

A new and unplanned disadvantage appears in the created situation.

target situation (not reached)



created situation

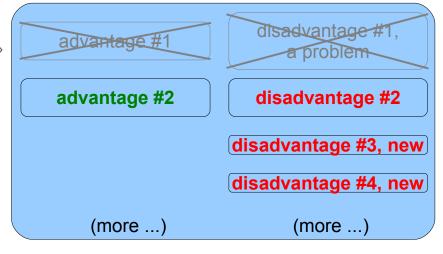


Fig. C.8a: new disadvantage

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Unexpected new disadvantage

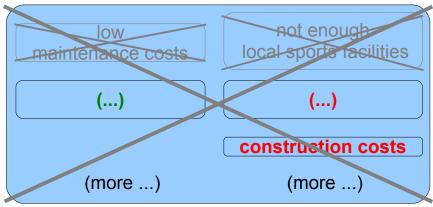
facility

old situation

not enough low local sports facilities maintenance costs **(...) (...)** (more ...) (more ...)

> Example: a city does not have enough easy to reach sports facilities. The city builds new facilities in residential areas, and budgets for their maintenance. Afterwards, the planners are surprised by a high number of neighbours complaining about noise from the new facilities.

target situation (not reached)



created situation

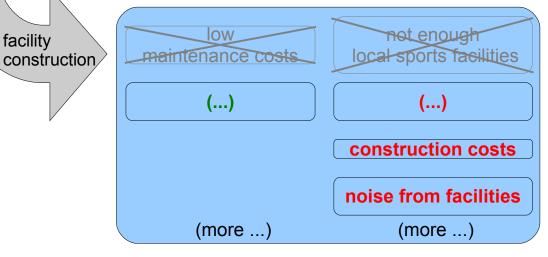


Fig. C.8b: new disadvantage

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<u>Underestimated impact of new disadvantage</u>

problem

solving

actions

old situation

advantage #1

advantage #1

advantage #2

disadvantage #1,
a problem

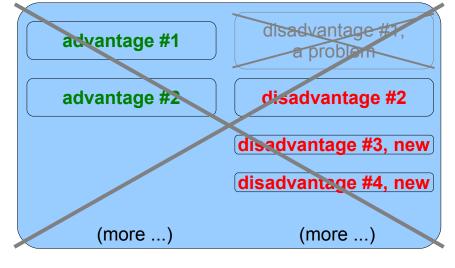
disadvantage #2

(more ...)

<u>Underestimated impact of new disadvantage</u>

A new disadvantage was expected, but it is more serious than imagined. So serious that it qualifies as a new problem.

target situation (not reached)



created situation

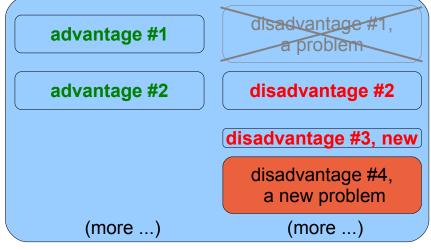
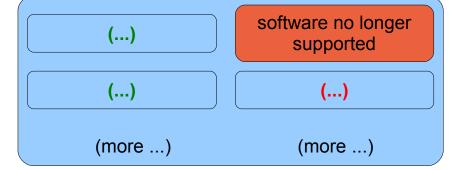


Fig. C.9a: underestimated disadvantage

<u>Underestimated impact of new disadvantage</u>

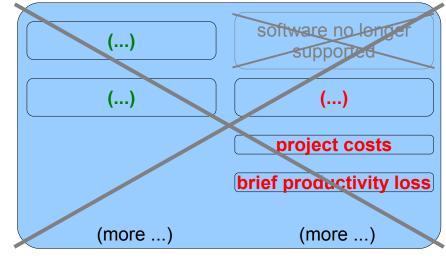
old situation



Example:

A company used software that now is no longer supported. The software is replaced by a new product. Adapting the new software to the company's needs is never accomplished to its satisfaction, causing long-term productivity loss.

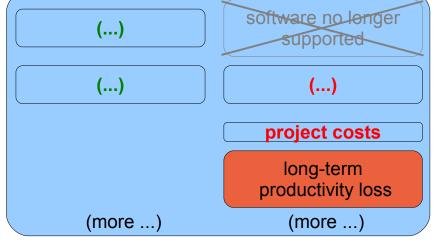
target situation (not reached)



created situation

transition to

new product



Excessive use of resources

problem

solving

actions

old situation

advantage #1

advantage #1

advantage #2

disadvantage #1,
a problem

disadvantage #2

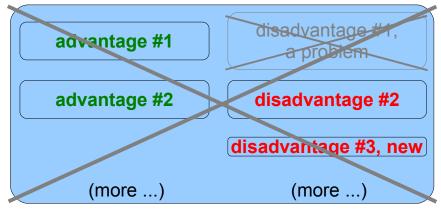
(more ...)

Excessive use of resources

The created situation is as planned, except that the resources spent to get there are out of proportion.

This is a new problem because spent resources are no longer available for other purposes.

target situation (not reached)



created situation

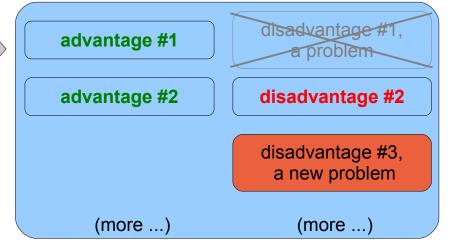


Fig. C.10: wasted resources

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... same text (without the examples), but on a single page.

Overview

Unwanted consequences of poor problem solving

1. Unexpected loss of advantage

An advantage was unintentionally eliminated along with the problem.

2. Underestimated value of sacrificed advantage

An advantage was intentionally sacrificed (to solve the problem), but its value was higher than thought. Hindsight.

3. Overestimated value of new advantage

A planned advantage was created, but it is smaller than expected.

4. Failed creation of new advantage

A planned advantage failed to appear.

5. Unexpected new disadvantage

A new and unplanned disadvantage appears in the created situation.

6. Underestimated impact of new disadvantage

A new disadvantage was expected, but it is more serious than imagined. So serious that it qualifies as a new problem.

7. Excessive use of resources

The created situation is as planned, except that the resources spent to get there are out of proportion. This is a new problem because spent resources are no longer available for other purposes.

We just looked at 7 different ways things can go wrong while still actually solving the original problem.

Obviously the problem solving attempt itself also can fail.

In addition, all of the above can occur in any combination.

And finally, even if the problem solving was planned and executed perfectly:

The created improved situation may not last long, either

- because it is **unstable** by its nature, or
- because you 'play' against
 opponents who will soon react
 with their countermoves

Does that sound more discouraging than justified by your own experience?

The text on the right explains why this could result from a perception bias.

It is common that the acting party takes credit for solving the original problem. That the acting party also takes responsibility for unwanted side effects does not happen often.

This can give the audience (and maybe the acting party, too) the wrong impression that new problems come from 'nowhere' while the solutions come from the acting party.

Appendix C progress

Intro done

Basic concepts done

Unwanted consequences ...

... for you done

... for others up next

Appendix C conclusion

Do you remember
'Basic concept #3: Advantages
and disadvantages are subjective
perceptions' (p. 222)?

Unless you make a conscious effort otherwise, all your considerations are based on your personal viewpoint, time frame and value system.

However, you are not alone on this planet.

Your problem solving, with all its side effects, can easily affect other people.

It could actually make their lives more difficult.

So if
'ethical behaviour' or
'consideration for others' are
important values for you,

and your problem solving affects other people,

then you should take their viewpoints, time frames and value systems into account before deciding on a course of action.

If you hold a public office in a democratic country, this is part of your job.

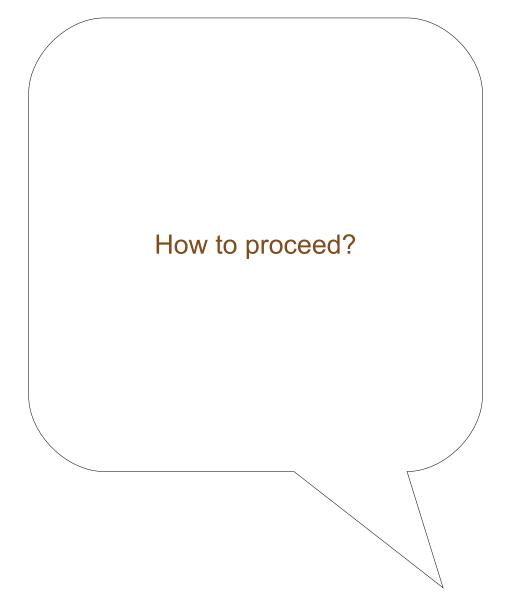
As a private individual, this is a matter of your conscience and honesty.

Where to start?

- 1. identify the affected parties. If in doubt, ask them
- 2. be aware of your limitations. Whenever you think of yourself as an 'I know best' genius, or of others as idiots, warning lights should start flashing in your mind (See chapter 6, p. 62, and appendix D, p. 266)

- 3. be aware that planned problem solving starts with a decision making process
- 4. choose a decision making method with 'built-in' support for other party's perspectives

In simple everyday situations with only a few persons affected, common sense and good communication between parties may be 'method' enough.



1. constructive discussions need structure and clarity. Visualizing lines of arguments helps to promote both. So does visualizing common and diverging views.

Appendix G, p. 441, shows how to do that.

2. a 'multi-party decision matrix' diagram (scary name, simple concept) is a general purpose decision making tool.

It has built-in support for other perspectives, and is suitable for both simple and complex situations.

Appendix F, p. 356, shows how to use this tool.

3. larger-scale decision making (political or not) affects many people and should satisfy high quality demands.

Appendix E, p. 294, outlines a process which can do that.

Considering affected people's perspectives is essential, but not quite enough.

The critical moment comes when you commit to a course of action.

Will you choose the option that serves you (or your interest group) best? Even if this creates a situation that gives other people problems?

Or will ethical behaviour win over pure self-interest? This is not a small challenge to anyone's personal integrity.

Some people evade this challenge by declaring (disguising) their pursuit of self-interest as 'in the interest of the public'.

This is hypocritical behaviour, but not uncommon.

Appendix C progress

Intro done

Basic concepts done

Unwanted consequences ...

... for you done

... for others done

Appendix C conclusion up next

Except for very simple ones, problems hardly ever get solved without unwanted side effects.

'Problem solving' is in practice often 'problem replacement'.

If this is done unskilfully, the new problems can easily be larger than the original ones.

Please remember that when you hear someone hailing 'innovation' as the ultimate response to current problems.

Innovation refers to something new and different. Not to something better (or worse). But if you skilfully replace existing problems with new but smaller ones, you do improve the situation.

To be able to do that consistently, you need to know some decision making methods, and how and when to use them.

Using visualization tools (e.g. 'multi-party decision matrix' diagrams or argument maps) can make this work easier.

Changing a situation requires resources and has its risks, especially when moving into unfamiliar territory.

Hence it is a good idea to double check if the original problem is worth those before taking action.

Sometimes it can be smarter to live with (and work around) a well-known problem.

Remember also that 'problem', 'disadvantage' and 'advantage' are mental tags applied to facts.

This implies that problems can be solved on a physical level (by changing facts), but also on a mental level (by changing one's attitude towards the facts). The former seems to be the human mind's default option.

Jumping into action is much more tempting than contemplating one's own attitude.

Note that not all situations allow a free choice of approach.

The metaphor

'the grass is always greener on the other side (of the fence/hill)'

expresses nicely how an illusion can cause discontent with one's own current situation.

Decision making methods can help us to figure out where 'the grass is greenest', how to get there if desired, and what the journey would cost us.

Without them, we might end up chasing an illusion, and finally with a bad outcome.

For us or for someone else.

Appendix D

Factors that hinder good decision making

Briefly explained

In this appendix we take a closer look at factors introduced in chapter 6 (p. 62).

The diagram on the next page is taken from chapter 6.

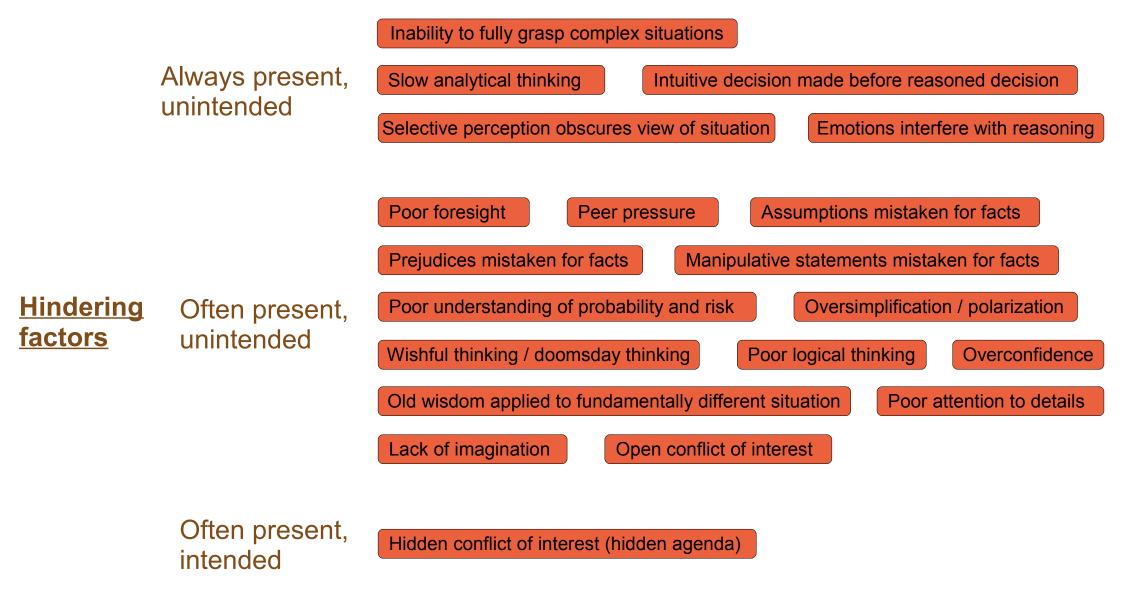


Fig. 6.1: factors hindering good decision making

Appendix D progress

Intro done

Overview diagram done

Hindering factors that are ...
... always present but unintended
... often present but unintended
... often present and intended

up next

Inability to fully grasp complex situations

A complex situation consists of many elements such as facts, processes and relations between them. There may be tens, hundreds or more elements.

In order to fully grasp a situation, we must develop a mental model of it.

Unfortunately our minds fail to do so if there are more than about 5-10 elements involved.

As a workaround for this limitation, we often resort to focusing on just a few elements at a time, while disregarding all others.

This means we never see the whole picture, only small parts of it.

However, from these small parts we may be able to develop a much simplified mental model of the situation.

This model would be inaccurate, but in most cases better than nothing.

Unfortunately we are usually not aware of how simplified and inaccurate our own view of the situation is.

Slow analytical thinking

Usually there is only limited time available before a decision must be made.

In order to get the best result, the situation (and expected outcomes of different choices) should be analyzed completely within this time.

Very often this is not done, mostly because we are not able to do that kind of thinking fast enough.

Intuitive decision made before reasoned decision

Every new situation we face is very quickly evaluated by our subconscious mind.

It then often advises (or alerts) us by making us feel an impulse to think or act in a presumed correct way. This first impulse serves us well as a survival mechanism, and as a guide in familiar situations.

In unfamiliar or complex situations, this impulse is often wrong.

Nevertheless, it influences or even dominates our conscious thinking. Often it urges us to search for arguments that support it, and to discard others.

Selective perception obscures view of situation

'We see what we want to see.'

Our senses can deliver information at a much higher rate than our conscious mind can process it.

Fortunately, physical and mental filter mechanisms save us from information overflow. They do this by blocking seemingly unimportant information from reaching our conscious mind.

Unfortunately, this often includes valid information that contradicts our already established views.

Emotions interfere with reasoning

Bodily conditions, emotions and thoughts influence each other mutually. But conflicts between them are common.

Example: sometimes people, while in a rage, smash something expensive they own. Not because of sound reasoning, but because of interfering emotions. Along with certain hormones in their bloodstream.

Appendix D progress

Intro done

Overview diagram done

Hindering factors that are ...
... always present but unintended done
... often present but unintended up next
... often present and intended

I believe some hindering factors in this category need no further explanations:

Poor foresight

Assumptions mistaken for facts

<u>Prejudices mistaken for facts</u>

Old wisdom applied to fundamentally different situation

The next pages list the ones that do.

Peer pressure

Societies have social norms that define acceptable and unacceptable behaviour.

Peer pressure is a similar control mechanism, but limited to a smaller peer group (e.g. your family, neighbours or co-workers), and often more intense.

It therefore feels difficult to say or do something your peers will not approve of.

Even if you believe it is right.

Manipulative statements mistaken for facts

Much of the information presented to us by other persons (directly or via various media) is meant to make us feel or think in a particular way. Namely the way intended by the other person.

Often enough we do not recognize these manipulation attempts and accept biased information as correct.

Such attempts can target us through all common formats (e.g. articles, reports, diagrams, statistics, photos, video footage, speech).

Note that rhetorically brilliant statements are almost by definition manipulative.

Poor understanding of probability and risk

Most of us have no education in risk analysis and rather weak skills in probability mathematics.

Instead, our understanding of probability and risk is usually based on own personal experience and intuition.

Intuition, however, does not work well for estimating probabilities.

We tend to expect outcomes that are in fact improbable, and to be surprised by probable ones.

In familiar situations, we often end up underestimating risk because they 'always turned out OK so far'. The difference between high risk and guaranteed damage is also not clear for many of us.

Example: discussions about the health risks of smoking, where a 'still healthy neighbour who has been a heavy smoker for 30 years' is cited as 'evidence' against such risks.

Oversimplification / polarization

Complex situations become easier to grasp if we disregard information that has no bearing on their outcome.

If such simplifications are not made carefully, also relevant information is disregarded.

Later conclusions will then be wrong.

In extreme cases, the real situation is reduced to a 'black and white' only scenario.

This is often done intentionally for propaganda purposes, or to meet the demands of fast paced media.

Wishful thinking / doomsday thinking

This is optimism or pessimism not sufficiently supported by arguments and facts.

In effect, hope or fear replaces sound judgement.

Poor logical thinking

Many, many things can go wrong in this area. This one is very common:

A statement of the type 'A follows from B' looks often like a logical and convincing argument in a discussion.

However, if such a statement is true or not depends usually on additional conditions:

'A follows from B (but only if C and D are true)'

Until it is clear what these conditions are, and that they are met, the original statement is logically not sound and therefore not a good argument.

Example: 'wider roads give better traffic flow' (but only if traffic does not increase proportionally as a result, and if there are no other bottlenecks).

Overconfidence

This is the delusion of having thorough understanding and control of a situation.

As a consequence, sensible checks and precautions are considered unnecessary.

(A well-proven recipe for trouble)

Poor attention to details

'The devil is in the details.'

Not in all of them, but you don't know in which ones until you actually take a close look.

If a decision turns out to be bad, it doesn't really matter if it is bad because the decision maker lacked overview or 'only' overlooked a crucial detail.

Some decision makers are not willing or able to deal with details.

These need to delegate this task to competent others, and to carefully consider their findings before deciding.

Lack of imagination

We see opportunities and threats only within the boundaries of our own personal imagination.

Very real opportunities or threats that exist outside these boundaries will not be noticed.

Note that these boundaries differ from person to person.

Hindering factor:

Open conflict of interest

In some situations a decision maker may have personal, professional and/or political interests.

These interests are often in conflict with each other.

Example: a manager who has the option of becoming very rich by transactions that later on may ruin the company

If such a conflict of interest is not kept secret, but readily acknowledged by the decision maker and made known to everyone involved, it is of the open variety.

But even if a hidden agenda can be ruled out, and the decision maker honestly tries to act ethically correct, the decision making process can easily be biased.

Note that the bias could also be against personal (and other) interests, because the decision maker may want to prove his integrity.

Appendix D progress

Intro done

Overview diagram done

Hindering factors that are ...
... always present but unintended done
... often present but unintended done
... often present and intended up next

Hindering factor:

Hidden conflict of interest (hidden agenda)

As in the 'open conflict of interest' scenario, the decision maker has diverging interests in a situation.

Again these interests are usually of a political, professional or personal nature (meaning: power, money, prestige, pleasure).

But this time the decision maker pretends:

- that there are no other interests (beside the official one), or
 - that there is no conflict.

At this point it must be assumed that the decision maker has a hidden agenda, and is not willing to make an unbiased decision in his official role.

Example: a politician who plans to retire from office next year, but has not made his intention public. The politician wants to work as manager in industry afterwards. In the meantime, he makes political decisions in favour of that industry.

Appendix E

Quality standards for decision making

What they could look like

This appendix picks up a thread from the end (p. 109) of chapter 7.

It provides a design outline.

A fully detailed, ready-to-use standard is beyond the scope of a text with 25 minutes of reading time.

Although aimed at political decision processes, the same design can be adapted to other scenarios.

Many democratic countries use formalized decision making processes that also include hearings and public debate.

However, usually a lot of other activities (such as tactical tricks and back-room deals) happen behind the scenes.

And that often interferes with good decision making.

In addition, these processes tend to consume much more time (and other resources) than is desirable.

Often such delayed decisions allow the original situation to become worse during the delay.

I believe it is possible to achieve

- better decision quality
- better efficiency and
- much more transparency

by making changes to the process design.

Appendix E progress

Intro done

Design considerations up next
Digression into aviation
Design principles

Roles Process In general, a quality standard can

- A) define properties a finished product must have
- B) define a way how the product must be produced
- C) or both.

In this case, the 'products' are (political) decisions.

Since they all differ from each other, a type A approach is impractical.

However, type B quality standards can be applied to decision making.

The specific purpose of such a quality standard is to prevent hindering factors from dominating the decision process.

Therefore the quality standard must ensure that all hindering factors are counteracted, without making the process slow and inefficient.

This is quite a challenge.

In principle, it is not too difficult to come up with lean procedures and checklists that work well under favourable conditions.

However, safeguarding the process against all imaginable eventualities (including abuse and human errors) is difficult.

Let's see what we can learn from an existing system that does just that.

Appendix E progress

Intro done

Design considerations done
Digression into aviation up next
Design principles

Roles Process Passenger air traffic.

This is a system that is exceptionally successful at preventing bad outcomes (in this case, flight accidents).

Why does it work so well?

For at least 7 reasons:

1

There is no awareness problem, because the need (to avoid accidents) is very, very obvious.

2.

There is a strong motivation for having a really good system: fear of death.

3.

Hence the necessary resources are made available.

Of course it helps that influential decision makers tend to fly often.

4

People working with air traffic don't improvise. There are procedures for 'everything'. And they must be followed. It does not pay to violate them (important concept).

5.

'Everything' is operated by trained/certified personnel.

6.

'Everyone' has clearly defined responsibilities.

7

Critical components are redundant.

Now a change of perspective:

As a passenger (even more as a charter client) you decide when and where to you want to travel.

With a near 100% success rate, the system makes sure you get there without accident.

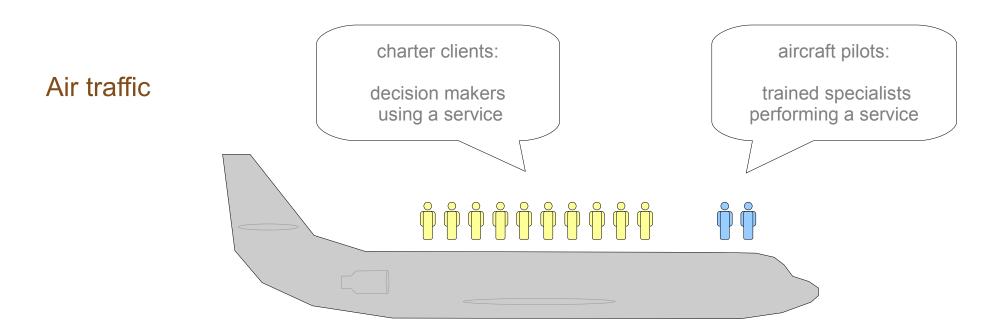
So you need to know what you want, but you need no training in how to run air traffic operations.

Political decision processes could benefit from a similar approach:

Members of a parliament, local council or government are the ones who decide, but trained specialists 'fly' the process.

Their exact roles are clarified later on in this appendix.

Aircraft pilots vs. decision process pilots



Aircraft pilots vs. decision process pilots

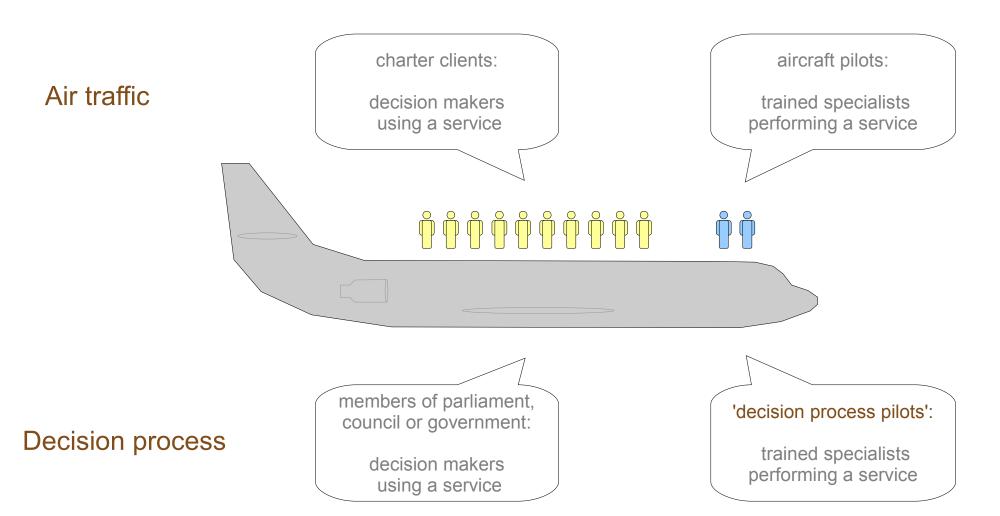


Fig. E.1b: aircraft pilots vs. decision process pilots

Appendix E progress

Intro done

Design considerations done
Digression into aviation done
Design principles up next

Roles Process

We know already that we want:

- to prevent hindering factors from dominating the decision process
 - this process to be efficient

What else is important?

1. ease of use: both decision makers, advisors and the public must find it easy to take part.'Technical details' can be handled by the decision process pilots.

2. transparency: conducting the process in a public arena does counteract quite some hindering factors by itself, and makes both process and final decision credible.

There must be a clear division between public and internal arenas (which are also needed).

3. reliability: can be achieved using proven concepts such as procedures, checklists, formalized communication, clear responsibilities, redundancy

4. simplicity, clarity, briefness:

- required for 'ease of use'
- help transparency to its full potential (free access to information becomes rather pointless if the information is incomprehensible or 'hidden' in bloated documents)
- help to achieve efficiency

5. respect, politeness: hard to design into a process, but nevertheless important. All participants should treat each other with respect and politeness (in addition to transparency, a rating/review function may help)

Appendix E progress

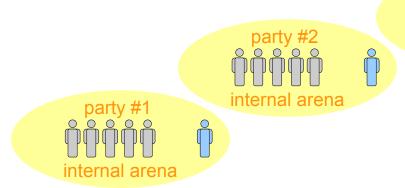
Intro done

Design considerations done
Digression into aviation done
Design principles done

Roles up next

Process

Decision makers

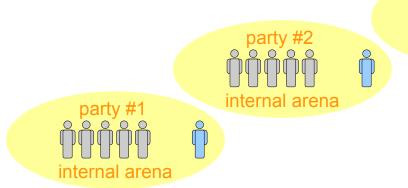




In this example, think of a parliament or council with 3 political parties.

They are the decision makers.

Decision makers



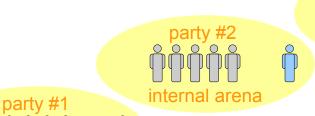


Each party has members ()
and a dedicated
spokesperson ()
for each ongoing decision
process.

They discuss matters in their internal arenas, which need not be transparent for other persons.

Decision makers

internal arena





Specialists





technical infrastructure operators

Now for the specialists:

There is one decision process pilot in charge ().

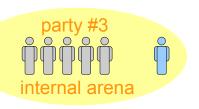
Another person () is in charge of the technical infrastructure.

Decision makers

party #1

internal arena





Specialists





technical infrastructure operators

The size of the specialist teams (p) depends on the scope of the decision: group, local, regional, national, or international.



Decision makers

party #1





Specialists

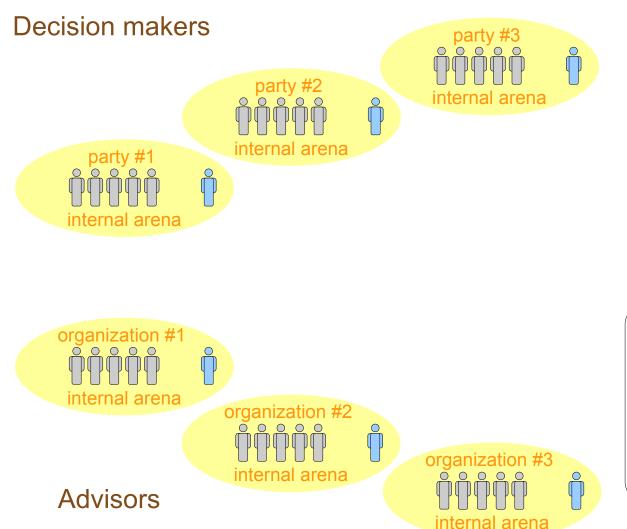




technical infrastructure operators

The internal arena of the decision process pilots is private to them.

The internal arena for technical infrastructure operators is not drawn here, because their discussions are not part of the decision making process.



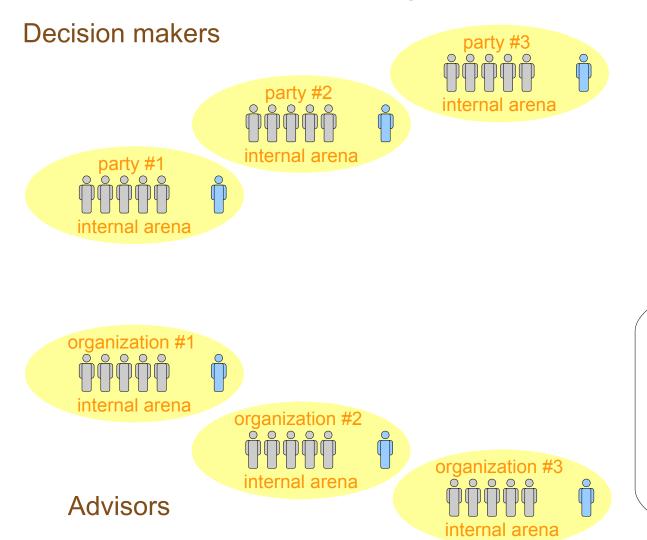
Specialists

decision process pilots

internal arena



The advisors are organizations (often interest groups, or expert associations) that are invited to contribute their views to the decision process.



Specialists

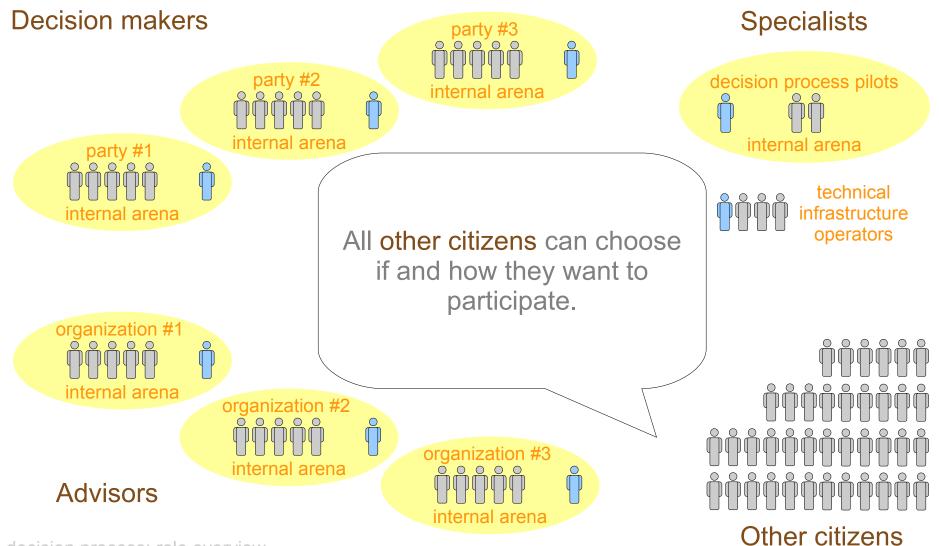
decision process pilots

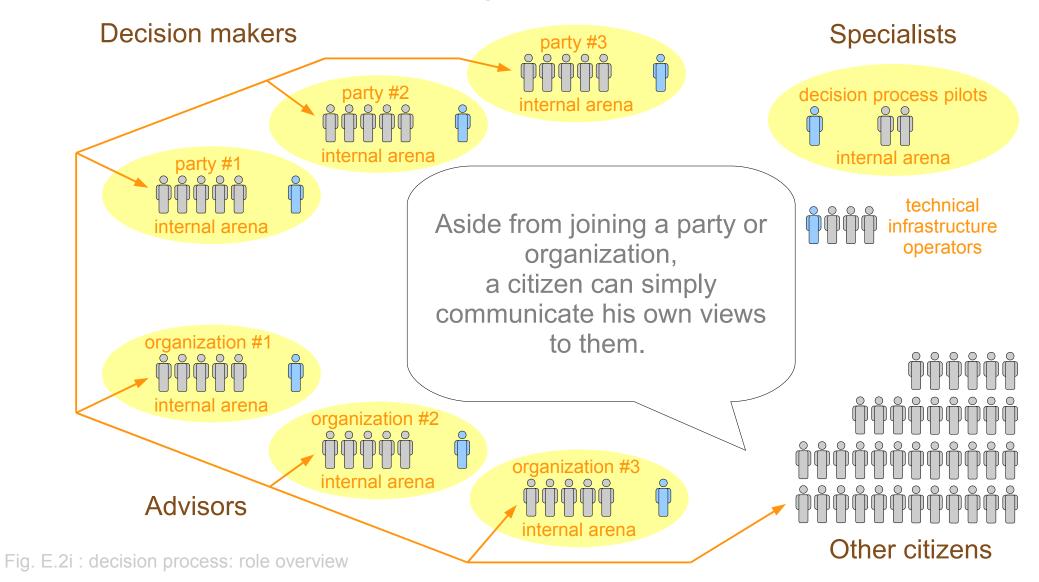
internal arena

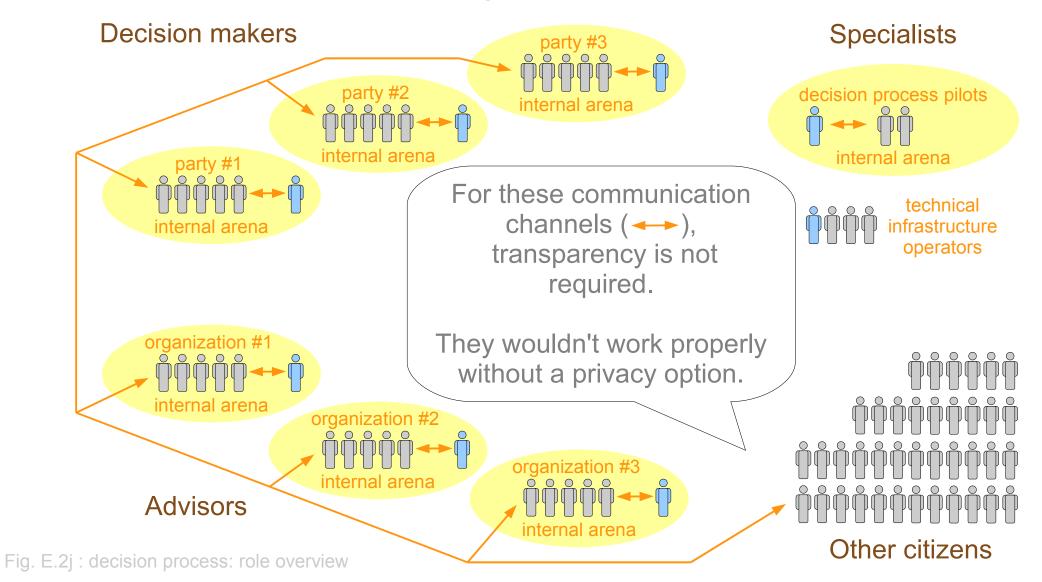


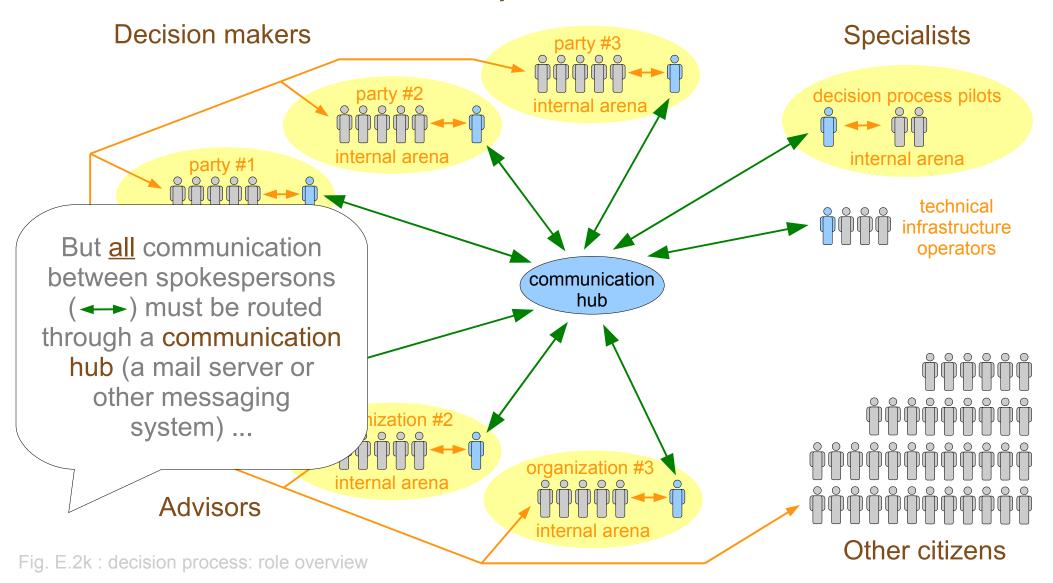
Also they need to nominate a spokesperson (n) each.

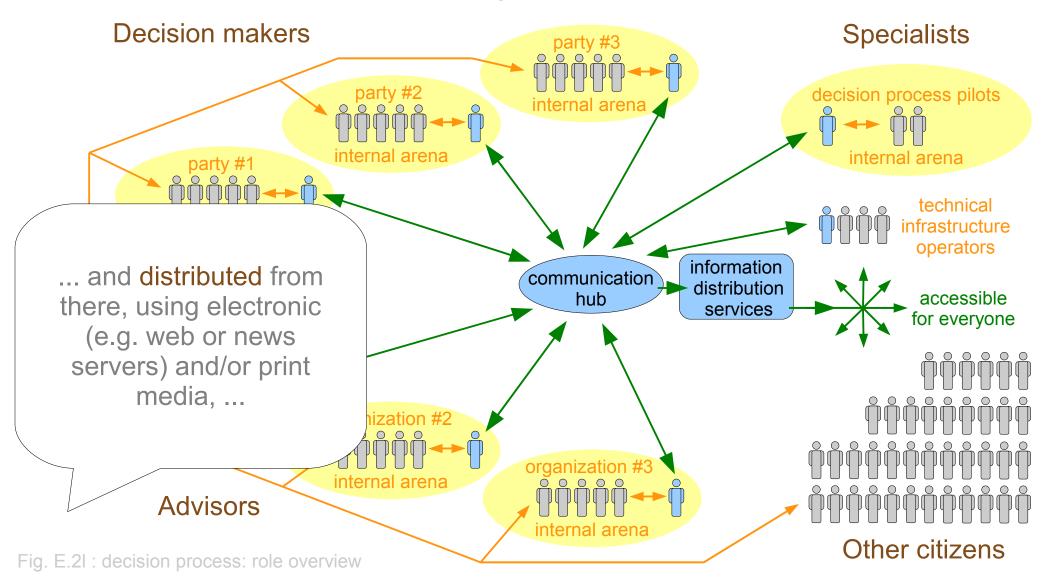
Their internal arenas need not be transparent for other persons.

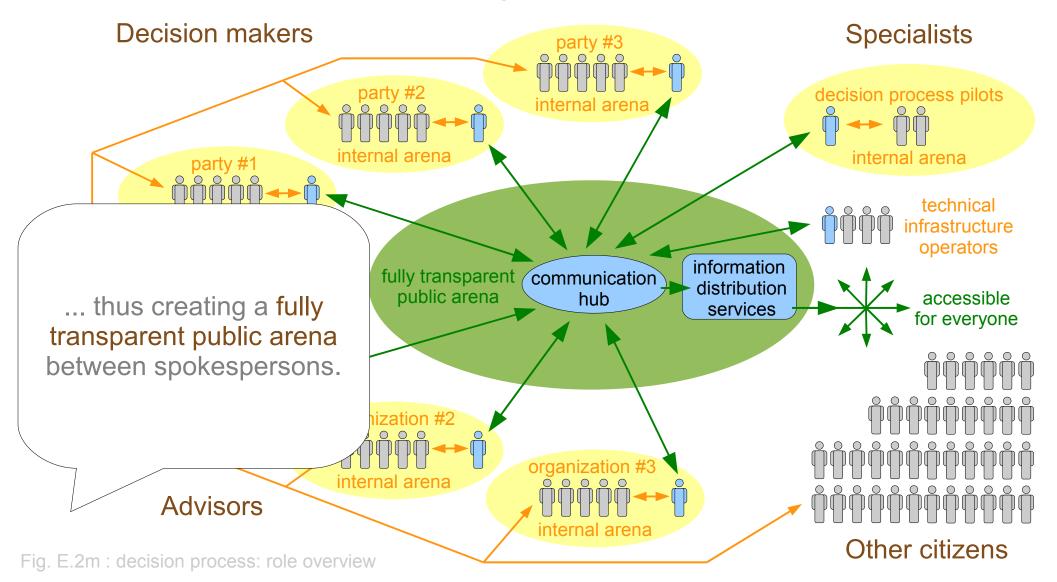




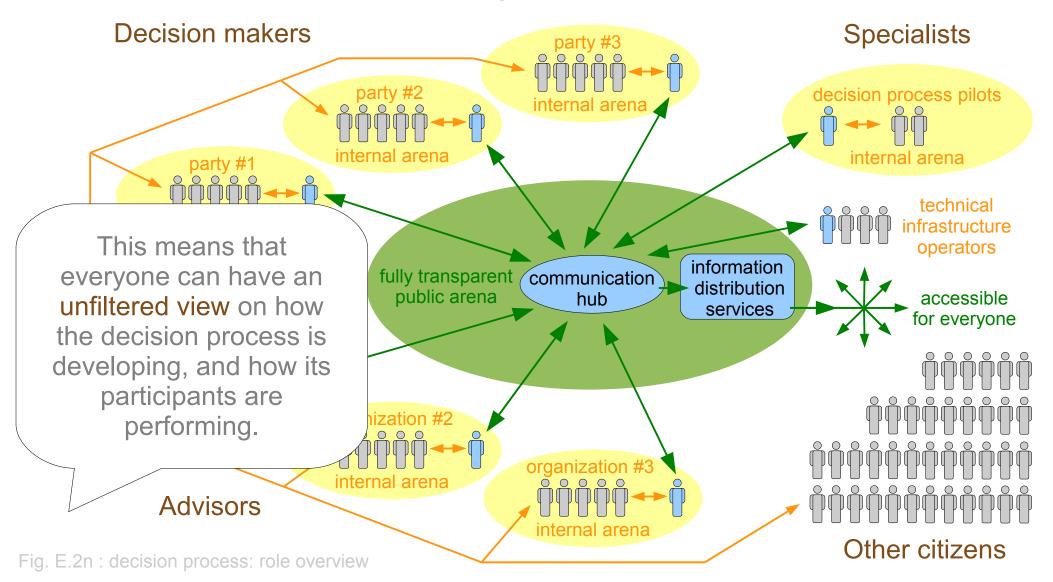




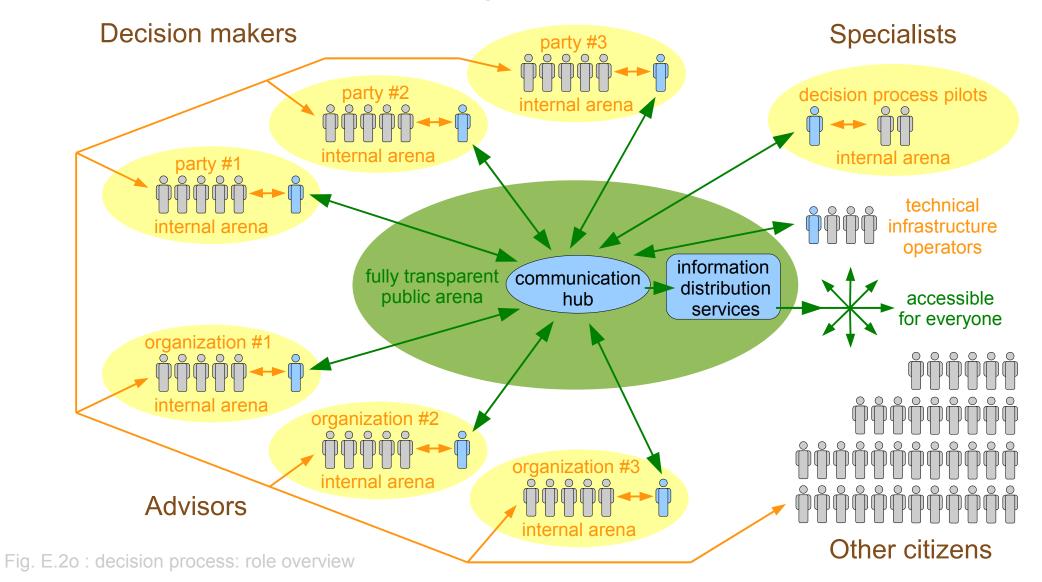




Decision process: role overview



Decision process: role overview



Each spokesperson must agree to a code of conduct. This should be a single page document, containing statements such as:

'I will perform my duties competently and without delay'

'I will maintain a constructive attitude'

'I will follow the process communication protocol'

'I will respond to messages within 2 hours during daytime'

'I will express myself clearly, briefly, respectfully and politely' The decision process pilots must agree to additional statements such as:

'I will conduct this decision process on behalf of the public, and in the best public interest'

'I have no personal interests or relations which may interfere with my duties'

'I have strong analytical, logical, and problem solving skills'

'It is natural for me to consider a discussed subject from several viewpoints'

'I know the difference between my views and the truth'

'I have a creative mind'

'I have strong visualization and presentation skills'

Each spokesperson must have a deputy who seamlessly steps in in case the original spokesperson becomes unfit for duty.

The deputies actively assist their spokespersons throughout the process.

Decision process pilots must be employed by an independent foundation.

Their salaries must not exceed 3 times the national average salary.

Anybody not content with such a salary is likely driven by greed, and therefore not the right person for this position.

More than halfway through.

Appendix E progress

Intro done

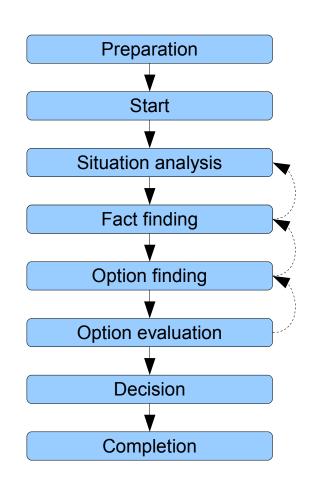
Design considerations done
Digression into aviation done
Design principles done

Roles done

Process up next

The basic structure looks like this.

The arrows indicate progress and possible iteration loops.



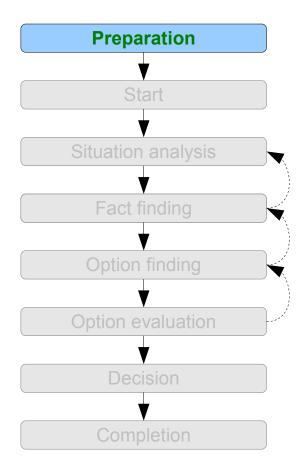
Each stage is briefly explained on the following pages.

Either

the decision makers
wish to follow the quality
standard rules for the
upcoming decision,

or

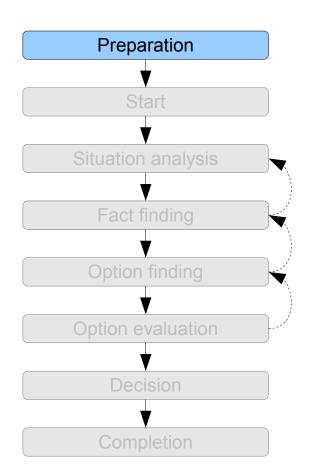
a predefined trigger condition (e.g. number of affected people, budget size) occurs, and forces them by law to do that.



The decision making parties choose their spokespersons, who then request a decision process pilot from the independent foundation.

The foundation chooses a decision process pilot who has no personal interests in this decision situation.

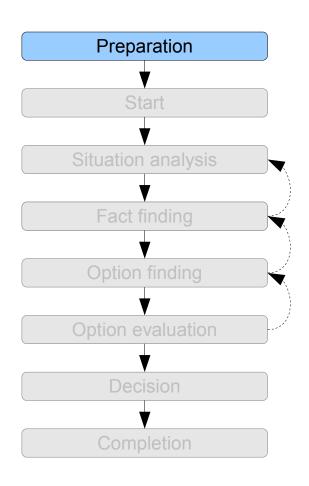
The party spokespersons and the decision process pilot choose their deputies.



The decision process pilot chooses the organization that will supply the technical infrastructure.

That organization and the decision process pilot agree on a Chief of infrastructure, who then chooses a deputy.

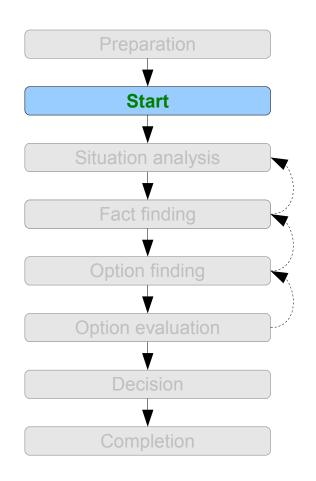
The Chief of infrastructure takes instructions from the decision process pilot.



The Chief of infrastructure and his team make the communication hub and the information distribution services operational.

From now on until completion, all communication between key participants is published (fig. E.2k on p. 322) and archived.

All participants, including their deputies, must have signed their code of conducts.

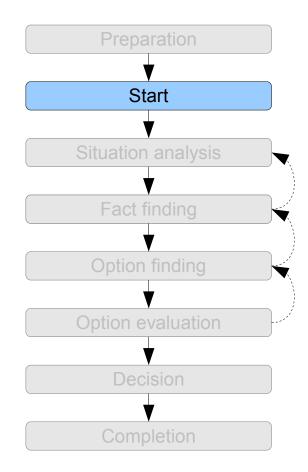


At this point, the decision process starts officially.

The start must immediately be publicly announced.

If the key participants are experienced and focused, they could reach this point in less than 1 hour (after the parties have nominated their spokespersons).

5 hours would still be good. More than 2 days would be reason for concern.



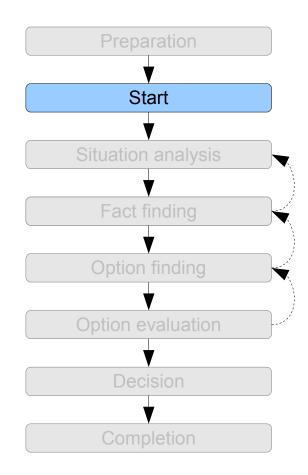
The decision process pilot will invite advisors (fig. E.2f on p. 317), based on the party spokespersons suggestions and own judgement.

The number of advisors should be between 3 and 10.

Also their spokespersons, and deputies, must agree to a code of conduct.

Please note that the decision process pilot has authority and responsibility for handling the next stages, with the exception of the 'Decision' stage.

(This may remind you again of aircraft pilots, or perhaps of maritime pilots who guide ships safely towards their destinations.)

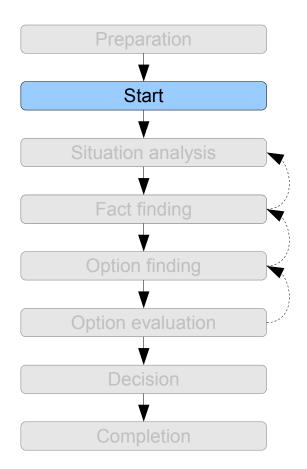


A decision maker could of course refuse to cooperate, thus violating the signed code of conduct in public.

The decision process pilot may then conclude that the quality standard is breached, or continue the process with the other participants.

The decision process pilot will use quality standard procedures and checklists throughout the process.

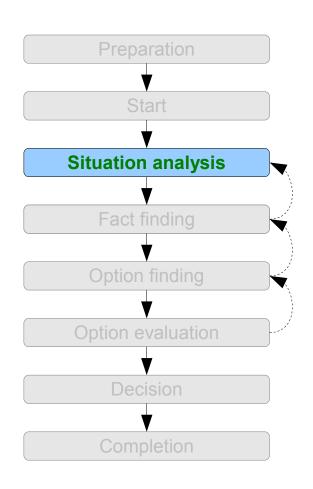
On their internal arena, the decision process pilot works closely together with the 'co-pilot' deputy and other team members (if any).



At least once per day, the decision process pilot will publish status updates via the information distribution services.

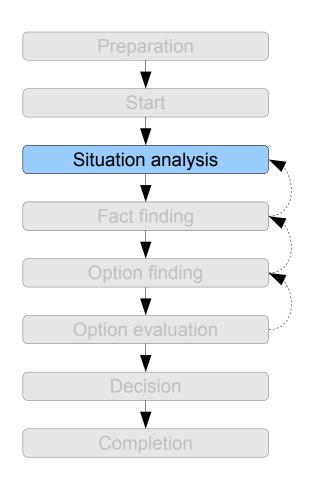
They provide a quick overview for citizens who don't want to read through the published communication log.

The decision process pilot requests from all spokespersons their views of the situation, and compiles a brief summary.



This summary must state why the situation needs attention, what the decision makers want to accomplish, and by when a decision must be made.

This stage can be completed within 1 day. More than 2 days would be reason for concern.

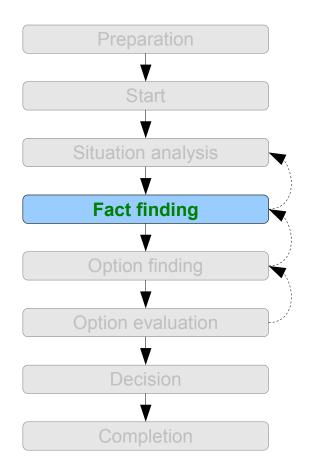


In case studies such as risk assessments are desired or required, they should be initiated as early as possible in the process.

Their results must be available in time for the 'option evaluation' stage.

The decision process pilot compiles a list of short factual statements, based on the spokespersons input and own judgement.

Then all spokespersons rate their agreement with each statement.

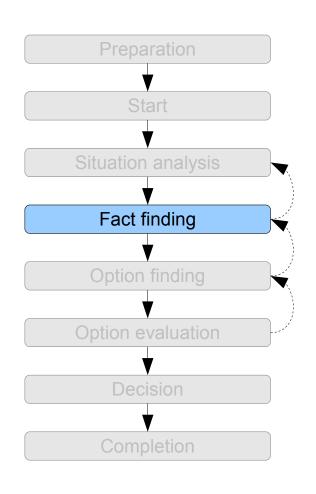


Hence it becomes clear which facts are undisputed and which are not.

Without this information the next stages could not be performed efficiently.

Statements and ratings are published as overview diagram.

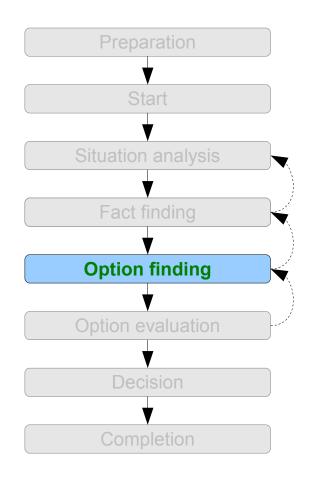
In case a participant now has a different view of the situation, the 'situation analysis' stage can be revisited for an update.



This stage can be completed within 2 days. More than 5 days would be reason for concern.

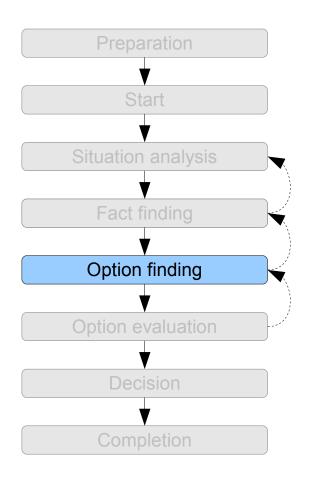
The decision process pilot requests suggestions from all spokespersons, and compiles a decision option overview.

This step normally needs to be repeated a few times. During these iterations, options can be modified, combined, added or eliminated.



A 'maintain status quo' option must be included in the overview. It will later be evaluated just as any other option, thus serving as reference.

If necessary, the 'fact finding' stage could be revisited for an update.

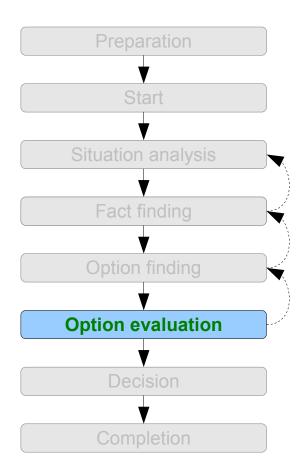


Even in complicated situations, this stage can be completed within 5 days.

Note: that would be 35-50 working hours, for normally 10-30 key participants including deputies. Which equals 350-1500 man hours, not counting in any assistants.

The decision process pilot presents a list of relevant evaluation criteria, and requests the spokespersons' comments.

This step normally needs to be repeated a few times. During these iterations, criteria can be modified, combined, added or eliminated.



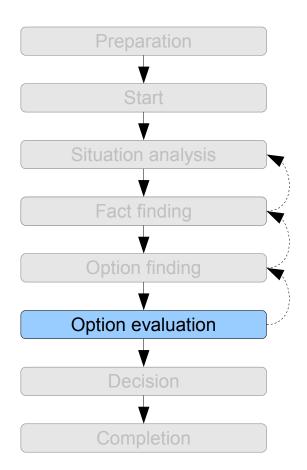
However, the quality standard rules define (situation-dependent) minimum sets of criteria that cannot be omitted.

They ensure that the decision makers think through, and evaluate, the possible consequences of each viable option.

The decision process pilot combines the criteria list with the already existing option overview.

The developing 'multi-party decision matrix' diagram becomes the main tool for decision support.

(Please don't let that name scare you.)

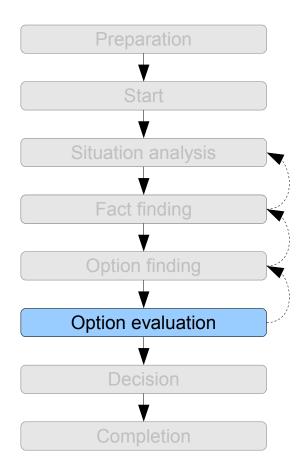


When completed, the diagram will provide a visual comparison of all options, and show how decision makers and advisors perceive their advantages and disadvantages.

(See appendix F, p. 356, for details and examples.)

The decision process pilot requests each spokesperson to rate the available option/criterion combinations.

If such a combination gets a 'not acceptable' rating, the responsible spokesperson can save time by not evaluating this option any further.



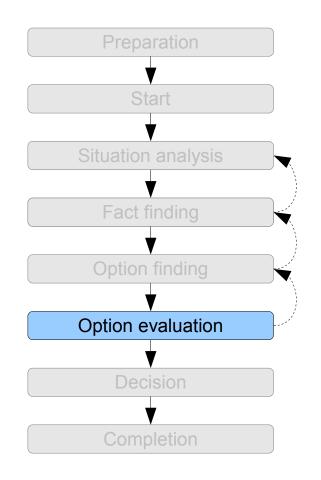
These ratings reflect the specific value system of each spokesperson's party/organization.

The updated 'multi-party decision matrix' diagram then shows which options are acceptable (or not) for the decision makers, and why.

Note:

all this may sound very complicated. It isn't.

It boils down to that the spokespersons must answer a long series of simple questions (e.g. 'how do you rate option B's environmental impact?') ...



... using this scale:

3 very positive

2 positive

1 moderately positive

0 neutral

-1 moderately negative

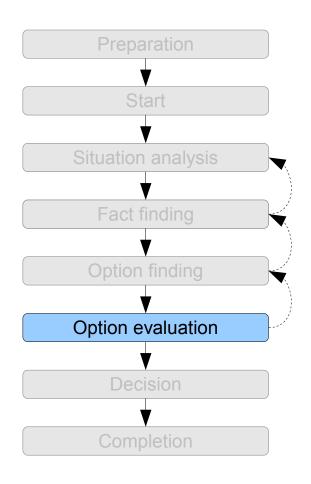
-2 negative

-3 very negative

not acceptable

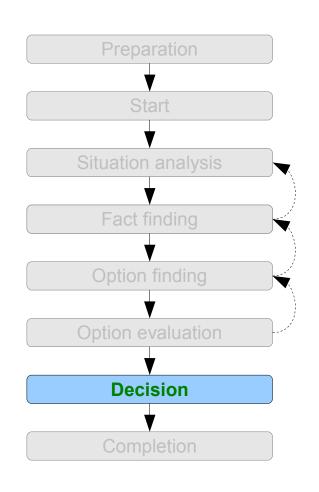
If necessary,
the criteria rating step
could be repeated,
and/or
the 'option finding' stage
could be revisited.

This would be the case if no option is acceptable to a majority of decision makers.



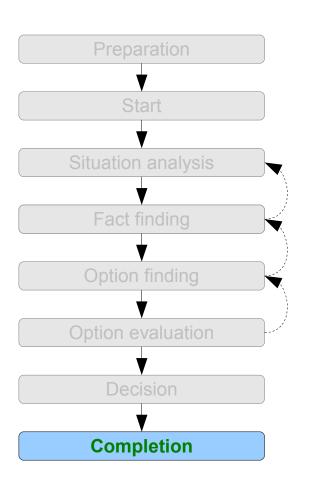
This stage can be completed within 3 days. More than 5 days would be reason for concern.

The decision makers vote for their preferred option and reach an official decision.



This stage can be completed within 1 day. More than 5 days would be reason for concern.

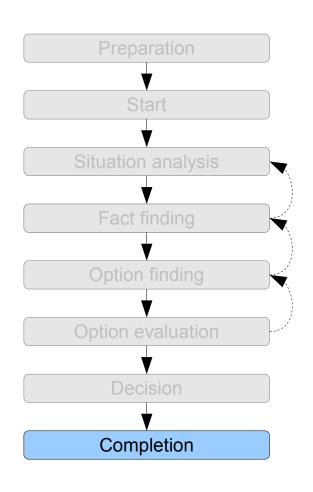
brief review of the process. All must state clearly if they regard the process as compliant with the quality standard.



The decision process pilot and the party spokespersons thank the advisors for their contributions.

The decision process pilot declares the process completed.

All published information must remain easily accessible for the public.



This stage can be completed within 1 day. More than 3 days would be reason for concern.

With competent key participants, such a process can move from official start to reached decision in 10-15 days.

Even when starting unprepared, in complicated situations, and without taking questionable shortcuts.

Considering this, we can say that the 'fast and efficient' requirement is met.

As for the 'all hindering factors must be counteracted' requirement:

If you take a look at the overview diagram in chapter 7 (p. 103), you will find that all counteracting methods have been integrated into the process design.

Critical readers may now also want to check if the remaining requirements from the 'Design principles' section are fulfilled.

(They are.)

So that's all good in theory ...

But would it work in real life?

Many quality standard details are not defined yet. A lot depends on these details. Just as in aviation.

If a 'faulty' detail is introduced into the final quality standard, or the decision process pilot is incompetent, the process will not give good results.

If such mistakes are avoided, or corrected after some testing, then it will work in real life.

So yes, it can be done, and it would make quite a difference. For a lot of people.

(Better decisions, less problems.)

Appendix F

How to visualize and evaluate decision options

Step by step

The 'how to' section starts on p. 361.

Before that, there are 2 pages about the 'why'.

In the appendix summary (p. 427) you find a 1 minute description of a 'multi-party decision matrix'.

Such a diagram is part of a decision making process.

For information about complete (full-scale) processes, rather see appendix E, p. 330.

Appendix F progress

Intro done

Why bother? up next

Basic diagram

Diagram upgrades: ...
... uncertainty handling
... multi-party capability
... scoring
... weighted criteria
... two-step ratings

Possible mistakes

Appendix F summary

Let's assume you are facing a complex decision making situation.

Making a decision support diagram is work. Additional work, it may seem.

So why bother?

Because it helps you to avoid the problems a bad decision would cause.

This is the primary reason.

There are 4 secondary reasons on the next page.

1. Overview

Decisions made without overview over the situation produce random results. Without overview, you're partially blind.

A good diagram gives overview.

2. Communication

You may want, or need, to communicate your considerations to others. A good diagram can do this very efficiently.

3. Cooperation

You may want, or need, to cooperate with others. A diagram that shows what each party thinks makes this easier.

4. Documentation

You may want, or need, to document your considerations.
A good diagram can replace many, many pages of text.

Appendix F progress

Intro done

Why bother? done

Basic diagram up next

Diagram upgrades: ...
... uncertainty handling
... multi-party capability
... scoring
... weighted criteria
... two-step ratings

Possible mistakes

Appendix F summary



We start out with a basic 'decision matrix'.

This is a table where you first arrange your decision options and your criteria as column/row headings.

(Only 2 of each are shown in this example.
Normally there are many more.)



The cells in this table (or matrix) can then be filled with your ratings.

A rating expresses your judgement (or evaluation) of an option/criterion combination.

Buy 'discount price' product A 'premium edition' product B

Purchase price affordable quite expensive

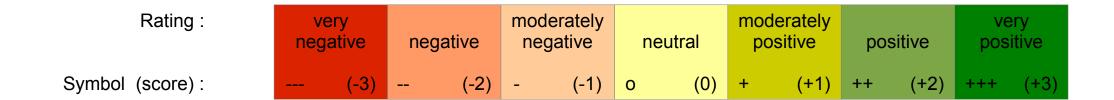
Build quality somewhat flimsy good

That might look like the example on the left.

But when dealing with many ratings, you need to use a rating scale instead of individual phrases (such as 'affordable').

Otherwise you will lose overview.

Rating scale

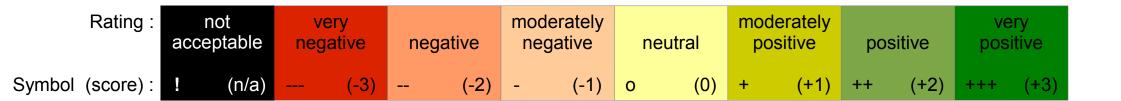


This scale is very useful.

3 degrees of 'positive' or 'negative' give enough precision in most situations, but are still easy to handle.

But it's not complete yet.

Rating scale



We also need a 'not acceptable' rating.

Unlike the other ratings, this one cannot be compensated for.

It just rules out any decision option that earns such a rating on at least one criterion, no matter how well the option scores on other criteria.

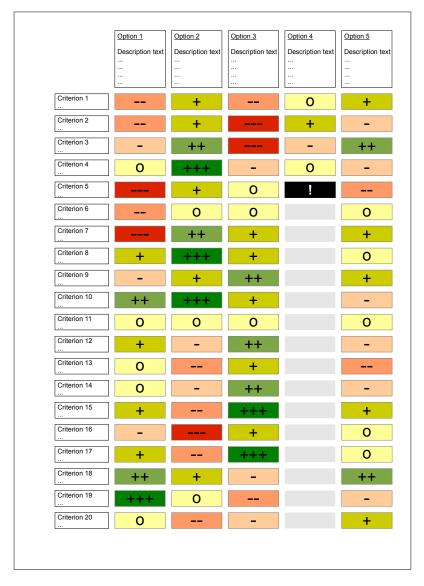
Therefore use it only when 'very negative' is not sufficient.

Fig. F.2b: rating scale



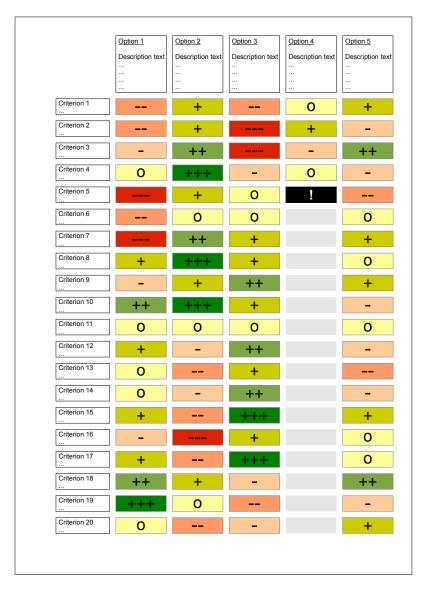
Applying the scale to the example from p. 364 gives us this result.

However, the benefits of using this rating scale become more obvious when dealing with more options and criteria.



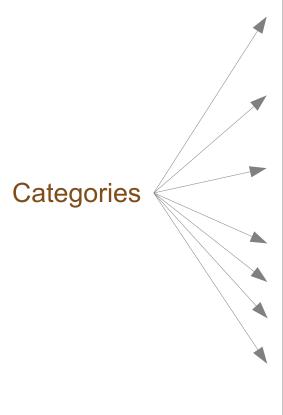
For instance, you could compare 5 options on 20 criteria, and yet easily maintain overview over all their advantages and disadvantages.

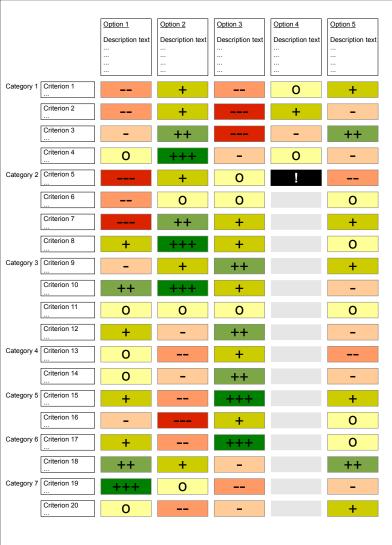
This is something you simply could not do without a diagram.



This matrix fits on a single A4/Letter-sized page, using a 10 pt font (a typical newspaper font is 8-9 pt).

Note that it was not necessary to evaluate option 4 any further after it was judged 'not acceptable' on one criterion.





When dealing with many criteria (or options), it is usually helpful to group them by category.

Examples of criteria categories:
finance, workload,
quality of life, ethics,
environment, compliance,
effectiveness, feasibility,
short-term, long-term.

The diagrams shown are easy to make, and easy to explain to others.

They are the right choice if simplicity is paramount (and only then).

On the next pages, we develop the decision matrix concept further.

These diagrams can do things the basic ones can't.

Appendix F progress

Intro done

Why bother? done

Basic diagram done

Diagram upgrades : ...

... uncertainty handling up next

... multi-party capability

... scoring

... weighted criteria

... two-step ratings

Possible mistakes

Appendix F summary

When you work with your ratings, you will sometimes feel uncertain about how to rate an option/criterion combination.

This might happen because you do not have enough information to give a precise rating, or because you want your rating 'somewhere between' two rating levels.

With a little upgrade, a decision matrix diagram can handle such uncertainties.

This does not only make the rating work easier, it also adds very valuable information to the diagram.



We have seen this example before.

But now let's change the layout of the rating cells ...



... to this format.

There is made room for a whole rating scale in each cell.

The chosen ratings are marked on the scale.

But it is now possible to mark more than one rating level.



In this example, you are certain in your judgement of the purchase price, but uncertain about the build quality.

Product A's build quality appears more uncertain (and worse) than product B's.



A full A4/Letter page example could look like this.

(It's an upgraded version of the p. 369 diagram.)



Appendix F progress

Intro done

Why bother? done

Basic diagram done

Diagram upgrades : uncertainty handling done

... multi-party capability up next

... scoring

... weighted criteria

... two-step ratings

Possible mistakes

Appendix F summary

The previous diagrams were designed for a single decision maker.

But often there are more decision makers (and/or advisors) involved.

They will agree on some matters, and disagree on others.

Making all views clearly visible is in the interest of transparency and good decision making.

The upcoming 'multi-party decision matrix' is designed to do that.



Back to our example.

Let's say the shown ratings are Alice's.

But now she wants to see other opinions ...



... so we change the layout of the rating cells once more.

Alice's ratings are still there, but now there is room for more.



3 other parties were asked for their views, and the diagram shows these.

Hence the (maybe awkward but descriptive) term multi-party decision matrix for this kind of diagram.



Note the black lines separating the decision makers from the advisors.

Please take a moment to examine the ratings.



You will notice (for instance) that:

- there are no major disagreements,
 except the marked one
 - nobody uses a 'not acceptable' rating



- Bob is certain about everything

(does he have more information? Or more self-confidence?)



Carol has no strong opinion about build quality

(that is good judgement if she's no expert)



- Dave really doesn't like product A's build quality

(which should get the decision makers' attention, because he is their expert for that)

Some notes regarding practical aspects:

- of course you can put more than
 4 parties' ratings in each cell
 (up to 10: no problem,
 more than 15: think twice)
 - making such diagrams takes time. But far less time than having several parties writing their own reports. You can skip the report writing if you use a diagram (no need for both)

- let each party do their ratings independently. They should not see each other's ratings until these are complete
- if you don't want to make diagrams yourself, you could delegate this task to someone (trustworthy, willing and competent) else
- information about full-scale decision making processes is in appendix E, p. 330

Appendix F progress

Intro done

Why bother? done

Basic diagram done

Diagram upgrades : ...

... uncertainty handling done ... multi-party capability done

... scoring up next

... weighted criteria ... two-step ratings

Possible mistakes

Appendix F summary

'Calculating a numerical score for each decision option often helps to find the best option.'

Is that true?

Not quite. Looking at a single score (per option) can be very misleading. Because single scores imply that all options come without (or with the same) uncertainty or risk attached. And that is usually wrong.

Therefore, at least 2 scores (per option) are required: one for the 'worst case', one for the 'best case' ratings.

A 3rd score for 'average' is nice to have.

These 3 scores combined often do help to find the best option.

Buy Buy 'discount price' 'premium edition' product A product B **Director Alice Director Alice Director Bob** Director Bob Purchase price Accountant Carol **Accountant Carol Engineer Dave Engineer Dave Director Alice Director Alice Director Bob Director Bob Build quality** Accountant Carol Accountant Carol **Engineer Dave Engineer Dave Director Alice** 0.0

According to Alice, the worst case score for this option is 0.0

Purchase price: +2 (■)
Build quality: -2 (■)

0 divided by 2 criteria = 0.0

Fig. F.6a: scoring

Buy Buy 'discount price' 'premium edition' product A product B **Director Alice Director Alice Director Bob** Director Bob Purchase price Accountant Carol **Accountant Carol Engineer Dave Engineer Dave Director Alice Director Alice Director Bob Director Bob Build quality** Accountant Carol Accountant Carol **Engineer Dave Engineer Dave Director Alice** 0.0

According to Alice, the best case score for this option is 1.0

Purchase price: +2 (■)
Build quality: 0 (□)

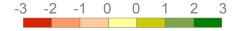
2 divided by 2 criteria = 1.0

Fig. F.6b: scoring



The average of her worst/best case scores is 0.5

These numbers are mapped to a graphical scale:



(where 0 is exaggerated)

Fig. F.6c: scoring

Buy 'discount price' product A Buy 'premium edition' product B

Purchase price

Director Alice
Director Bob
Accountant Carol
Engineer Dave

Director Alice
Director Bob
Accountant Carol
Engineer Dave

Build quality

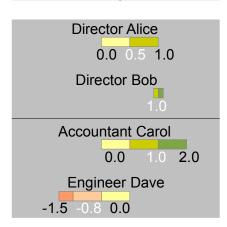
Director Alice
Director Bob
Accountant Carol
Engineer Dave

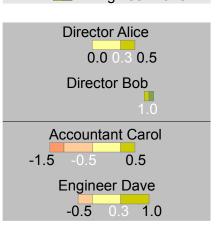
Director Alice
Director Bob
Accountant Carol
Engineer Dave

Calculated scores (individual)

(individual

numbers show worst case / average / best case scores





This is how it looks after processing all ratings.

Fig. F.6d: scoring

Scoring

Buy 'discount price' product A

Buy 'premium edition' product B

Purchase price

Director Alice Director Bob **Accountant Carol Engineer Dave**

Director Alice Director Bob Accountant Carol Engineer Dave

Build quality

Director Alice Director Bob Accountant Carol Engineer Dave

Director Alice Director Bob Accountant Carol Engineer Dave

Director Alice

Calculated scores (individual)

Director Alice 0.0 0.5 1.0 **Director Bob Accountant Carol** 0.0 1.0 2.0

-1.5 -0.8 0.0

0.0 0.3 0.5 **Director Bob Accountant Carol**

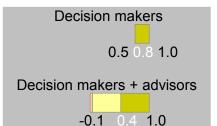
numbers show worst case / average / best case scores

Engineer Dave

-1.5 -0.5 0.5 **Engineer Dave**

-0.5 0.3 1.0

Calculated scores (collective)



Decision makers 0.5 0.6 0.8 Decision makers + advisors -0.3 0.3 0.8

Finally, the individual scores are merged (averaged) into collective scores.

Fig. F.6e: scoring

Scoring

Buy 'discount price' product A

Buy 'premium edition' product B

Purchase price

Director Alice Director Bob **Accountant Carol Engineer Dave**

Director Alice Director Bob Accountant Carol Engineer Dave

Build quality

Director Alice Director Bob Accountant Carol Engineer Dave

Director Alice Director Bob Accountant Carol Engineer Dave

Calculated scores (individual) **Director Alice** 0.0 0.5 1.0 **Director Bob**

Director Bob

Accountant Carol

0.0 0.3 0.5

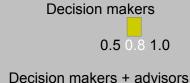
Director Alice

numbers show worst case / average / best case scores **Accountant Carol** 0.0 1.0 2.0

-1.5 -0.5 0.5

Engineer Dave -0.5 0.3 1.0

Calculated scores (collective)



-0.1 0.4 1.0

Engineer Dave

-1.5 -0.8 0.0

0.5 0.6 0.8 Decision makers + advisors

Decision makers -0.3 0.3 0.8 The collective scores tell us mainly that:

- the product A option has a slight advantage, both in worst and best case scores

> - both options are acceptable

Fig. F.6f: scoring

<u>Scoring</u>

Buy 'discount price' product A Buy 'premium edition' product B

Purchase price

Director Alice
Director Bob
Accountant Carol
Engineer Dave

Director Alice
Director Bob
Accountant Carol
Engineer Dave

Build quality

Director Alice
Director Bob
Accountant Carol
Engineer Dave

Director Alice
Director Bob
Accountant Carol
Engineer Dave

Calculated scores (individual)

Director Alice
0.0 0.5 1.0

Director Bob
1.0

Accountant Carol
0.0 1.0 2.0

Engineer Dave

Director Alice
0.0 0.3 0.5
Director Bob
1.0
Accountant Carol
-1.5 -0.5 0.5
Engineer Dave

-0.5 0.3 1.0

numbers show worst case / average / best case scores

Decision makers

0.5 0.8 1.0

Decision makers + advisors

option not acceptable

option not acceptable

Decision makers

0.5 0.6 0.8

Decision makers + advisors

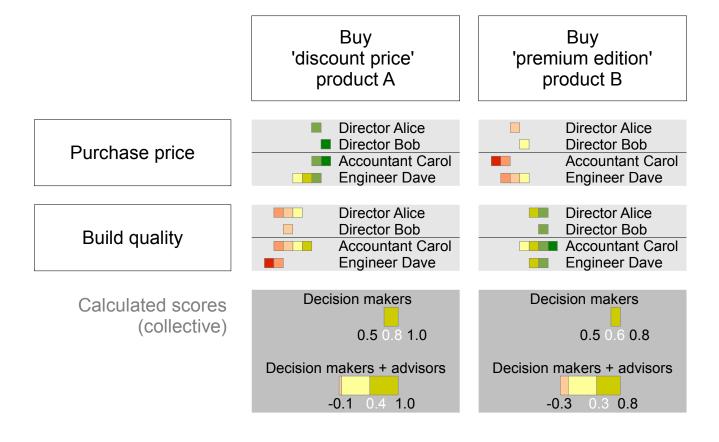
-0.3 0.3 0.8

Calculated scores (collective)

Note:

If Dave would have rated product A's build quality 'not acceptable', the scores would look like this.

Scoring



When presenting such a matrix to an inexperienced audience, consider to:

- first show (explain) the matrix without scores
- then show it with added collective scores (example to the left)
 - then show the individual scores

Fig. F.6h: scoring 400

Would **you** prefer to buy product B, despite its slightly worse scores?

In that case, your judgement may partly be based on criteria not included in the example (e.g. 'total cost of ownership' or 'user experience').

Or perhaps the 'build quality' criterion is more important for you than the 'purchase price' criterion.

This leads us to the next section.

Appendix F progress

Intro done

Why bother? done

Basic diagram done

Diagram upgrades : ...

... uncertainty handling done ... multi-party capability done

... scoring done

... weighted criteria up next

... two-step ratings

Possible mistakes

Appendix F summary

Often some criteria appear as more, some as less important than others.

Their perceived importance depends on how well they align with the observer's value system (see chapter 3, p. 35).

This implies that only people with similar value systems tend to agree on what is 'important'.

A decision support tool, e.g. a multi-party decision matrix, should allow (not force) each party to attach individual 'weights' to each criterion.

There are 3 different ways of doing this.

1. criteria selection

Example: if you have 5 criteria in the 'finance' category and 2 in 'environment', 'finance' weighs much more than 'environment'.

2. judgement (rating) bias

Example: 'build quality' is very important for Dave. Hence he judges this criterion very critically, and expresses that in his ratings.

3. numerical weights

A numerical weight is a factor applied to a criterion score, to make it count more/less in the total score.



With only one party (Dave) using numerical weights, the diagram looks like this.

Numerical weight and visual block height are proportional.

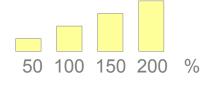
Even without ever talking to Dave, you can directly see what he finds important.



Dave weighted 'build quality' up, from default 100% to 150%.

He also weighted 'purchase price' down, from 100% to 50%.

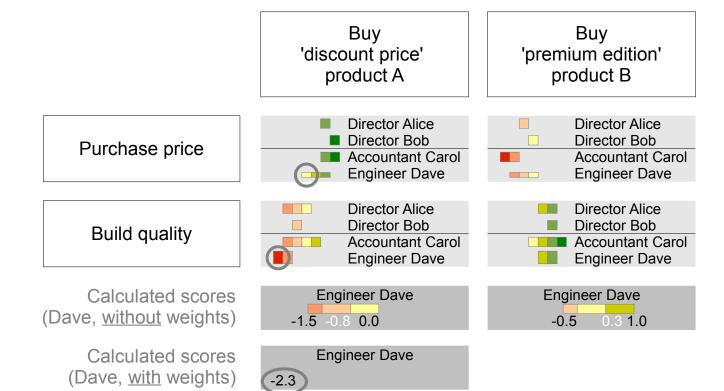
For simplicity, only these weights are allowed:





As a rule, weight can only be shifted between criteria (instead of just added). For instance, a party 'giving' extra 100% to one criterion must 'take' 2x 50% from other criteria.

(Otherwise score calculations become meaningless.)



Dave's new worst case score for the product A option is -2.3

Purchase price: $0 \times 0.5 (=) = 0.0$ Build quality: $-3 \times 1.5 (=) = -4.5$

-4.5

-4.5 divided by 2 criteria = -2.25 (-2.3)



Because of the shifted weight, 'build quality' dominates Dave's new scores very clearly.

With more criteria in the matrix, shifted weights have a less dramatic impact on scores.

Note:

All parties should complete their ratings before considering to shift weights between criteria.

For instance, if there is only one acceptable option left, there is no need for weighting (nor for scoring).

Appendix F progress

Intro done

Why bother? done

Basic diagram done

Diagram upgrades : ...

... uncertainty handling done ... multi-party capability done

... scoring done

... weighted criteria done

... two-step ratings up next

Possible mistakes

Appendix F summary

Assuming that option set and criteria were chosen carefully, the final decision quality depends heavily on how accurate the ratings are.

Letting multiple parties do their ratings independently already reduces the impact of individual rating errors.

But there is another way to increase both rating accuracy and transparency.

The trick is to divide the rating evaluations into two steps.

Example: single-step rating

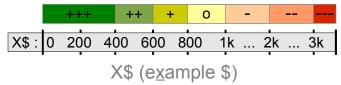
'I give the purchase price of product A a positive (++-) rating'

Note:

If I base my rating on a wrong purchase price, nobody else can see my error. This is both an accuracy and transparency issue.

Example: two-step rating

1. 'This is how I rate these purchase prices'



2. 'For product A, I expect a purchase price of 500 X\$'

This results in a positive (++) rating.

Single-step ratings are often less accurate, mostly because they are easily 'contaminated' by other criteria.

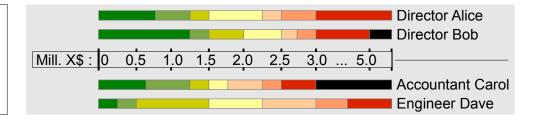
For instance, the decision maker may rate the same purchase price as positive (++) for a well built product, but as negative (--) for a poorly built product.

This is intuitive but wrong, because 'build quality' judgements belong to that criterion, not to the 'purchase price' criterion.

Two-step ratings make it easy to avoid this kind of confusion, and to spot wrong assumptions.

Two-step rating baselines (multi-party)

Purchase price



Build quality

This criterion cannot be quantified (along one axis).

Therefore ratings are performed directly (in the decision matrix).

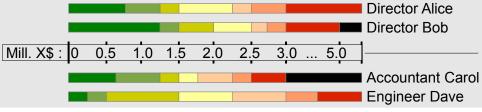
Back to our familiar example.

Alice and Bob are in charge of buying some expensive equipment, and go for two-step ratings.

They use a new diagram (shown on the left) for that. The criteria are the same as in the decision matrix.

Two-step rating baselines (multi-party)

Purchase price [



Build quality

This criterion cannot be quantified (along one axis).

Therefore ratings are performed directly (in the decision matrix).

The 1. step is to establish baselines for how quantifiable facts translate into individual ratings.

For instance, Carol rates any purchase price above 3m X\$ as 'not acceptable'.

Note that not all criteria are quantifiable.

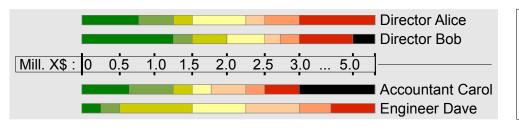
Merged diagrams

Decision matrix





Buy 'premium edition' product B



Purchase price

Director Alice
Director Bob
Accountant Carol
Engineer Dave

Director Alice
Director Bob
Accountant Carol
Engineer Dave

This criterion cannot be quantified (along one axis).

Therefore ratings are performed directly (in the decision matrix).

Build quality

Director Alice
Director Bob
Accountant Carol
Engineer Dave

Director Alice
Director Bob
Accountant Carol
Engineer Dave

Two-step rating baselines and decision matrix can be merged into a single diagram.

Fig. F.9a: merged diagrams 417

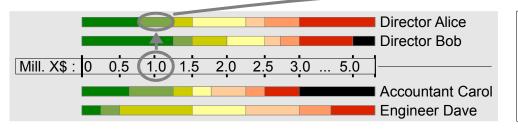
Merged diagrams

Decision matrix



Buy 'discount price' product A

Buy 'premium edition' product B



Purchase price

Director Alice
Director Bob
Accountant Carol
Engineer Dave

Director Alice
Director Bob
Accountant Carol
Engineer Dave

This criterion cannot be quantified (along one axis).

Therefore ratings are performed directly (in the decision matrix).

Build quality

Director Alice
Director Bob
Accountant Carol
Engineer Dave

Director Alice
Director Bob
Accountant Carol
Engineer Dave

Now it becomes transparent on which numbers the individual ratings are based.

(Applies only to quantifiable criteria)

Example:

Alice expects (in her 2. rating step) a purchase price of about 1m X\$ for product A.

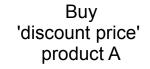
Fig. F.9b: merged diagrams

418

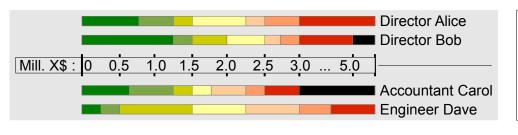
Merged diagrams

Decision matrix





Buy 'premium edition' product B



Purchase price

Director Alice
Director Bob
Accountant Carol
Engineer Dave

Director Alice
Director Bob
Accountant Carol
Engineer Dave

This criterion cannot be quantified (along one axis).

Therefore ratings are performed directly (in the decision matrix).

Build quality

Director Alice
Director Bob
Accountant Carol
Engineer Dave

Director Alice
Director Bob
Accountant Carol
Engineer Dave

And this is how it looks including collective scores

(scores updated after Dave applied weight factors to his ratings).



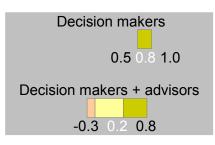




Fig. F.9c: merged diagrams

Sometimes it's easier to keep the two-step rating baselines and the decision matrix as separate diagrams (instead of merging them).

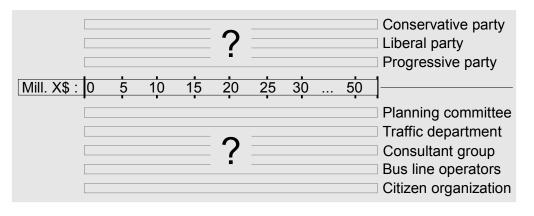
You can still view (or present) them side by side when wanted.

Two-step rating baselines (multi-party)

Total costs

of

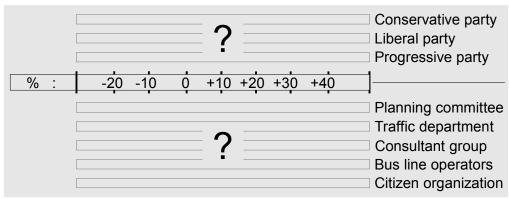
new Central Bus Station project



Change in passenger numbers

after

project is completed



The Alice-Bob-Carol-Dave-product-A/B example was very simple.

In real life, the 'products' could be new bus stations, schools, business plans, tax systems, or foreign policy strategies.

(Just a reminder regarding what this appendix is about.)

Appendix F progress

Intro done

Why bother? done

Basic diagram done

Diagram upgrades : ...

... uncertainty handling done ... multi-party capability done

... scoring done

... weighted criteria done

... two-step ratings done

Possible mistakes up next

Appendix F summary

The discussed diagrams are decision making tools.

Like many other tools, they deliver good results when handled properly.

Otherwise not.

So, what do you need to watch out for?

Well, mistakes can occur in all 4 areas of the decision matrix:

- 1. the options area
- 2. the criteria area
- 3. the ratings area
- 4. the scores area

- 1. Common mistakes in the options area
- not enough effort is made to find promising decision options.
 No decision matrix can show the merits of overlooked options
 - unclear or rhetorically biased option descriptions
 (hinder accurate ratings)

- 2. Common mistakes in the criteria area
- important criteria are not included ('important' for at least one party)
- the criteria list is crowded with rather unimportant criteria
 (10-30 criteria work well in most situations)
- unclear or rhetorically biased criteria descriptions (hinder accurate ratings)

- 3. Common mistakes in the ratings area
 - inconsistent ratings
 - ratings based on wrong assumptions

(both discussed in the 'two-step ratings' section)

- 4. Common mistakes in the scores area
 - calculation errors (yes, it happens)
- scores are not updated after a rating (or weighting) change

Note that some of these mistakes are related to decision making in general (with or without diagrams).

Certainly a major mistake would be to write/read tens/hundreds of text pages, and then to make a decision based on what you happen to remember, or on what was rhetorically most convincing. Decisions affecting the public should be made according to a quality standard, to prevent all these mistakes, and to ensure good decision quality

(see appendix E, p. 294).

Appendix F progress

Intro done

Why bother? done

Basic diagram done

Diagram upgrades : ...

... uncertainty handling done ... multi-party capability done

... scoring done

... weighted criteria done

... two-step ratings done

Possible mistakes done

Appendix F summary up next

Appendix F summary

You cannot make well-founded and good decisions without overview.

In complex situations, overview requires diagrams.

A 'multi-party decision matrix' is a diagram specifically designed for that purpose.

Whether 2 or 10 decision makers, new office furniture or new foreign policy: this diagram reveals which decision options are better than others, and why.

In addition, it speeds up the decision making process by replacing a lot of (report writing) paperwork.

Because of its clear and logical structure, it can be explained to most audiences in about 1 minute.

(multi-party decision matrix)

This is an A4/Lettersized page. It shows a multi-party decision matrix example. Such diagrams are decision support tools.

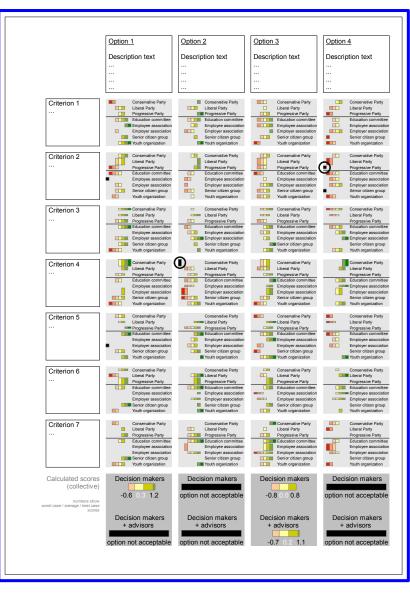
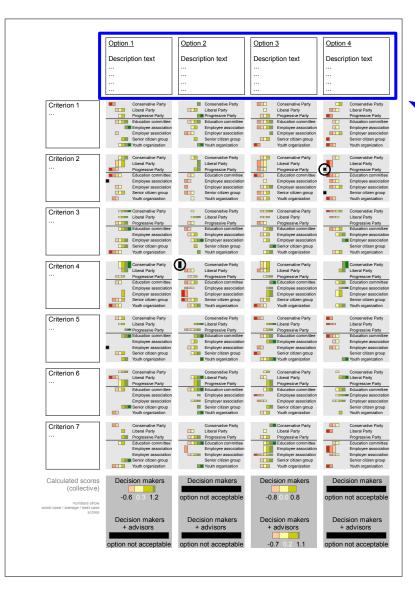


Fig. F.10a : 1 minute summary

429

(multi-party decision matrix)

This is an A4/Lettersized page. It shows a multi-party decision matrix example. Such diagrams are decision support tools.



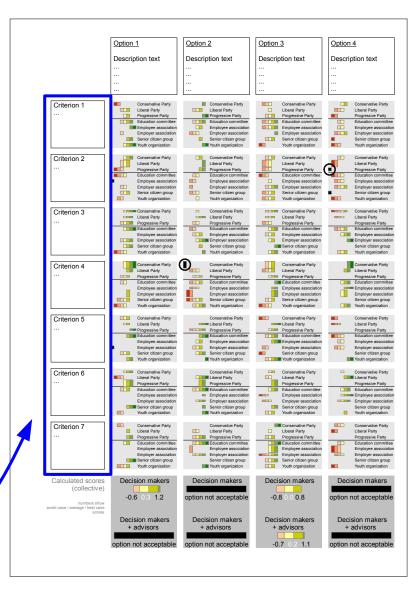
The considered decision options (choices) are listed here.

Fig. F.10b: 1 minute summary

(multi-party decision matrix)

This is an A4/Lettersized page. It shows a multi-party decision matrix example. Such diagrams are decision support tools.

The considered decision criteria are listed here.

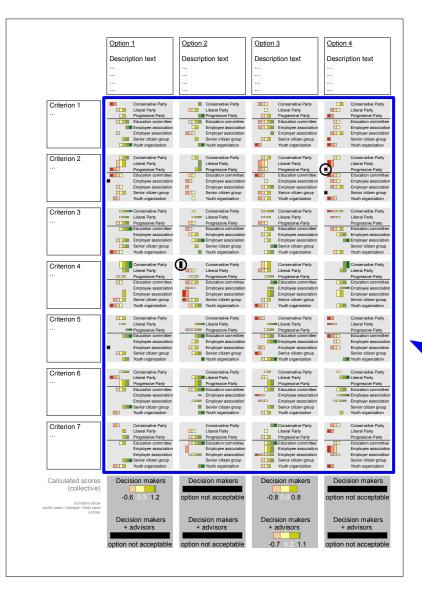


The considered decision options (choices) are listed here.

(multi-party decision matrix)

This is an A4/Lettersized page. It shows a multi-party decision matrix example. Such diagrams are decision support tools.

The considered decision criteria are listed here.



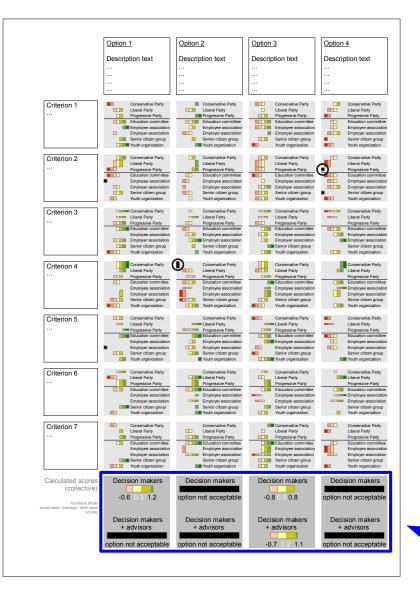
The considered decision options (choices) are listed here.

The decision makers and their advisors rate (evaluate) the option/criterion combinations here.

(multi-party decision matrix)

This is an A4/Lettersized page. It shows a multi-party decision matrix example. Such diagrams are decision support tools.

The considered decision criteria are listed here.



The considered decision options (choices) are listed here.

The decision makers and their advisors rate (evaluate) the option/criterion combinations here.

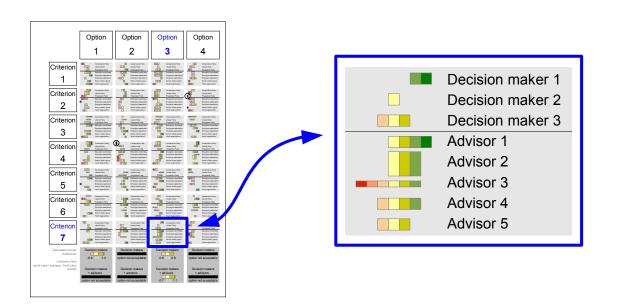
Calculated scores (points) for acceptable options are shown here.

(multi-party decision matrix)



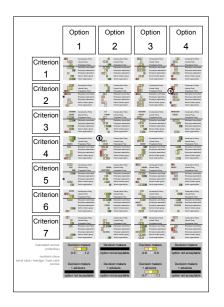
If necessary, the diagram can extend over more pages.

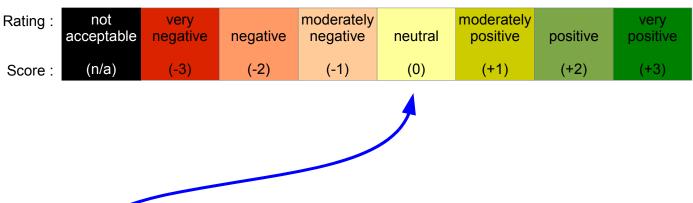
(multi-party decision matrix)

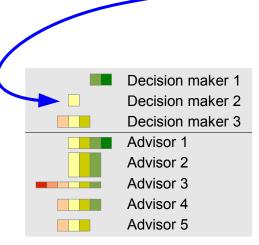


This is how option 3 is rated on criterion 7.

(multi-party decision matrix)

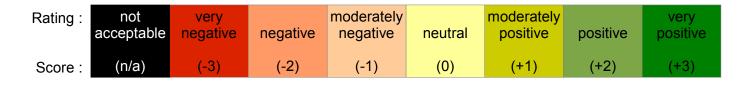




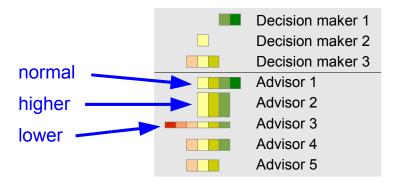


All ratings are chosen from the scale above.

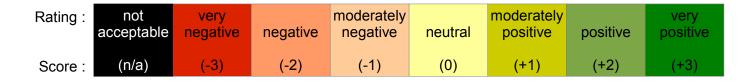
(multi-party decision matrix)

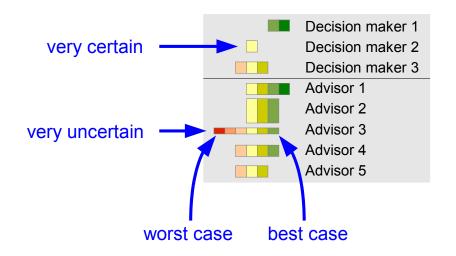


Block height indicates criterion priority (weight).



(multi-party decision matrix)



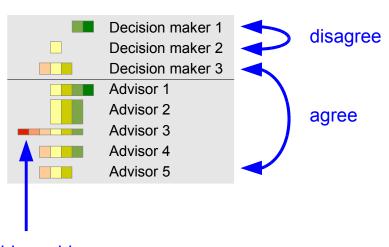


Multiple ratings reflect worst/best case considerations (uncertainty).

(multi-party decision matrix)

moderately moderately Rating: not very very acceptable negative negative negative neutral positive positive positive (n/a)(-3)(-2)(-1) (0) (+1)(+2)(+3)Score:

Similar and diverging views, as well as possible problems, can easily be spotted.



possible problem, overlooked by others?

Back to the primary reason for using such diagrams:

They are tools that help you to avoid the problems a bad decision would cause.

(They can even help you to make really good decisions.)

Better decisions, less problems, better quality of life.

Appendix G

How to make and use argument maps

Avoid endless discussions

Discussions have an important role in our lives.

They influence our thinking, our social relations, our decision making and our actions.

Public discussions (or debates) often precede and influence political decision making.

Which again affects us.

Therefore it is in our best interest that discussions give useful results. Instead of manipulating us, or simply wasting our time.

This appendix is mostly about diagrams that can help us to have better (and shorter) discussions.

But before making any diagrams we need to find out what to expect from a 'better' discussion.

After that, we need to take a quick look at which reality these diagrams reflect.

Note that the diagram types in this appendix come in addition to the multi-party decision matrices introduced in appendix F (p. 356).

Rather use those matrices if the discussion is about choosing between decision options.

Appendix G progress

Intro done

About discussions up next Diagrams and reality (yours or mine?)

Argument maps
Argument evaluation
Argument evaluation diagrams

In an ideal discussion, the participants:

- 1. talk/write about a precisely defined topic, and nothing else
- 2. agree on the purpose of the discussion
- 3. treat each other with respect
- 4. express themselves clearly

- 5. consider each other's viewpoints and arguments with open minds
- 6. come to their conclusions <u>after</u> they have heard and evaluated all arguments

and

7. do all this without wasting time.

In real life discussions, the participants often do exactly the opposite.

Sometimes in all 7 aspects.

Results, if any, are accordingly.

Unfortunately, because this happens so often, it is widely considered as normal and acceptable behaviour.

Real life 'discussions' are often rather debates.

In a debate, the participants try to convince each other (or at least their audience) of their opposing positions.

Arguments are used to attack or defend positions, rather than to arrive at well-founded conclusions.

Back to the discussions.

There is no practical way to ensure that discussion participants behave 'ideally' as outlined 2 pages before.

But there are at least 3 approaches that can help.

- 1. education and training, especially when started at early age
 - 2. discussion rules
 - 3. visualization techniques

When combined, these approaches bring ideal discussions within reach.

But already one of them alone can make a discussion better.

Approach 1 requires long-term thinking and much preparation.

Approaches 1 and 2 require either authority over (potential) participants, or their full cooperation.

Approach 3 does neither.

Whether you are a participant or an audience member, you can always listen to what is said and make a diagram of it.

More precisely, you can:

- follow the discussion
- extract claims, arguments and evaluations from it
 - convert that information into visual form (a diagram)
- make the diagram(s) available to participants and audience

But how can a diagram help to make a discussion better?

A good discussion support diagram does <u>not</u> reproduce verbal smoke screens, other rhetorical tricks, insults and off-topic statements.

Hence it can give better overview, clarity and focus.

And once the participants see that an argument has been registered in a diagram, they may refrain from repeating it.

The 'ideal discussion' aspects
1, 3, 4, maybe 6, and definitely 7
could benefit from all this
(see p. 445).

A lot more could be said about discussions. But that is beyond the scope of this appendix.

Appendix G progress

Intro done

About discussions done Diagrams and reality (yours or mine?) up next

Argument maps
Argument evaluation
Argument evaluation diagrams

No two persons have exactly the same perception of reality.

Something I consider as fact, you might consider as someone's opinion. Something you consider as valid conclusion, I might consider far-fetched. And so on.

This can easily lead to misunderstandings, confusion and/or conflicts.

To avoid these, the diagram maker (ideally: <u>all</u> discussion participants) must understand the difference between observations and interpretations.

Your observations are what your five senses tell your mind.

Your interpretations are what your mind makes of that.

Observations vs. interpretations (examples)

The man smiled after he boarded the train.

The man was happy after he boarded the train.

The man was happy because he didn't have to wait for the next train.

The man smiled wickedly after he boarded the train.

These satellite photos | show troops leaving their usual positions.

These satellite photos prove that an attack is imminent.

The green boxes contain observations (source: the eyes).

Yellow boxes contain interpretations (source: the mind).

Observations are easier to agree on than interpretations, and generally provide more reliable information.

Observations vs. interpretations (examples)

The man smiled after he boarded the train.

The man was happy after he boarded the train.

The man was happy because he didn't have to wait for the next train.

The man smiled wickedly after he boarded the train.

These satellite photos show troops leaving their usual positions.

These satellite photos prove that an attack is imminent.

Note that 100% pure observations can be hard to obtain.

Quite often there are traces of interpretation blended with observations.

Just think about the words 'smiled' and 'usual' from the examples.

What bearing does all this have on the making of argument maps (and similar diagrams)?

1.

Because the diagram maker needs to summarize/rephrase arguments presented by others, such diagrams cannot be 'observation only'.

2

However, if the diagram maker follows a code of conduct similar to the one for decision process pilots (see p. 328), the diagram will convey a neutral view.

3.

If not, such diagrams could be abused for (public) opinion manipulation.

4.

Therefore it must always
be clear to the audience
who made the diagram,
and which (if any) code of conduct
the diagram maker adhered to.

5.

These diagrams will never be perfectly true in a scientific or philosophical sense.

Yet they can be very helpful in practice.

Appendix G progress

Intro done

About discussions done

Diagrams and reality (yours or mine?) done

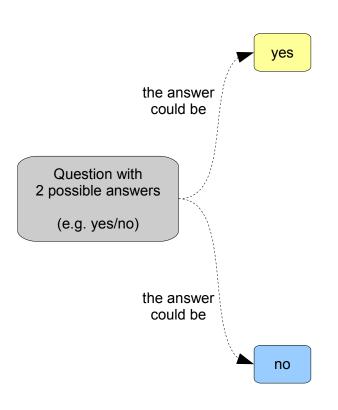
Argument maps up next
Argument evaluation
Argument evaluation diagrams

Argument maps can have various layouts. The ones in this appendix are optimized for easy access.

Most people will understand them without extra explanations.

This is important, because difficult to read diagrams often end up not being read, or not being understood. In both cases they become useless.

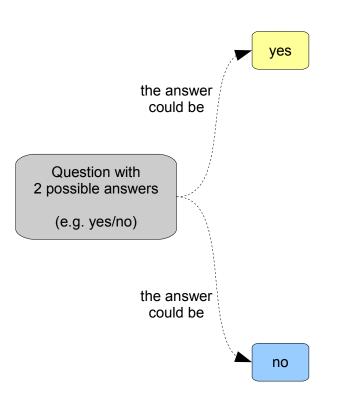
Please note that the next pages do not explain how to read an argument map, but how to make one.



The starting point for this type of argument map is the discussed question.

Best suited are questions with 2 possible answers.

If the discussion has already taken place, but without a clearly expressed topic question, this question must be 'constructed' afterwards.

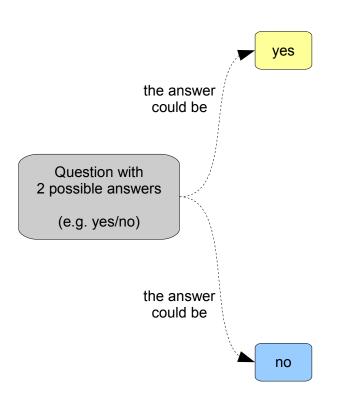


It is very important that the question is:

1. precisely phrased (must be <u>answerable</u>, without the need for lofty assumptions)

2. neutrally phrased (must not indicate a preferred answer)

3. immediately understandable for the target audience



Bad examples:

Are young people lazy?

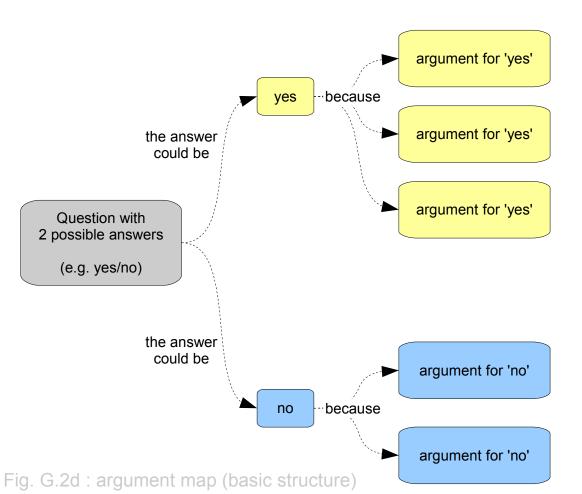
Should private investors finally get a chance to run our water supply infrastructure more efficiently?

Good example:

Should Abc City sell its water supply infrastructure to private investors?

main arguments

1st order arguments

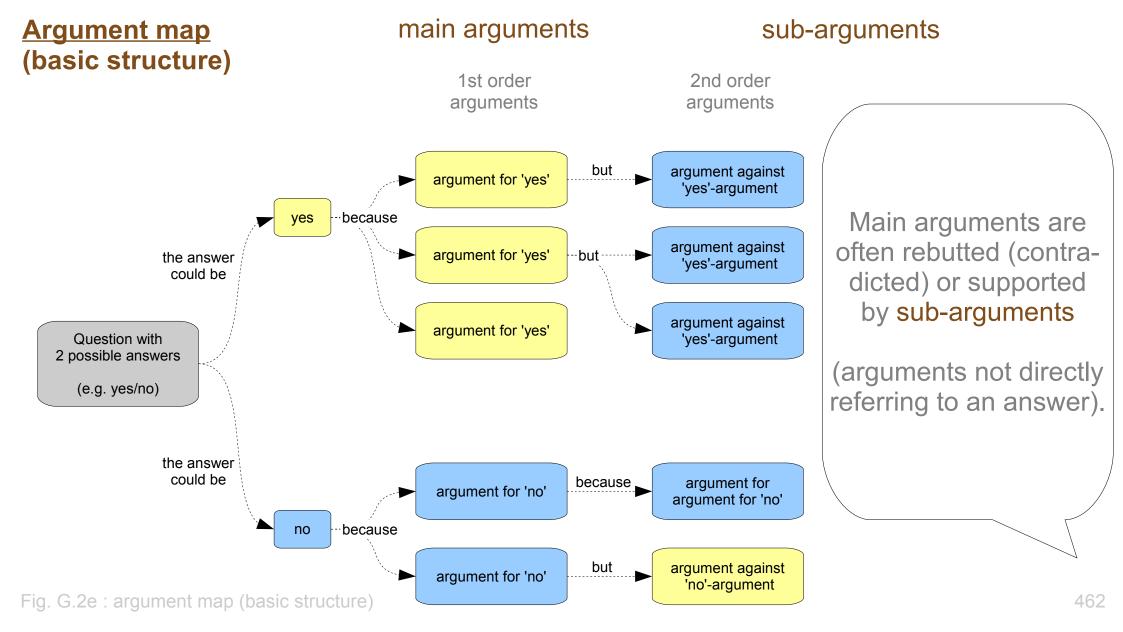


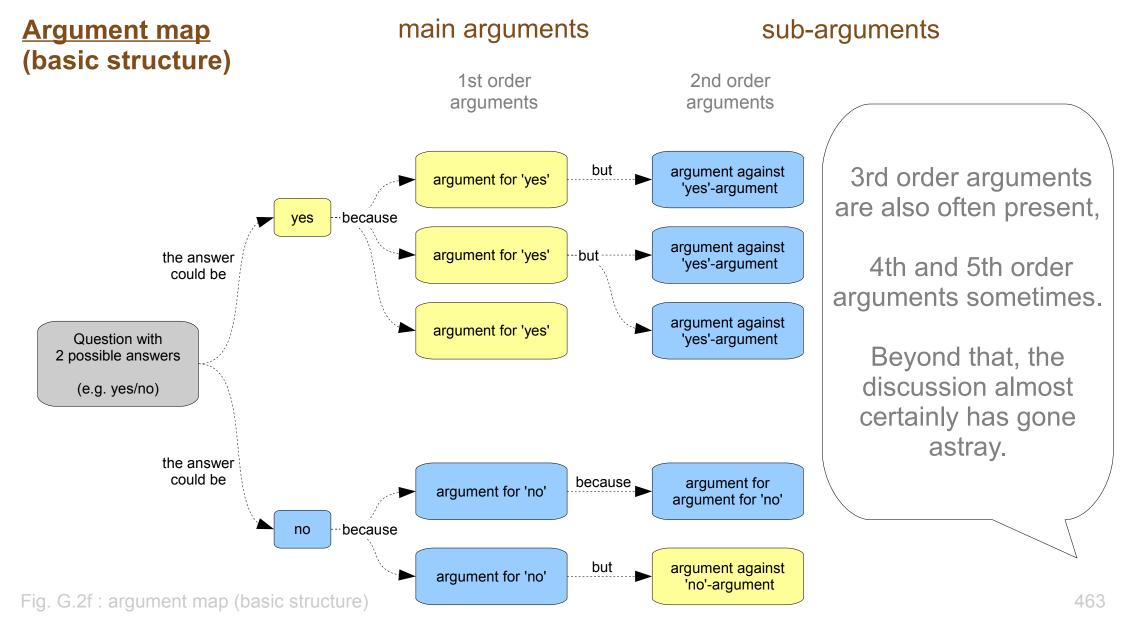
Next, arguments directly supporting an answer are listed.

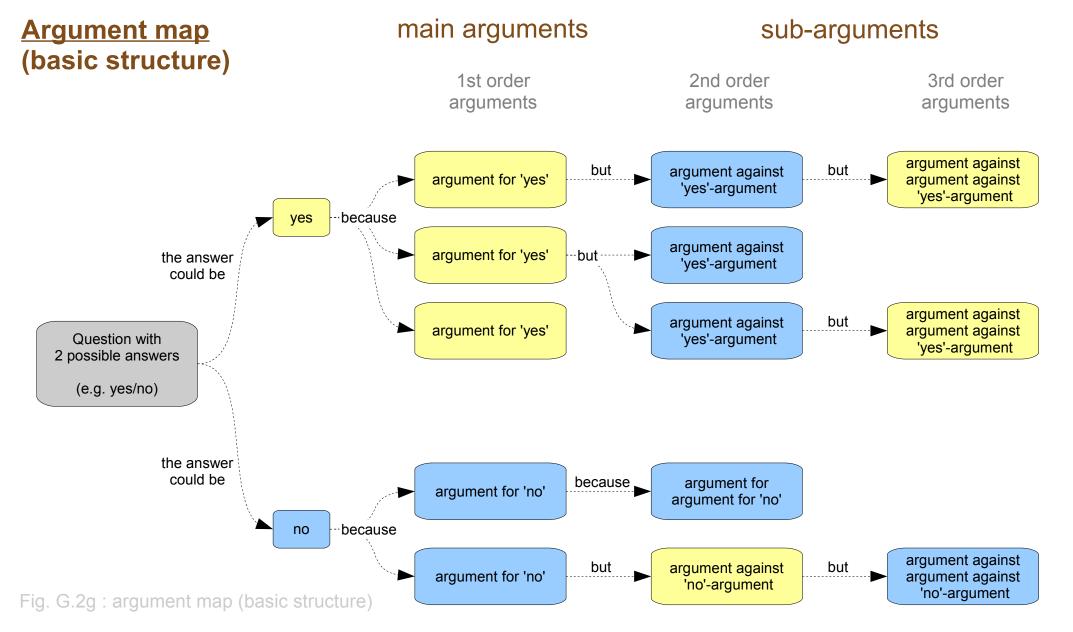
They are the main arguments.

All arguments are colour-coded according to the answer they support.

Note that these colours must not indicate any preference (as e.g. red or green would).







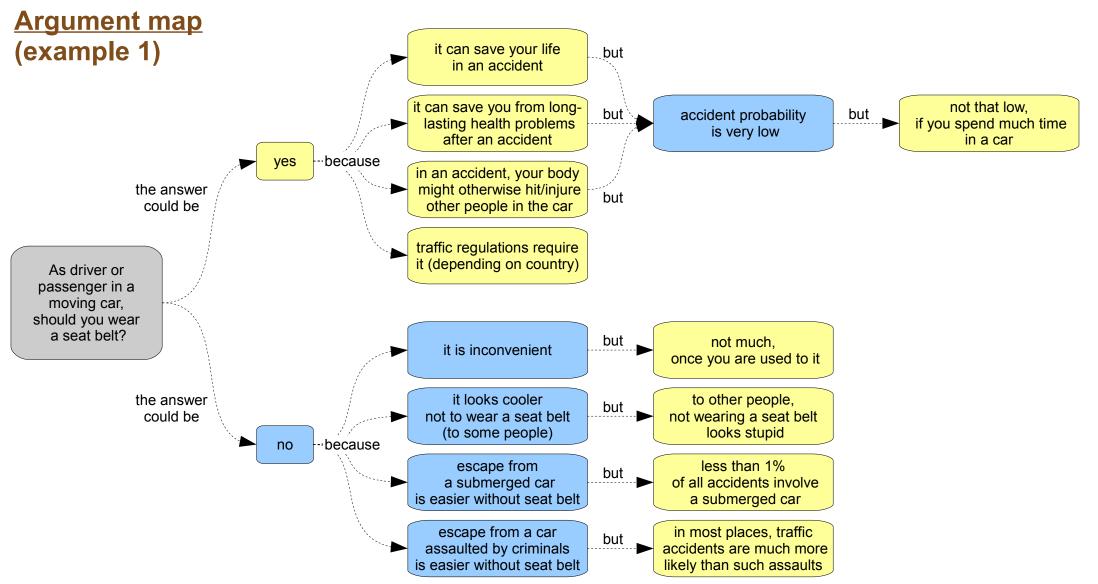
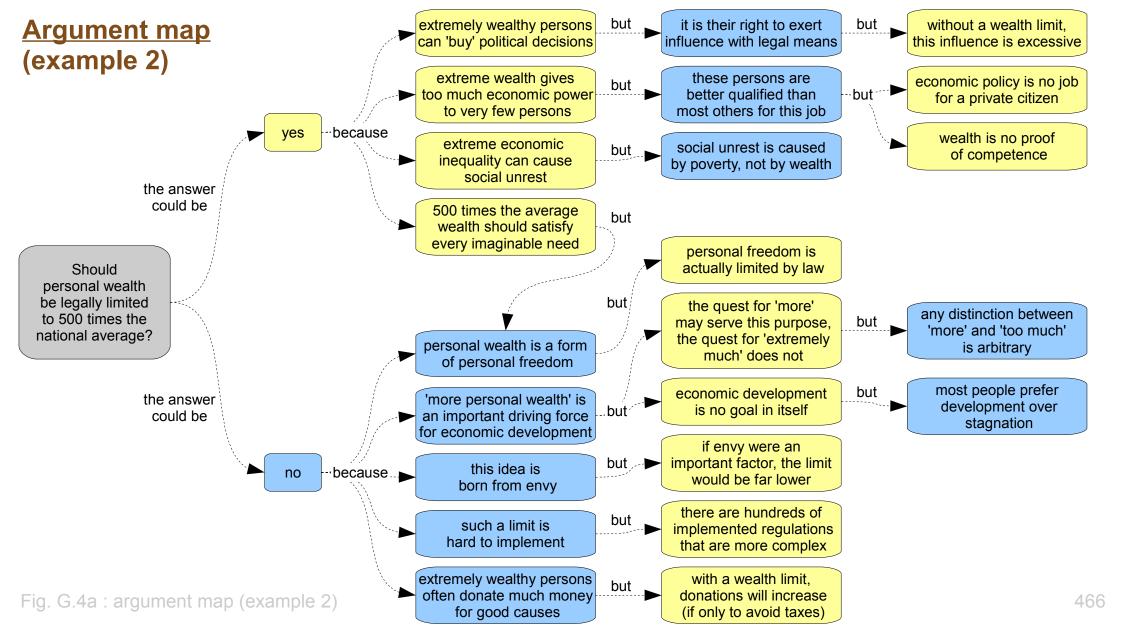
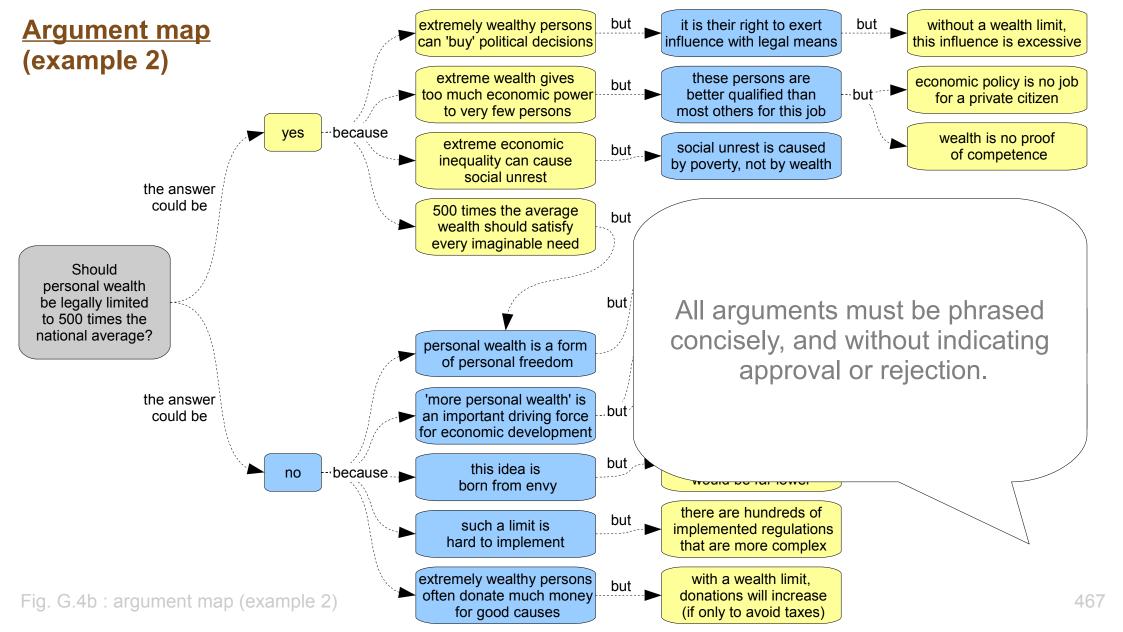


Fig. G.3: argument map (example 1)





You can clearly see the lines of arguments in either example.

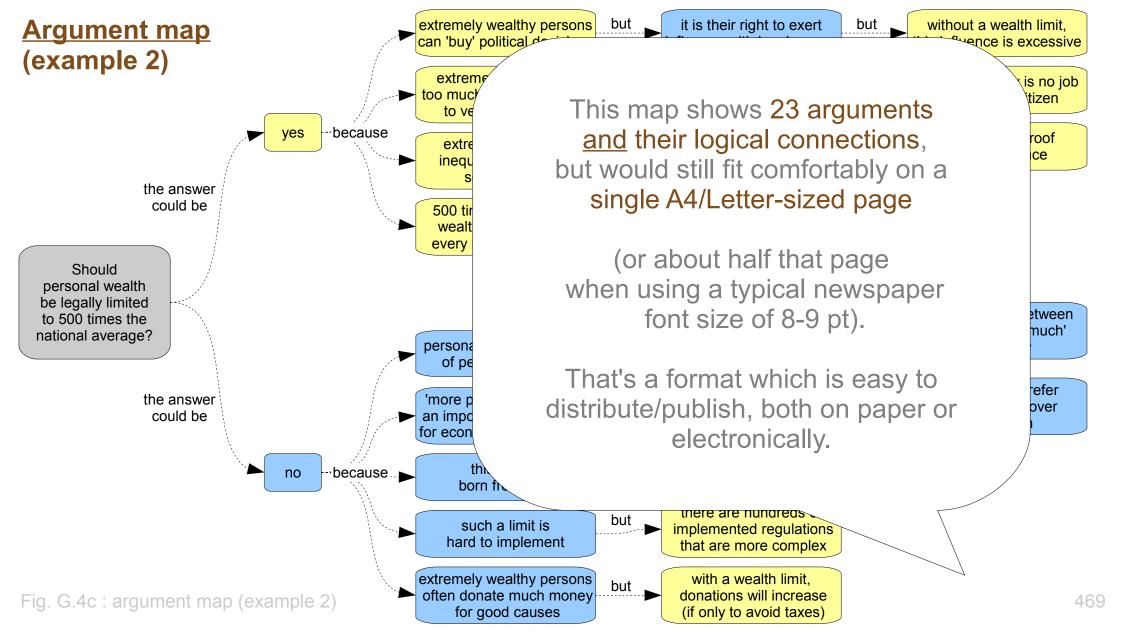
With an argument map, you can understand a discussion in 2-5 minutes.

Without one, you probably would have to listen or read for hours.

And you might even end up with confusion rather than understanding.

Note that you also can use argument maps for 'discussions with yourself'. In that case, you could effectively clear your head by making one.

(It takes about 30-90 minutes.)



After reading an argument map, you will have a first impression of which answer you find better supported.

Depending on the situation, and on how clear your impression is, you must decide whether closer evaluation is needed.

Argument evaluation is subject of the next pages.

Alternatively you could extract the criteria used in arguments, and put them in a decision matrix (where the possible answers become options).

Criteria from the example 1 map:

- accident survival chances
- health status after accident
- convenience
- coolness of appearance(...)

Options from the example 1 map:

- wear a seat belt
- don't wear a seat belt

Appendix G progress

Intro done

About discussions done

Diagrams and reality (yours or mine?) done

Argument maps done

Argument evaluation up next

Argument evaluation diagrams

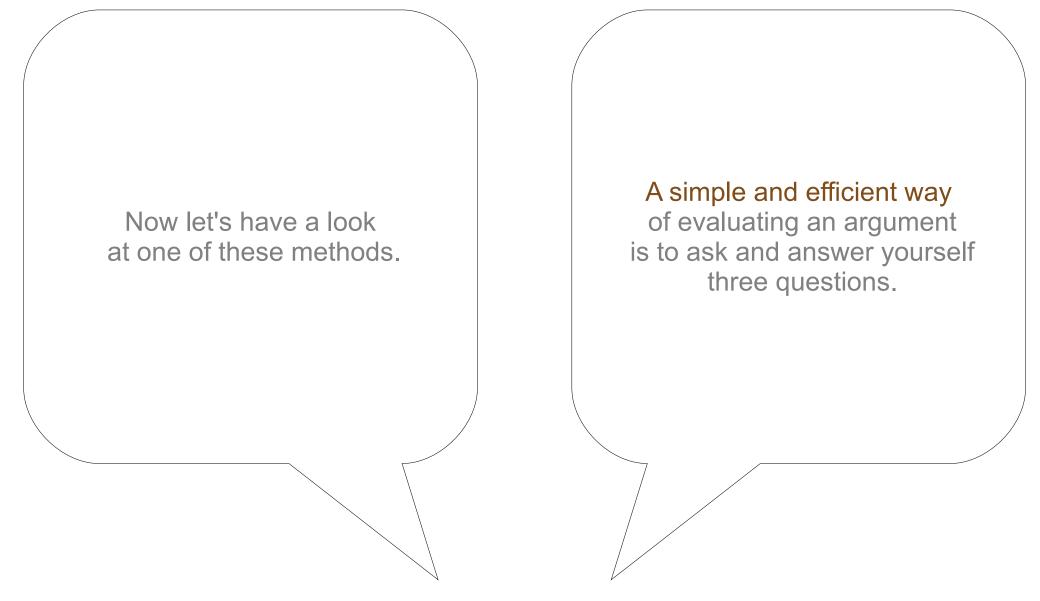
The result of an argument evaluation depends not just on the argument, but also on the evaluating person.

That's because different persons have different reality perceptions and value systems (we find different things important).

For more information about value systems, see chapter 3 (p.35).

Other persons therefore are not necessarily mistaken or stupid just because they arrive at different results.

If you want to criticize a 'wrong' evaluation result, you should make it clear whether you disagree with the underlying value system, or with the evaluation method used.



??? can't answer 1. Do you consider ves ---**>** the argument as true? no

Argument evaluation (flowchart)

A summarized argument takes usually the form of a statement.

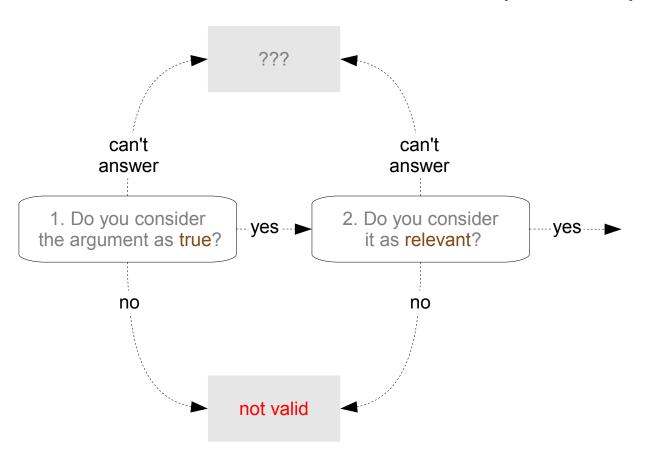
If you consider it as true, perhaps giving it the benefit of doubt, proceed to the 2. question (next page).

Otherwise the evaluation is completed.

Each gray box shows a possible evaluation result.

not valid

Argument evaluation (flowchart)



If you consider the argument as relevant (having a bearing on the topic), proceed to the 3. question.

Again you may give it the benefit of doubt.

Otherwise the evaluation is completed.

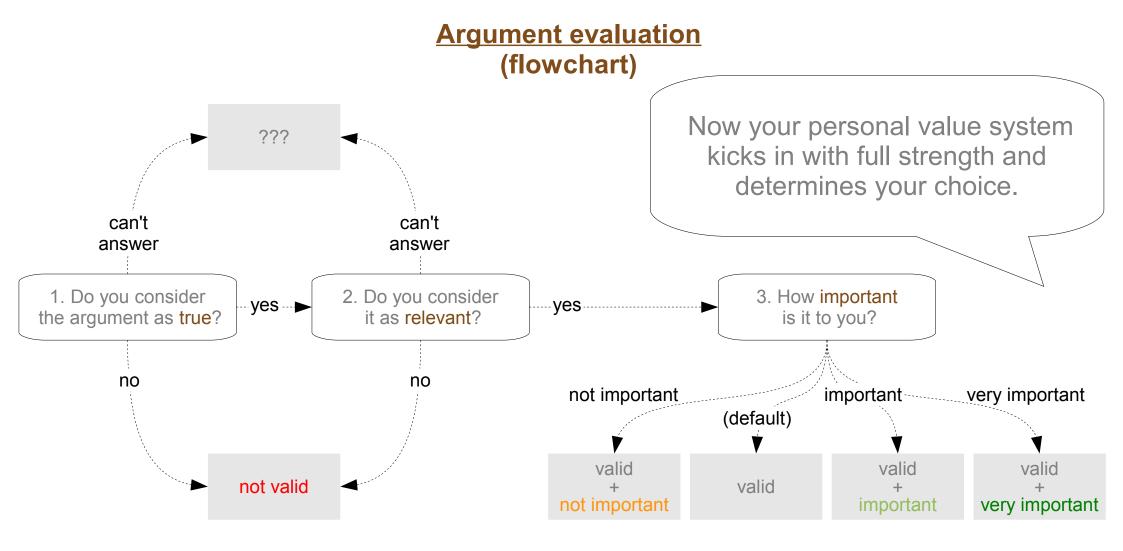
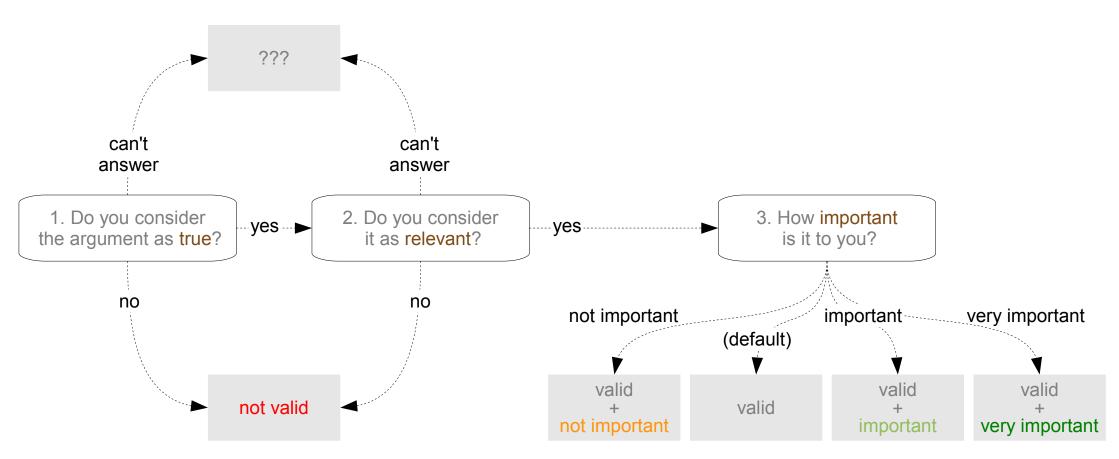
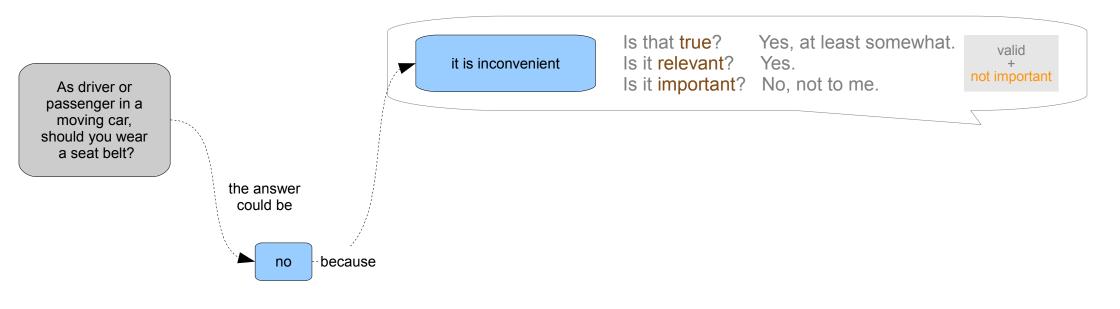


Fig. G.5c: argument evaluation flowchart

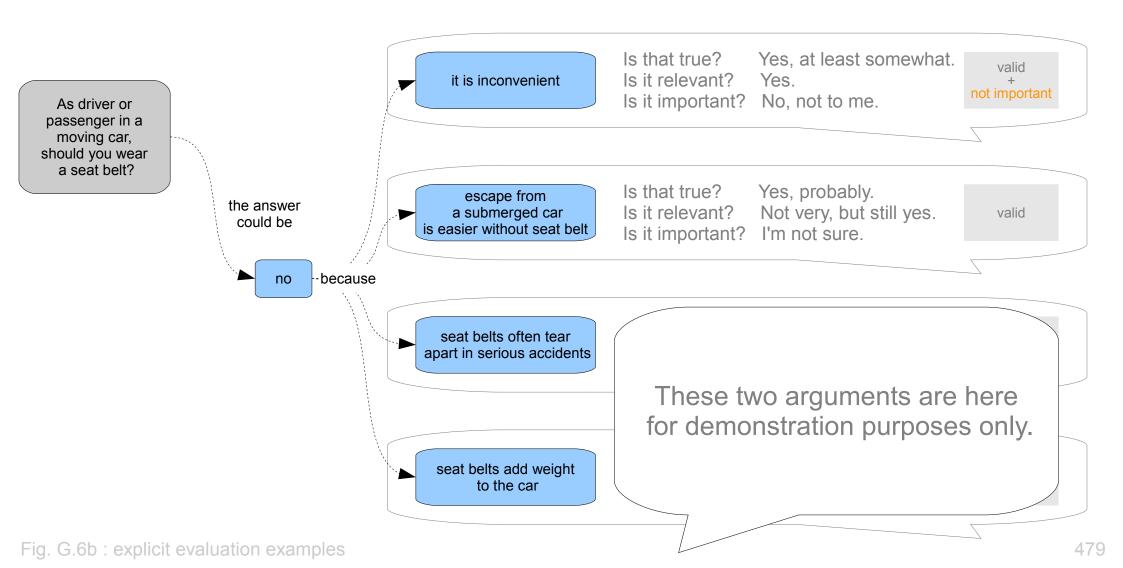
Argument evaluation (flowchart)



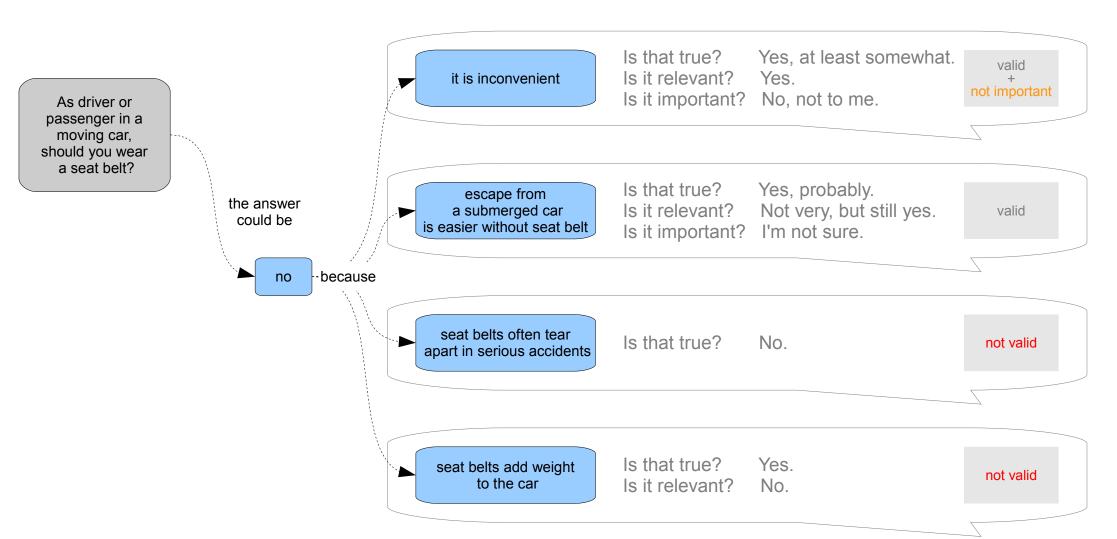
Explicit evaluation examples



Explicit evaluation examples



Explicit evaluation examples



Appendix G progress

Intro done

About discussions done

Diagrams and reality (yours or mine?) done

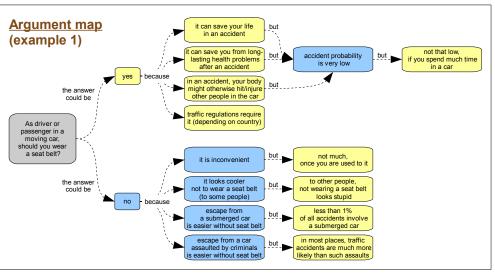
Argument maps done

Argument evaluation done

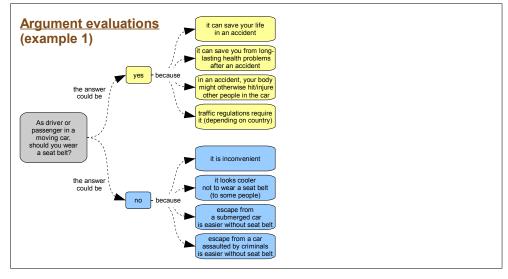
Argument evaluation diagrams up next



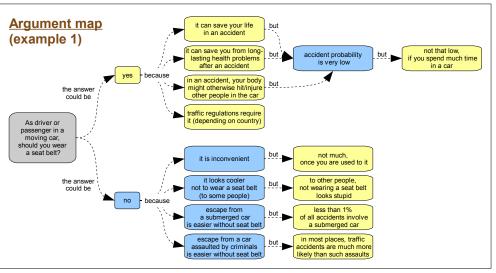
Our new diagram starts out as a copy of the original argument map, but without the sub-arguments.



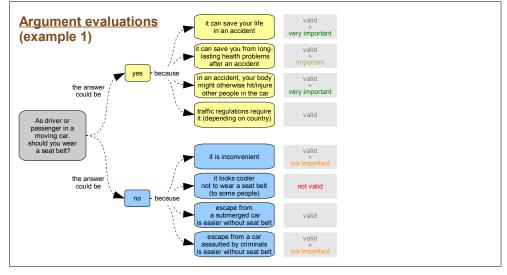




Now there is room next to the main arguments. That's where we put our evaluation results.



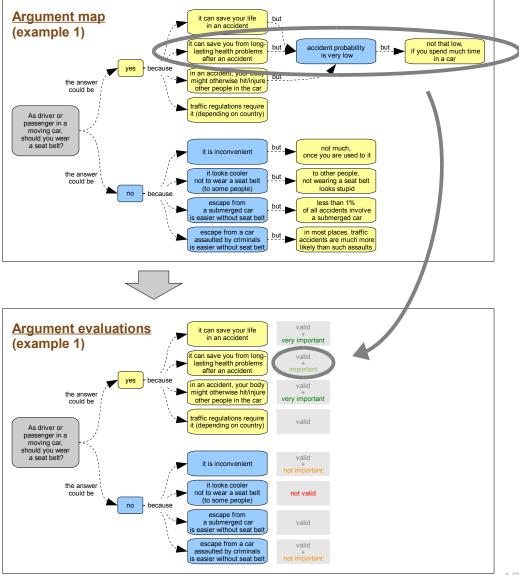




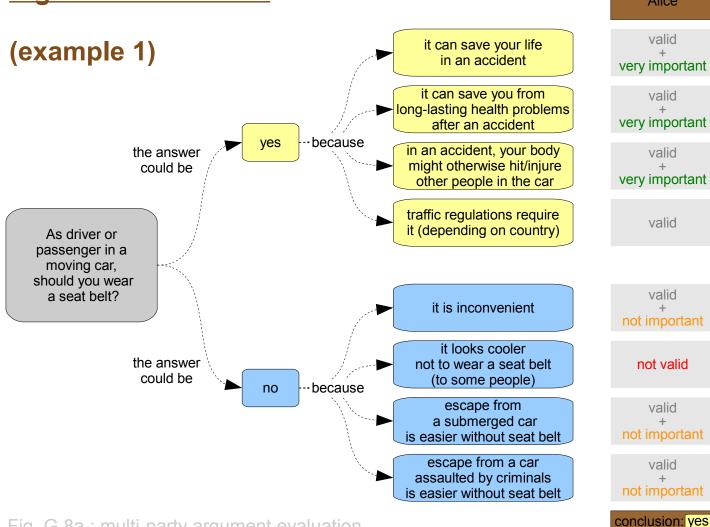
Of course we must consider all connected sub-arguments when evaluating a main argument.

But usually we don't need to include individual sub-argument evaluations in the diagram.

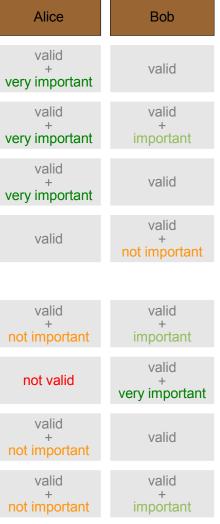
This way we get a much simpler diagram than otherwise.



Multi-party argument evaluation



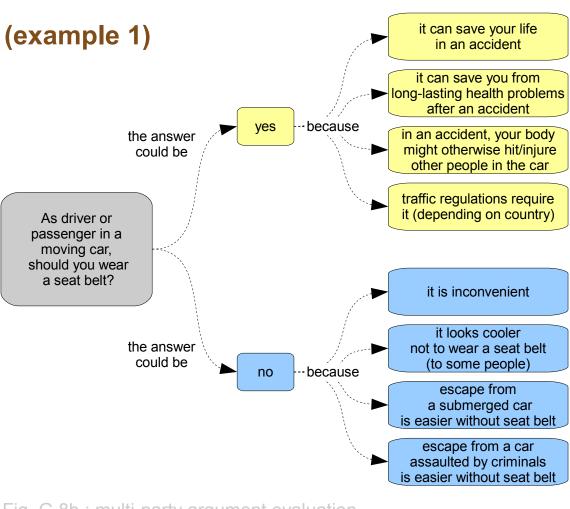
Argument evaluations, by party



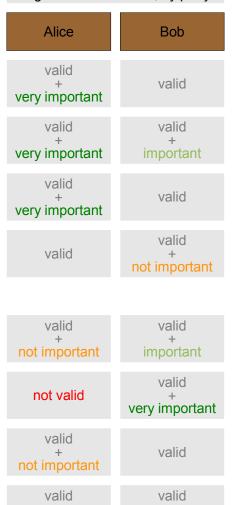
conclusion: no

And there is room for more than one view.

Multi-party argument evaluation



Argument evaluations, by party



important

conclusion: no

not important

conclusion: yes

For Alice and Bob, this diagram is both summary and endpoint of their discussion.

Without it, they could easily have an 'endless' discussion.

Before continuing, please read p. 472 once more.

Argument evaluations, by party **Multi-party** Billionaire's Equal influence argument evaluation Party #3 Party #4 association movement valid extremely wealthy persons valid can 'buy' political decisions (example 2) very important extreme wealth gives valid too much economic power not valid important to very few persons because yes extreme economic valid the answer inequality can cause not valid could be important social unrest 500 times the average wealth should satisfy not valid valid Should every imaginable need personal wealth be legally limited to valid personal wealth is a form A second example. valid 500 times the of personal freedom very important national average? 'more personal wealth' is valid valid an important driving force not important very important for economic development the answer valid this idea is could be no ·because not valid born from envy not important valid such a limit is not valid hard to implement important extremely wealthy persons valid valid often donate much money not important important for good causes conclusion: no conclusion: yes conclusion: conclusion Fig. G.9a: multi-party argument evaluation

Argument evaluations, by party **Multi-party** Billionaire's Equal influence argument evaluation Party #3 Party #4 association movement valid extremely wealthy persons valid can 'buy' political decisions (example 2) very important extreme wealth gives Generally, valid too much economic power not valid a high percentage important to very few persons because yes of 'not valid' extreme economic valid the answer inequality can cause not valid could be evaluations could important social unrest indicate a: 500 times the average wealth should satisfy not valid valid Should every imaginable need personal wealth be very controversial legally limited to valid personal wealth is a form valid 500 times the of personal freedom question very important national average? 'more personal wealth' is valid valid an important driving force - closed-minded not important very important for economic development the answer party valid this idea is could be no ·because.... not valid born from envy not important - bad argument valid such a limit is not valid hard to implement important extremely wealthy persons valid valid often donate much money important not important for good causes conclusion: no conclusion: yes conclusion: conclusion: Fig. G.9b: multi-party argument evaluation

Argument evaluations, by party **Multi-party** Billionaire's Equal influence argument evaluation Party #3 Party #4 association movement valid extremely wealthy persons valid can 'buy' political decisions Note that this (example 2) very important diagram partly extreme wealth gives valid too much economic power not valid reveals each party's: important to very few persons because yes extreme economic valid the answer inequality can cause not valid - reality perception could be important social unrest (which arguments 500 times the average wealth should satisfy not valid valid do they see as Should every imaginable need personal wealth be true and relevant, legally limited to valid personal wealth is a form valid 500 times the hence valid?) of personal freedom very important national average? 'more personal wealth' is valid valid and their an important driving force not important very important for economic development the answer value system valid this idea is could be no ·because.... not valid born from envy (how important is not important each argument to valid such a limit is not valid hard to implement important them?) extremely wealthy persons valid valid often donate much money important not important for good causes

conclusion: no

conclusion: yes

conclusion:

conclusion:

Fig. G.9c: multi-party argument evaluation

Argument evaluations, by party **Multi-party** Billionaire's Equal influence argument evaluation Party #3 Party #4 association movement valid extremely wealthy persons valid can 'buy' political decisions (example 2) very important extreme wealth gives valid too much economic power not valid to very few persons important because yes extreme economic valid the answer inequality can cause not valid could be important social unrest 500 times the average In a political context, wealth should satisfy not valid valid Should every imaginable need personal wealth be this diagram type legally limited to valid personal wealth is a form valid can serve as 500 times the of personal freedom very important national average? transparency tool. 'more personal wealth' is valid valid an important driving force not important very important for economic development the answer valid this idea is could be no ·because not valid born from envy not important valid such a limit is not valid hard to implement important extremely wealthy persons valid valid often donate much money not important important for good causes conclusion: no conclusion: yes conclusion: conclusion Fig. G.9d: multi-party argument evaluation

Now let's take a step back from the details and do some summing up.

Many 'normal' discussions suffer from empty talk, manipulation attempts, poor reasoning, personal insults, hidden agendas or lack of focus and overview.

Such discussions can take a long time, without giving useful results.

If the topic is important and you can choose, consider this alternative:

- 1. make an argument map
- 2. evaluate the main arguments
- 3. draw your conclusion(s)

Just for yourself, or in cooperation with others.

In most cases you will have well-founded results within 1-3 hours.

Appendix H

Miscellaneous

Acknowledgements, remarks, contact information

Thank you

to Helen and Eric for spending time as test audience and for valuable feedback.

Thank you

to everyone who has put serious effort into creating free quality content for the benefit of the rest of us.

(Be that software, texts, videos, photos, music, or something else)

Thank you

to the OpenOffice developers.

This document was created using OpenOffice Draw 3.2.0 Portable.

Despite a few minor quirks, it proved itself as a powerful, non-annoying and very, very reliable tool.

Software project suggestion

Producing decision matrices (as shown in appendix F, p. 356) would be much easier with specialized software.

If that software were:

- Open Source
- very user-friendly
- very reliable
- and portable

that would be brilliant.

I would definitely be grateful.

Any developer(s) listening?

Video talk series

To reach a wider audience,
I intend to produce
material from this book as
video talks/presentations,
and to publish them on at least
one major web video portal.

That might happen in 2014/2015, if available time and circumstances permit.

You can contact me via these e-mail addresses:

contact.e.hartel@gmail.com e.hartels.account@outlook.com

(both established in 2013)

Be aware that they will expire sooner or later. You may then need to do a web search for up-to-date contact information. I can't promise to answer all e-mail, and my response time might be anything up to 3 weeks.

Not because of indifference or rudeness, but because of limited time available, and/or because of circumstances beyond my control. This is the last page of this book.

If you've read it: Thank you.

If you are looking for the table of contents: please go to page 4 and 5.